



**Consolidated Annual Performance  
and Evaluation Report**

**CAPER**

**Program Year 2010**



**September 13, 2011**

**Prepared by Louisville Metro Department of  
Community Services & Revitalization**

**Adria Johnson, Acting Director**





LOUISVILLE METRO DEPARTMENT OF  
**COMMUNITY SERVICES  
AND REVITALIZATION**

TO: The General Public  
FROM: Louisville Metro Department of Community Services and  
Revitalization  
DATE: September 13, 2011  
RE: Draft 2011 CAPER

Each year, Louisville Metro Government must prepare a Consolidated Annual Performance and Evaluation Report (CAPER) and make it available to the public. This is required in order to comply with the regulations relating to funding received from the U.S. Department of Housing and Urban Development (HUD).

This report consists of one packet with the programmatic narrative and reporting, including information from the HUD database known as the Integrated Disbursement and Information System (IDIS).

The CAPER is made available to the public until September 27, 2011.

Written comments concerning the Draft CAPER will be accepted September 13<sup>th</sup> to September 27<sup>nd</sup>, 2011. They are to be submitted to Brandi Scott via postal service to Department of Community Services and Revitalization, 745 W. Main St., Suite 300, Louisville, KY 40202, faxed to (502) 574-4336 or email to [brandi.scott@louisvilleky.gov](mailto:brandi.scott@louisvilleky.gov) by the close of business on September 27<sup>nd</sup>, 2011.





## Louisville/Jefferson County Metro Government

Greg Fischer  
Mayor

### Louisville Metro Council Members 2011-2012

District 1 <sup>st</sup>	Judith Green	District 14 <sup>th</sup>	Robert Henderson
District 2 <sup>nd</sup>	Barbara Shanklin	District 15 <sup>th</sup>	Marianne Butler
District 3 <sup>rd</sup>	Mary C. Woolridge	District 16 <sup>th</sup>	Kelly Downard
District 4 <sup>th</sup>	David Tandy	District 17 <sup>th</sup>	Glen Stuckel
District 5 <sup>th</sup>	Cheri Bryant Hamilton	District 18 <sup>th</sup>	Jon Ackerson
District 6 <sup>th</sup>	David James	District 19 <sup>th</sup>	Jerry Miller
District 7 <sup>th</sup>	Ken Fleming	District 20 <sup>th</sup>	Stuart Benson
District 8 <sup>th</sup>	Tom Owen	District 21 <sup>st</sup>	Dan Johnson
District 9 <sup>th</sup>	Tina Ward-Pugh	District 22 <sup>nd</sup>	Robin Engel
District 10 <sup>th</sup>	Jim King	District 23 <sup>rd</sup>	James Peden
District 11 <sup>th</sup>	Kevin Kramer	District 24 <sup>th</sup>	Madonna Flood
District 12 <sup>th</sup>	Rick Blackwell	District 25 <sup>th</sup>	David Yates
District 13 <sup>th</sup>	Vicki Aubrey Welch	District 26 <sup>th</sup>	Brent Ackerson



# 2010 DRAFT CAPER TABLE OF CONTENTS

EXECUTIVE SUMMARY.....	1
CPD FORMULA GRANT FUNDS: FUNDS APPLIED TO AFFORDABLE HOUSING GOALS.....	3A
CPD FORMULA GRANT FUNDS: FUNDS APPLIED TO ECONOMIC DEVELOPMENT GOALS .....	3B
CPD FORMULA GRANT FUNDS: FUNDS APPLIED TO COMMUNITY DEVELOPMENT GOALS .....	3C
CPD FORMULA GRANT FUNDS: FUNDS APPLIED TO HOMELESSNESS GOALS .....	3D
CPD FORMULA GRANT FUNDS: FUNDS APPLIED TO SPECIAL NEEDS POPULATION GOALS ....	3E
AFFIRMATIVELY FURTHERING FAIR HOUSING .....	4
LEVERAGING RESOURCES.....	7
MANAGING THE PROCESS .....	7
CITIZEN PARTICIPATION .....	7
SUMMARY OF RESOURCES AND DISTRIBUTION OF FUNDS: CDBG.....	7A
SUMMARY OF RESOURCES AND DISTRIBUTION OF FUNDS: HOME .....	7B
SUMMARY OF RESOURCES AND DISTRIBUTION OF FUNDS: ESG.....	7C
SUMMARY OF RESOURCES AND DISTRIBUTION OF FUNDS: HOPWA.....	7D
SUMMARY OF RESOURCES AND DISTRIBUTION OF FUNDS: HPRP.....	7E
METRO MAPS OF FUND DISTRIBUTION .....	8
INSTITUTIONAL STRUCTURE.....	11
MONITORING .....	12
MONITORING SCHEDULE.....	14A
SELF-EVALUATION .....	15
LEAD-BASED PAINT.....	16
HOUSING NEEDS .....	16
EXTREMELY LOW, LOW AND MODERATE-INCOME RENTAL HOUSING TABLE.....	17A
EXTREMELY LOW, LOW AND MODERATE-INCOME OWNER-OCCUPIED HOUSING TABLE.....	18A
PUBLIC HOUSING STRATEGY.....	19
HOME/AMERICAN DREAM DOWN PAYMENT INITIATIVE.....	21
INVESTOR PROGRAM CURRENT PROJECTS .....	24A
HOME FUNDED PROGRAMS RACE/ETHNIC DATA.....	25A
AFFIRMATIVE MARKETING ACTIONS .....	26
HOMELESS NEEDS ASSESSMENT.....	26
EMERGENCY SHELTER GRANTS .....	28
EMERGENCY SHELTER GRANT SUBRECIPIENT MATCH .....	30A
COMMUNITY DEVELOPMENT NEEDS .....	34
CDBG FUNDED PROGRAMS STREET IMPROVEMENTS.....	35A
CDBG FUNDED PROGRAMS RACE/ETHNICITY DATA.....	43A
CDBG FUNDED PROGRAMS CERTIFICATES OF CONSISTENCY .....	45A
LOW TO MODERATE JOB ACTIVITIES .....	46
ECONOMIC DEVELOPMENT 2010 JOB CREATION .....	49A
LOW TO MODERATE LIMITED CLIENTELE ACTIVITIES .....	50
CDBG PROGRAM INCOME RECEIVED .....	53A
ANTIPOVERTY STRATEGY .....	54
NON-HOMELESS SPECIAL NEEDS.....	54
HOUSING OPPORTUNITIES FOR PEOPLE WITH AIDS .....	55

DRAFE



# First Program Year CAPER

The CPMP First Consolidated Annual Performance and Evaluation Report includes Narrative Responses to CAPER questions that CDBG, HOME, HOPWA, and ESG grantees must respond to each year in order to be compliant with the Consolidated Planning Regulations. The Executive Summary narratives are optional.

The grantee must submit an updated Financial Summary Report (PR26).

## GENERAL

### Executive Summary

### General Questions

### Assessment of Program Year Goals and Objectives

Louisville Metro Government, through the Louisville Metro Department of Community Services and Revitalization (LMCSR) (previously the Department of Housing and Family Services), receives annual grants on a formula basis to carry out a wide range of activities geared toward housing rehabilitation and development, public improvements, economic development, public services, maintaining and improving neighborhoods, and homeless support. The five-year strategic plan, referred to as the Consolidated Plan, is a jurisdiction's comprehensive planning document and application for funding under the following Community Planning and Development formula grant programs: Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Shelter Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA). In 2010, Louisville Metro Government submitted the 2010 – 2014 Louisville/Jefferson County Metro Government Five-Year Consolidated Plan (Consolidated Plan).

In addition to submitting a five-year strategic plan to the U.S. Department of Housing and Urban Development (HUD), Louisville Metro Government is also required to submit an Annual Action Plan which describes the resources available, the programs/projects to be funded and the proposed accomplishments for the program year. This document covers activities laid out in the final amended Action Plan for program year 2010, which covered July 1, 2010 to June 30, 2011.

Allocations for the 2010 program year in the final amended Action Plan included: expected CDBG funds of \$12,915,500, carry-forward CDBG funds of \$9,536,800, reimbursed funds of \$2,071,000, and CDBG program income of \$700,000; expected HOME funds of \$4,025,000 and HOME program income of \$700,000; expected ESG funds of \$521,500; and expected HOPWA funds of \$554,900. Actual entitlement funds received were \$12,915,486 in CDBG funds, \$4,020,369 in HOME funds, \$521,491 in ESG funds, and \$554,887 in HOPWA funds. Actual program income received totaled \$821,211 in CDBG.

In addition, Louisville Metro continued to expend funds received through the American Reinvestment and Recovery Act of 2009 (ARRA)/stimulus funds during program year 2010: Community Development Block Grant - Recovery Act Program (CDBG-R) and Homelessness Prevention and Rapid Re-housing Program (HPRP). CDBG-R funds were received in the amount of \$3,184,833 with the express purpose of focusing on projects and activities surrounding economic development, housing, infrastructure improvements and other public facilities activities that would quickly spur job creation or retention, economic investment, or increased energy efficiency. Louisville Metro is utilizing the CDBG-R funds received to construct a grocery store in the Park DuValle neighborhood. HPRP funds were received in the amount of \$4,870,830 with the

express purpose of focusing on activities that provide financial assistance or services to prevent individuals or families from becoming homeless or to help those experiencing homelessness be quickly re-housed and stabilized. Louisville Metro is utilizing the HPRP funds to provide direct client assistance and supportive services for those facing or experiencing homelessness.

Louisville Metro Government is required to provide to the public and HUD an assessment of its accomplishments utilizing the funds at the end of each program year. This annual assessment is known as the Consolidated Annual Performance and Evaluation Report (CAPER). This CAPER provides results and accomplishments for the July 1, 2010 to June 30, 2011 time period.

The CAPER not only contains narrative statements, but also includes required financial reports produced through the Integrated Disbursement and Information System (IDIS). IDIS is a comprehensive database that tracks accomplishments and expenditures for each program/project. The following IDIS reports are included as attachments: Summary of Consolidated Plan Projects for Report Year 2010; Program Year 2010 Summary of Accomplishments; and CDBG Financial Summary for Program Year 2010.

The Consolidated Plan identified five priority need categories and their respective goals as follows:

- **Goal 1: Housing** – Louisville Metro residents have a range of choices for safe, decent, secure and affordable housing.
- **Goal 2: Economic Development** – Energize the regional economy and stabilize neighborhoods by providing residents with access to livable wage jobs, education and training to qualify for those jobs, and business ownership opportunities that create jobs and increase the tax base.
- **Goal 3: Community Development** – Foster a suitable living environment by improving physical conditions and the quality of life in distressed neighborhoods and throughout the community.
- **Goal 4: Homelessness** – Reduce the incidence of homelessness in the Louisville Metro community.
- **Goal 5: Special Needs/HOPWA** – Address the special needs of Louisville Metro residents, including providing housing and supportive services to person with AIDS and their families.

The 2010 Action Plan included programs/projects to address each of these goals. Progress was made during program year 2010 toward the goal of expanding safe, decent, secure, and affordable housing through many CDBG and HOME funded programs geared toward homeownership assistance, education and counseling, housing rehabilitation and housing development. Through these various programs, 79 persons received downpayment assistance, 7,490 persons received education and counseling services, 644 homes were rehabilitated, and 7 Rental Development projects were completed which resulted in the development of 32 HOME-funded housing units (459 units total). During program year 2010, approximately 23% of expected CDBG funds (including program income and carry-forward funds) and 73% of expected HOME funds received (including program income) were allocated to programs focusing on affordable housing.

Progress was made during program year 2010 toward the goal of energizing the regional economy and stabilizing neighborhoods through the METCO Loan Program, Microenterprise Development program, and employment-specific job training program. The METCO loan program resulted in the creation of 9 full-time jobs for low-to-moderate income individuals. The Microenterprise Development program resulted in 9 full-time jobs created and 10 full-time jobs retained for low-to-moderate income individuals. The employment-specific job training program resulted in 33 individuals obtaining a new job or advancing at their current place of employment. During program year 2010, approximately 5% of expected CDBG funds were allocated towards economic development programs.

Progress was made during program year 2010 toward the goal of fostering a suitable living environment by improving physical conditions and the quality of life in distressed neighborhoods and throughout the

community through the provision of services and improvements that will increase the health and safety of the community. These occurred through many CDBG funded programs geared toward public improvements, public services, and maintaining and improving neighborhoods. In program year 2010, 93,768 square yards of streets were paved, 85 blighted structures were demolished, 127 individuals received skills necessary to move toward self-sufficiency through the Family Economic Success program, 485 were connected to essential services via the CAP Community Outreach program, 20,922 housing inspections were conducted, and 1,702 vacant and abandoned properties were boarded and 5,515 cleaned. During program year 2010, approximately 35% of CDBG funds were allocated to programs focused on public services and public improvements.

Progress was made during program year 2010 toward the goal of reducing the incidence of homelessness in the Louisville Metro community by providing case management, support services and transitional housing and providing services to the chronic homeless shelters through CDBG, HOME, ESG, HOPWA and HPRP funded programs. These programs were geared toward tenant based rental assistance (TBRA), short-term rent, mortgage and utility assistance (STRMU), direct client assistance through HPRP, and supportive services to shelters assisting in renovation, prevention, operations and essential services. Through these activities in program year 2010, 106 persons received HOME tenant based rental assistance, 29 persons received HOPWA TBRA, 422 persons received HOPWA STRMU assistance, homeless individuals received 20,191 units of service via CDBG supportive services, and 11 shelters received ESG assistance for prevention, operations, essential services and/or renovations. During program year 2010, approximately 19% of expected HOME funds, 5% of CDBG funds, 95% of ESG funds, and 18% of HOPWA funds were allocated to programs focused on homelessness (excluding allocations for administration).

Progress was made during program year 2010 toward the goal of addressing the special needs of Louisville Metro residents, including providing housing and supportive services to person with AIDS and their families through the various HOPWA funded programs and through the partnership with AIDS Services Center Coalition, Inc. During program year 2010, a total of approximately 1,056 HIV/AIDS Special Needs persons were served and 81% of HOPWA funds were allocated to these programs (excluding allocations for administration).

LMCSR has continues to review its programs and incorporated changes as needed throughout the first year of the 2010 – 2014 Consolidated Plan. The Self Evaluation section includes discussion more specific to program year 2010.

### **Breakdown of CPD Formula Grant Funds**

The following tables provide a breakdown of the grant funds spent on grant activities for the goals identified in the Consolidated Plan.

**CPD FORMULA GRANTS**  
**FUNDS APPLIED TO HOUSING GOALS**

<b><u>Program</u></b>	<b><u>Fund Source</u></b>	<b><u>Amount Expended</u></b> <b><u>in 2010</u></b>
Metro-Wide Residential Repair	CDBG	318,653
Metro-Wide Emergency Repair	CDBG	1,607,901
Metro-Wide Weatherization Supplement	CDBG	297,556
Metro-Wide Ramp Construction Program	CDBG	317,691
Rehab Delivery Costs	CDBG	488,649
Activities Funded in Prior Years	CDBG	82,027
Counseling & Education	CDBG	246,415
Metro-Wide Roof Program	CDBG	3,838
Fair Housing Activities	CDBG	84,756
Investor Housing Program	HOME	3,295,553
New Construction	HOME	384,403
Homebuyer Assistance	HOME	127,400
<b>TOTAL</b>		<b>\$ 7,254,842</b>

**CPD FORMULA GRANTS**  
**FUNDS APPLIED TO ECONOMIC DEVELOPMENT GOALS**

<b><u>Program</u></b>	<b><u>Fund Source</u></b>	<b><u>Amount Expended in 2010</u></b>
Business Loan Program	CDBG	200,000
Microenterprise Loans	CDBG	100,000
Job Training	CDBG	57,582
Microenterprise Assistance	CDBG	182,028
<b>TOTAL</b>		<b>\$ 539,610</b>

**CPD FORMULA GRANTS**  
**FUNDS APPLIED TO COMMUNITY DEVELOPMENT GOALS**

<u>Program</u>	<u>Fund Source</u>	<u>Amount Expended in 2010</u>
Eligible Non-Profit Public Facility Improvements	CDBG	300,000
Street Improvement Program	CDBG	771,154
Library Expansion	CDBG	569,068
Vacant Properties Demolition	CDBG	446,529
Vacant Lot Program	CDBG	1,299,345
Street Treet Program	CDBG	141,373
Code Enforcement	CDBG	806,551
African American Heritage Center	CDBG	26,184
Parks Projects	CDBG	543,663
CAP Public Service	CDBG	106,072
Family Economic Success	CDBG	187,586
Activities Funded in Prior Years	CDBG	230,475
<b>TOTAL</b>		<b>\$ 5,428,000</b>

**CPD FORMULA GRANTS**  
**FUNDS APPLIED TO HOMELESSNESS GOALS**

<b><u>Program</u></b>	<b><u>Fund Source</u></b>	<b><u>Amount Expended in 2010</u></b>
HOME TBRA	HOME	991,405
HOPWA TBRA	HOPWA	43,225
Essential Services	ESG	226,607
Prevention	ESG	84,237
Operations	ESG	263,992
Renovations	ESG	27,215
<b>TOTAL</b>		<b>\$ 1,636,681</b>

**CPD FORMULA GRANTS**  
**FUNDS APPLIED TO SPECIAL NEEDS POPULATION GOALS**

<b><u>Program</u></b>	<b><u>Fund Source</u></b>	<b><u>Amount Expended</u></b> <b><u>in 2010</u></b>
STRMU	HOPWA	62,936
Supportive Services	HOPWA	459,416
<b>TOTAL</b>		<b>\$ 522,352</b>

## Affirmatively Furthering Fair Housing

The Louisville Metro Department of Community Services & Revitalization (previously Louisville Metro Department of Housing & Family Services) continues to make an ongoing effort to affirmatively further fair housing. Access to housing, via rental or homeownership, is fundamental to meeting the essential needs of individuals and families. The issue of fair housing goes beyond discrimination in the rental and sales housing markets; it includes all facets of housing transactions, such as in financing, insurance coverage, and other issues related to occupancy. Fair housing also is correlated to the development of affordable housing throughout the community to ensure that individuals have a choice in where they can live.

In support of these principles, the Metropolitan Housing Coalition coordinated the research, analysis, and publication of *Analysis of Impediments to Fair Housing Choice in Louisville Metro, KY* for LMCSR. This document was released on March 15, 2010 and information was gathered from a number of primary sources. Monthly meetings were held with the Metropolitan Housing Coalition's Fair Housing Coalition, which included input from the Louisville Metro Human Relations Commission, to provide information for the report. In addition, representatives of the following agencies provided valuable information for the report: the Americana Community Center; the Center for Accessible Living; the Coalition for the Homeless; the Louisville Metro Development Authority; the Greater Louisville Association of Realtors; Habitat for Humanity of Metro Louisville; the Homebuilders Association of Louisville; the Kentucky Alliance Against Racist & Political Oppression; the Kentucky Commission on Human Rights; the Legal Aid Society of Louisville; the Lexington (KY) Fair Housing Council, a private, non-profit agency funded under the United States Department of Housing & Urban Development's (HUD's) Fair Housing Initiatives Program; the Louisville Apartment Association; the Louisville Metro Housing Authority; Louisville MetroCall; the Louisville Urban League; the Metropolitan Housing Coalition; the Non-Profit Housing Alliance; and Louisville Metro Planning & Design.

The information collected in this study identifies areas to be addressed to affirmatively further fair housing. The following statements were impediments identified within Louisville Metro Government:

1. "[A] lack of support for planning and zoning statutes which would promote and support developer incentives as a means to guarantee the development of a minimum percentage of desirable affordable housing and special-needs-population housing (meeting the requirements of the American Disabilities Act) units (both rental and sale) per housing complex and/or subdivision in all neighborhoods and communities throughout the Louisville Metro jurisdiction."
2. "The fact that the majority of existing affordable housing and housing for special-needs-populations (both rental and sale) is very segregated by race, ethnicity, and income and is located solely in specifically designated neighborhoods and communities throughout the Louisville Metro jurisdiction."
3. "The lack of innovative housing finance mechanisms to support the development, and subsequent rental or sale of desirable affordable housing units and special-needs-population housing units in all neighborhoods and communities throughout the Louisville Metro jurisdiction."

As cited in the report, Louisville Metro Government has attempted to address some of these issues. Implementation of some recommendations made in the report *2006 Comprehensive Housing Strategy for Louisville Metro* has occurred. Federal stimulus money from the Neighborhood Stabilization Program has been used not only in very low-income neighborhoods but also in low-income neighborhoods, and the Louisville Metro Housing Authority has intentionally acquired units for public housing throughout its jurisdiction as HOPE VI replacement units. The local Affordable Housing Trust Fund was created and a board appointed, the first meeting took place in March 2010, and Louisville Metro has seeded the fund with \$1 million. The funding recently acquired 501 (c)(3) designation.

The Louisville Metro Human Relations Commission has continuously worked to reduce barriers to fair housing in Louisville Metro. The Commission has continued to promote public education and outreach campaign initiatives on fair housing, including conducting training seminars and hosting fair housing complaint intake initiatives. Previous campaigns disseminated information through the use of posters, brochures, billboard advertisements, and print and broadcast media in fulfillment of past Community Development Block Grants. Staff has presented information on fair housing throughout Louisville Metro, including training housing providers and educating the Spanish-speaking public on local Spanish-language radio. The goal has been to increase public awareness of fair housing laws and to educate the public about how to effectively fight discriminatory practices in housing. To help accomplish this goal, the Commission expanded its existing outreach and education campaign program as well as expanded the use of testing results of housing developments in Louisville Metro by incorporating more testing information in complaints filed by bona fide complaints.

The Louisville Metro Human Relations Commission's Executive Director participates in periodic meetings with representatives of the Metropolitan Housing Coalition, the Louisville Metro Housing Authority, and the Louisville Metro Department of Inspections, Permits & Licenses to actively analyze impediments to fair housing choice in Louisville Metro. The working group develops strategies and action plans to carry out reductions of barriers to fair housing.

On January 25, 2011, the Louisville Metro Human Relations Commission hosted its fifteenth annual Race & Relations Conference with opening remarks from Ms. Natasha J. Watson, Director of the HUD Louisville Program Office of Fair Housing & Equal Opportunity (FHEO), as well as a presentation by Mr. Carlos Osegueda, Regional Director of HUD's Atlanta Program Office of FHEO. The Metropolitan Housing Coalition's Executive Director, Ms. Cathy Hinko, gave a presentation shortly thereafter called "The State of Fair Housing in Louisville: Impediments & Improvements." Conference workshops included a Continuing Legal Education credit session on fair housing laws conducted by the Lexington Fair Housing Council's Executive Director, Mr. Arthur T. Crosby.

For National Fair Housing Month in April 2011, Louisville Metro Human Relations Commission staff spoke on fair housing laws on local *La Caliente* Spanish-language radio. Commission staff published a Fair Housing Issue of the newsletter *Many Cultures – One Community*. Commission and Housing & Family Services staffs were panelists in the Commission-sponsored "Immigrants & Fair Housing" Louisville MetroTV broadcast airing in heavy rotation throughout Fair Housing Month. Commission staff also partnered with agencies to conduct fair housing awareness initiatives, including with Lexington Fair Housing Council staff for a statewide landlord fair housing training.

From July 1, 2010 – June 30, 2011, the Louisville Metro Human Relations Commission closed a record number of housing discrimination complaint cases for a fiscal year, with a breakdown of protected class by basis as follows:

- Race 28
- National Origin 9
- Sex 3
- Disability 31
- Familial Status 6
- Sexual Orientation 1
- Retaliation 1

During this period, there were six complaints with a determination of Probable Cause that unlawful discrimination had likely occurred by a Respondent, resulting in charges of discrimination filed by the Commission with the assistance of the Jefferson County Attorney's Office. There were also six conciliation agreements, or settlements, to resolve complaints. Additionally, three complaints were

withdrawn by Complainants after resolutions were reached with the Respondents to resolve their complaints.

The Louisville Metro Human Relations Commission continued its housing testing program, conducting 308 rental tests from July 1, 2010 – June 30, 2011. The testing program used Louisville Metro employees specifically hired to test for housing discrimination levels in Louisville Metro. Testing has been used to generate Commission discrimination complaints against those housing providers discovered to have engaged in unlawful business practices, and it has also been used as supplemental investigation into complaints filed by the general public. Funds from the testing program come from Community Development Block Grants allocated by HUD to Louisville/Jefferson County Metro Government in order to comply with requirements to affirmatively further fair housing.

Additional actions to overcome the barriers identified above were also undertaken by Louisville Urban League (LUL), Housing Partnership, Inc. (HPI) and Legal Aid Society, Inc. through counseling and education programs.

Housing Partnership, Inc. affirmatively furthers fair housing by providing homeownership counseling and outreach to homeowners including those at risk of foreclosure (**IDIS: 25321, 23714, 23327**). During the 2010 program year, 5,243 clients were served in the following ways:

- 327 clients received education and/or attended group workshops on homebuyer education (178 attended classes on budget and credit, 149 attended homebuyer education classes);
- 850 clients received pre-purchase homebuyer counseling;
- 1,667 clients received assistance with preventing mortgage delinquency;
- 73 clients received counseling regarding home maintenance and financial management for homeowners.

The Louisville Urban League affirmatively furthers fair housing by providing fair housing education and counseling services to renters, prospective renters and first-time homebuyers (**IDIS: 25383, 24408, 23713**). During the 2010 program year, approximately 1,698 unduplicated clients were served in the following ways:

- 1,100 clients were educated on Fair Housing Law;
- 162 clients received budget and credit counseling;
- 270 clients received rental counseling;
- 449 clients received homeownership counseling;
- 887 clients received mortgage default/foreclosure counseling;
- 11 clients received post-purchase counseling;
- 944 clients received financial literacy education.

The Legal Aid Society, Inc. affirmatively furthers fair housing through The Legal Aid Society's Foreclosure Defense Program, which is designed to support the increased needs of low-income homeowners who fall behind in their mortgage payments (**IDIS: 24404, 25380**). Some of these individuals are victims of predatory lending; however, Legal Aid has also experienced a dramatic increase in requests for assistance from individuals who fall behind in their mortgage payments due to unforeseen circumstances such as loss of employment, illness, disability or the death of a spouse.

During the 2010 program year, 549 low-income homeowners facing foreclosure attended a Foreclosure Defense Clinic. The weekly Clinics last one hour where homeowners facing foreclosure are able to ask questions about the foreclosure process and are also provided with individual consultation tailored to their specific problem. Oftentimes, Legal Aid is able to provide further legal assistance when needed; if the homeowner is not eligible for Legal Aid services, the Foreclosure Defense program staff provides the homeowner with community resources that may be able to provide assistance.

## Leveraging Resources

In program year 2010 Louisville Metro Government continued to identify and obtain additional funding. Louisville Metro Government and its entitlement subrecipients were successful in obtaining and/or allocating "other" public and private resources including private donations, fund-raising contributions, general fund allocations, low-income housing tax credits, Kentucky Housing Corporation (KHC) funds, Louisville Landbank Lots, and competitive grant sources.

The sources of "other" public and private resources identified above help to provide for an increase in services in many of the programs administered by LMCSR. Some examples include the following:

- General fund allocations were used to support community ministries and non-profit organizations.
- CDBG funds were committed as leverage for a competitive grant, the Lead-Bases Paint Hazard Control Grant, to help satisfy matching requirements.
- Louisville Landbank Lots were relayed to developers for projects.
- Private donations, fund-raising contributions and competitive grants were leveraged with federal funds to provide even more resources to HOPWA programs.
- Non-profits leveraged HOME funds with KHC funds and Federal Home Loan Bank funds for rehabilitation projects.

The ESG Program requires a 100% match. Please refer to ESG match table in the Homelessness section for details on the source of this match.

## Managing the Process

Louisville Metro Government has directed LMCSR to serve as the lead agency in the preparation and implementation of the Consolidated Plan and subsequent annual Action Plans. Comprehensive planning requirements command that preparation of the five-year Consolidated Plan and annual Action Plan include compliance with the jurisdiction's Citizen Participation Plan. Louisville Metro Government's Citizen Participation Plan requires public hearings be held to allow for representatives of non-profit agencies, organizations, groups, citizens and interested parties to contribute to the identification of needs and distribution of resources to address those needs. In addition, the Consolidated Plan and annual Action Plan are required to be made available for public comment prior to final submission to HUD.

The Citizen Participation Plan also requires an amendment to these plans be prepared, including adherence to public hearing and public comment guidelines should there be significant changes in funding or programs.

Louisville Metro Government has followed these guidelines and will continue to follow these guidelines to ensure compliance with program and comprehensive planning requirements. LMCSR continues to serve as the lead agency for planning purposes and administers many programs. In addition, LMCSR provides oversight of all programs administered by external sub-recipients and internal sub-grantees.

## Citizen Participation

The following summaries and maps provide a summary of resources and distribution of funds for each formula grant and the geographic distribution of expenditures for several LMCSR programs.

**SUMMARY OF RESOURCES and DISTRIBUTION OF FUNDS  
COMMUNITY DEVELOPMENT BLOCK GRANT**

<u>Resources</u>	<u>2010 Action Plan Projection</u>	<u>2010 Actual Resources</u>
Formula Grant	12,915,500	12,915,486
Program Income	700,000	802,221
Carryforward/Reallocated Funds from Prior Year Activities	11,597,800	1,283,018
<b>Total Resources</b>	<b>\$ 25,213,300</b>	<b>\$ 15,000,725</b>

<u>Distribution of Resources</u>	<u>2010 Action Plan Commitments</u>	<u>Amount Expended in 2010*</u>
<b>Housing</b>		
Residential Repair	1,500,000	318,653
Residential Repair and Rehabilitation	1,776,000	1,288,577
Residential Repair Delivery	580,500	488,650
Metro-Wide Emergency Repairs	1,100,000	1,607,901
Metro Weatherization Supplement	325,000	297,556
Metro-Wide Roof Program	-	3,838
Metro-Wide Ramp Program	250,000	317,691
Lead Safe Louisville Grant Match	70,000	-
Homeless Housing Capital Project	250,000	-
Other Activities Funded in Years Prior to 2009	-	82,022
<b>Total - Housing</b>	<b>\$ 5,851,500</b>	<b>\$ 4,404,887</b>

<b>Public Improvements</b>		
Lake Louisville Project	2,000,000	-
St. Vincent DePaul Rehabilitation	375,000	-
Sidewalk Improvement Plan	500,000	-
Street Improvement Plan	700,000	771,154
Eligible Library Public Facilities Improvements	1,800,000	569,068
Metro Park Improvements	350,000	-
Eligible Non-Profit Public Facilities Improvements	2,400,000	300,000
Jefferson County Public Schools Playgrounds	100,000	-
Street Tree Program (Funded Prior to 2010)	-	141,373
African American Heritage Center (Funded Prior to 2010)	-	26,184
Aquatics Plan (Funded Prior to 2010)	-	166,918
Algonquin Park (Funded Prior to 2010)	-	376,754
Other Activities Funded in Years Prior to 2010	-	230,475
<b>Total - Public Improvements</b>	<b>\$ 8,225,000</b>	<b>\$ 2,581,925</b>

<b>Neighborhood Revitalization Strategy Area</b>		
Portland NRSA	2,000,000	-
<b>Total NRSA</b>	<b>\$ 2,000,000</b>	<b>-</b>

<b>Clearance</b>		
Vacant Properties Demolition	725,000	446,529
Neighborhood Stabilization Demolition (Funded Prior to 2010)	-	105,039
<b>Total - Clearance</b>	<b>\$ 725,000</b>	<b>\$ 551,568</b>

<b>Disposition</b>		
Vacant Lot Program	1,500,000	1,299,345
<b>Total - Disposition</b>	<b>\$ 1,500,000</b>	<b>\$ 1,299,345</b>

<b>Public Service</b>		
Homeless Support	1,354,000	1,033,217
Legal Aid	30,000	24,214
HPI Homeownership Counseling	70,000	96,992
Urban League Homeownership Counseling	75,000	125,209
CAP Public Service	150,000	106,072
Family Economic Success	300,000	187,586
<b>Total - Public Service</b>	<b>\$ 1,979,000</b>	<b>\$ 1,573,291</b>

<b>Relocation</b>		
Relocation	7,500	-
<b>Total - Relocation</b>	<b>\$ 7,500</b>	<b>-</b>

<b>Code Enforcement</b>		
Code Enforcement	975,000	806,551
<b>Total - Code Enforcement</b>	<b>\$ 975,000</b>	<b>\$ 806,551</b>

<b>Economic Development</b>		
Microenterprise Loans	100,000	100,000
Job Training	100,000	57,582
Microenterprise Assistance	300,000	182,028
Business Loan Program	500,000	200,000
"COOL" Program	200,000	-
<b>Total - Economic Development</b>	<b>\$ 1,200,000</b>	<b>\$ 539,610</b>

<b>Administration and Planning</b>		
Urban Design/Landmarks	185,000	199,554
Housing Department Services	1,670,600	1,668,672
Fair Housing Activities	100,000	84,756
HMIS Grant	12,500	16,086
Continuum of Care	82,200	80,547.36
Other Activities Funded in Years Prior to 2009	-	82,468
Indirect Cost	700,000	400,000
<b>Total - Administration and Planning</b>	<b>\$ 2,750,300</b>	<b>\$ 2,532,083</b>
<b>Total Distribution of CDBG Resources</b>	<b>\$ 23,213,300</b>	<b>\$ 14,289,260</b>

\*Amounts expended in Program Year 2010 represent the total spent in Program Year 2010 regardless of fund year.

**SUMMARY OF RESOURCES and DISTRIBUTION OF FUNDS**  
**HOME INVESTMENT PARTNERSHIPS**

<u>Resources</u>	<u>2010 Action Plan Projection</u>	<u>2010 Actual Resources</u>
Formula Grant	4,025,000	4,020,369
Program Income	700,000	-
<b>Total Resources</b>	<b>\$ 4,725,000</b>	<b>\$ 4,020,369</b>

<u>Distribution of Resources</u>	<u>2010 Action Plan Commitments</u>	<u>Amount Expended in 2010*</u>
Investor Housing/Rental Development	1,800,000	3,295,553
New Construction/CHDO	605,000	384,403
Homebuyer Assistance	700,000	127,400
HOME - TBRA	900,000	991,405
Homeless Housing Capital Project	250,000	-
Administrative Cost	470,000	393,884
Other Activities Funded in Years Prior to 2009	-	51,357
<b>Total Distribution of HOME Resources</b>	<b>\$ 4,725,000</b>	<b>\$ 5,244,002</b>

\*Amounts expended in Program Year 2010 represent the total spent in Program Year 2010 regardless of fund year.

**SUMMARY OF RESOURCES and DISTRIBUTION OF FUNDS**  
**EMERGENCY SHELTER GRANT**

<u>Resources</u>	<u>2010 Action Plan Projection</u>	<u>2010 Actual Resources</u>
Formula Grant	521,500	521,492
<b>Total Resources</b>	<b>\$ 521,500</b>	<b>\$ 521,492</b>

<u>Distribution of Resources</u>	<u>2010 Action Plan Commitments</u>	<u>Amount Expended in 2010*</u>
Essential Services	148,600	226,607
Prevention	80,800	84,237
Operations	266,000	263,992
Renovations	-	27,215
5% Administration	26,100	39,229
<b>Total Distribution of ESG Resources</b>	<b>\$ 521,500</b>	<b>\$ 641,280</b>

\*Amounts expended in 2010 represents the total spent in 2010 regardless of fund year.

**SUMMARY OF RESOURCES and DISTRIBUTION OF FUNDS**  
**HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS**

<u>Resources</u>	<u>2010 Action Plan Projection</u>	<u>2010 Actual Resources</u>
Formula Grant	554,900	554,887
<b>Total Resources</b>	<b>\$ 554,900</b>	<b>\$ 554,887</b>

<u>Distribution of Resources</u>	<u>2010 Action Plan Commitments</u>	<u>Amount Expended in 2010*</u>
Housing Assistance and Supportive Services	538,200	
Tenant Based Rental Assistance		43,225
Short-term Rent, Mortgage, Utility Assistance		62,936
Supportive Services		459,416
3% Administration	16,700	11,195
<b>Total Distribution of HOPWA Resources</b>	<b>\$ 554,900</b>	<b>\$ 576,772</b>

\*Amounts expended in 2010 represents the total spent in 2010 regardless of fund year.

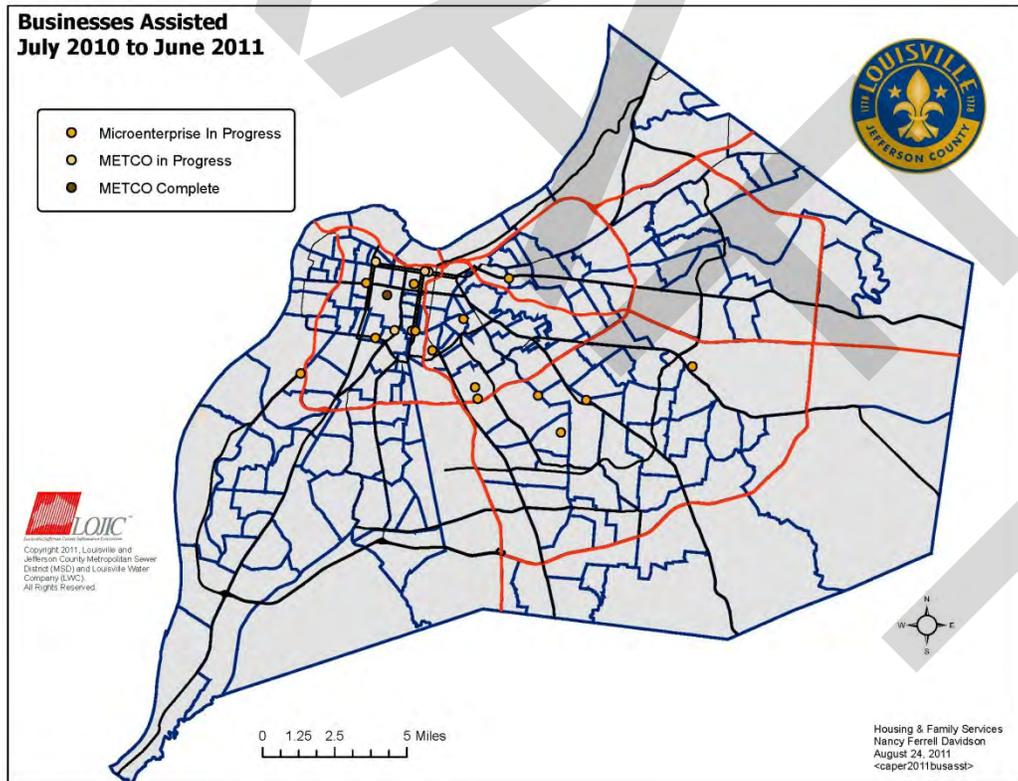
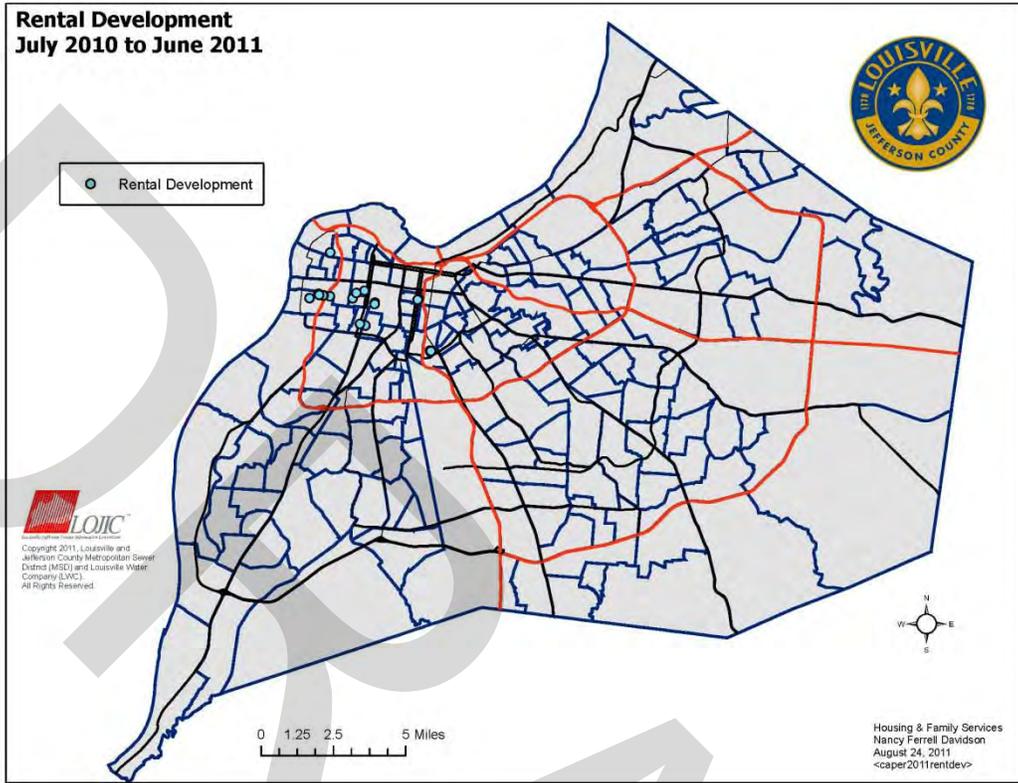
**SUMMARY OF RESOURCES and DISTRIBUTION OF FUNDS  
HOMELESSNESS PREVENTION RAPID RE-HOUSING PROGRAM**

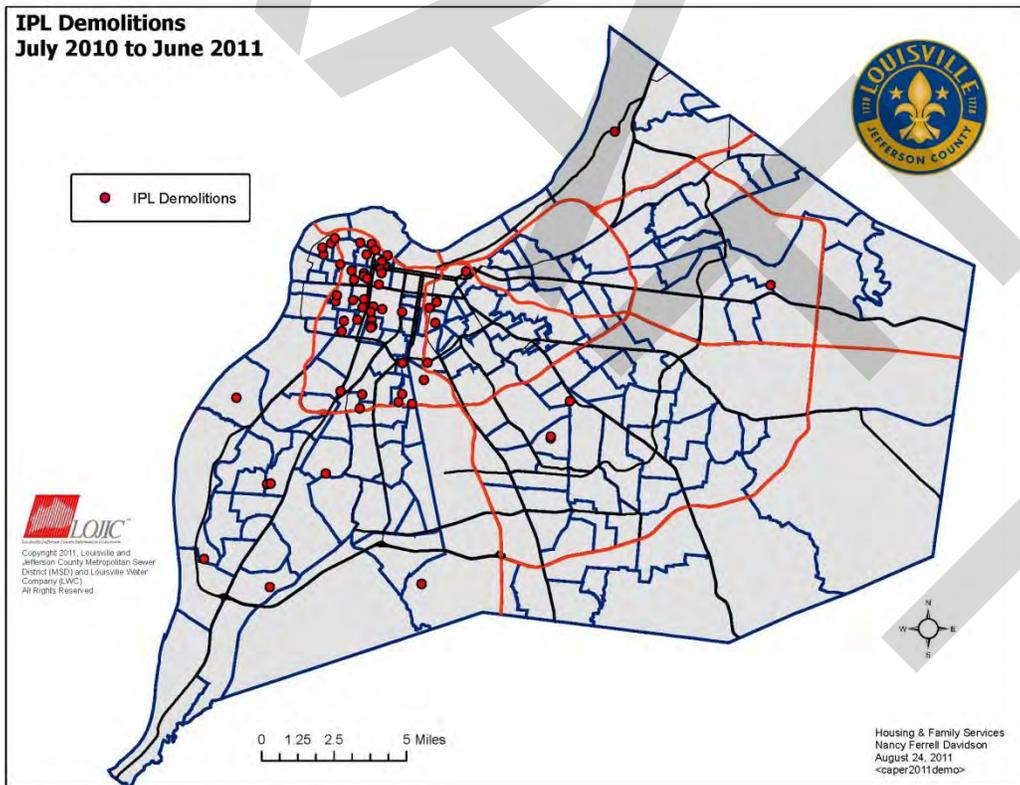
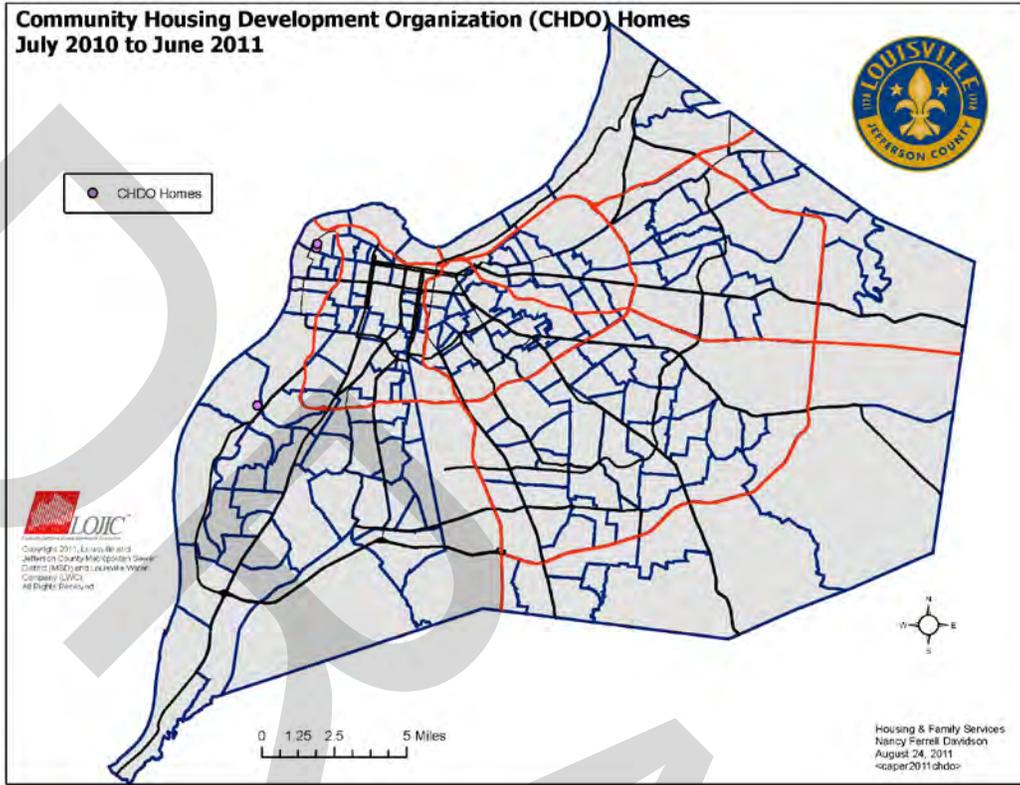
<u>Resources</u>	<u>2010 Action Plan Projection*</u>	<u>2010 Actual Resources**</u>
Formula Grant	-	4,873,830
<b>Total Resources</b>	<b>\$ -</b>	<b>\$ 4,873,830</b>

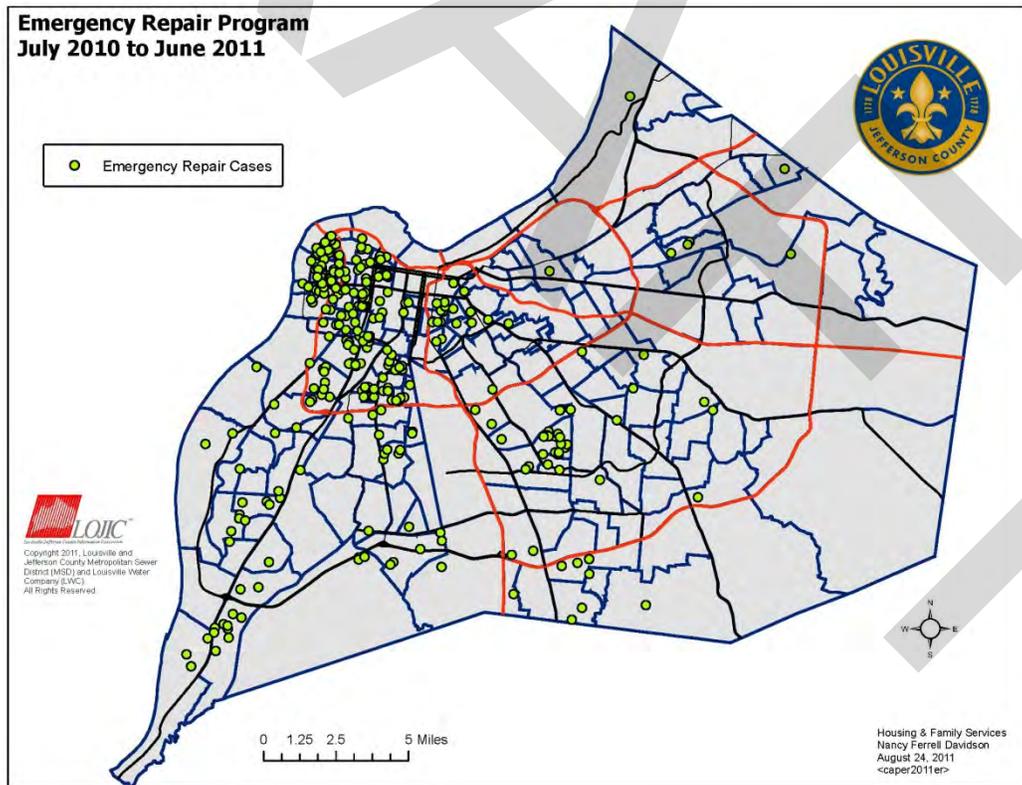
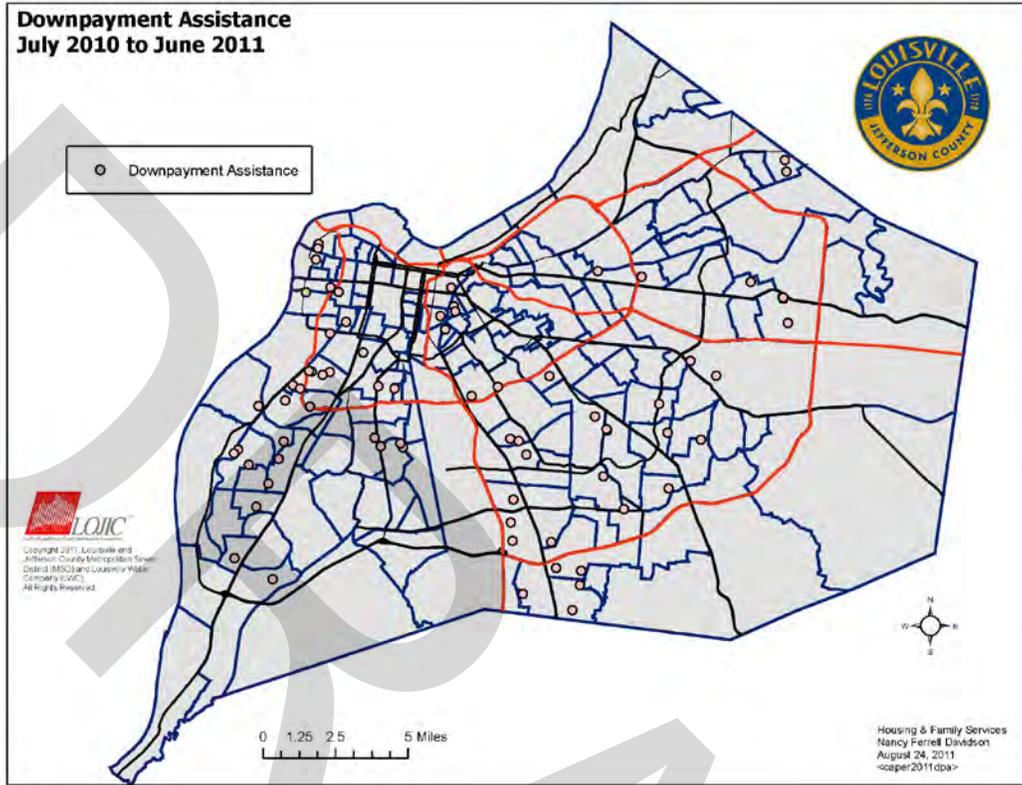
<u>Distribution of Resources</u>	<u>2010 Action Plan Commitments*</u>	<u>Amount Expended in 2010</u>
Client Assistance		80,869
Supportive Services		433,130
Data Collection		34,058
3% Administration		44,357
<b>Total Distribution of HPRP Resources</b>	<b>\$ -</b>	<b>\$ 592,414</b>

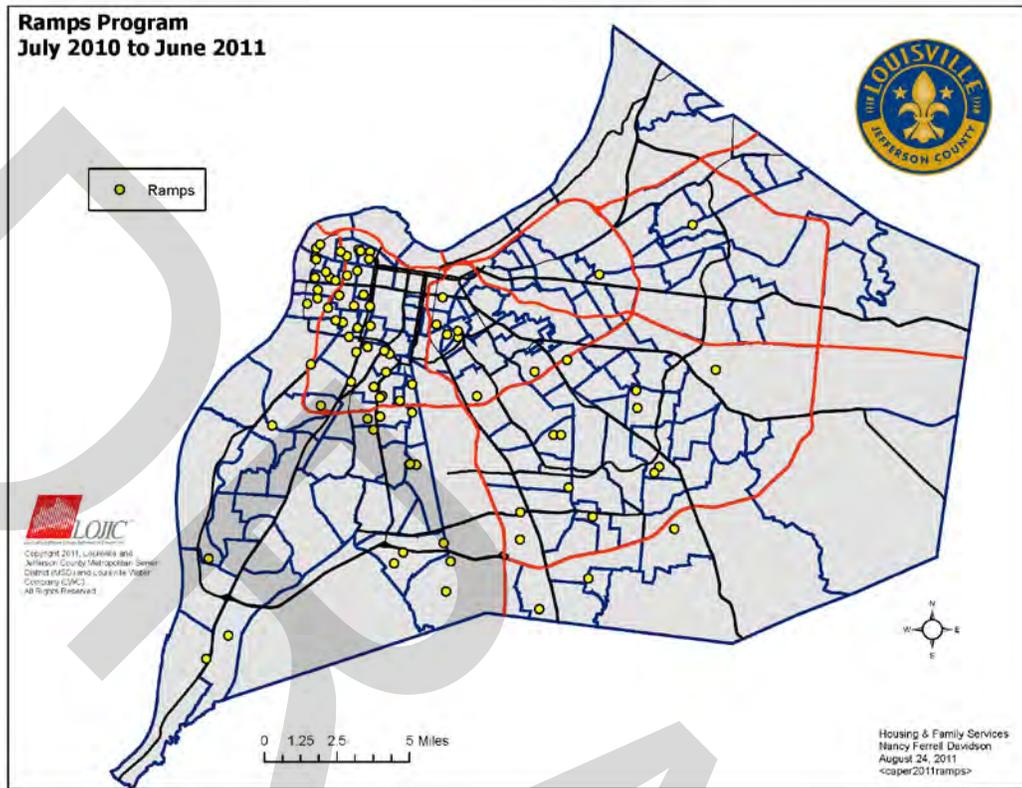
\*This grant was not a part of the 2010 Action Plan as these funds required a substantial amendment to the 2008 Action Plan, though funds were received in program year 2009.

\*\*Homeless Prevention Rapid Re-Housing Program is a three year grant. 60% of funds must be expended within two years of the date the funds become available to the grantees for obligation; 100% of funds must be expended within three years of this date.









## Institutional Structure

During program year 2010 a new departmental structure was developed within the Department of Housing and Family Services to better coordinate activities within divisions and meet the needs of the clients the department services. As of July 1, 2011 the department was reorganized as the Department of Community Services and Revitalization. There are two main divisions, Community Services and Community Revitalization. Community Services administers all client based programs including home repair services, community action services, self-sufficiency services and services administered through Neighborhood Place and provides outreach and advocacy for special populations in the community (i.e. youth, women, aging and disabled). Community Revitalization manages all land use and development activities, including coordinating and supporting the efforts of the Landbank Authority, Urban Renewal Commission and Vacant Property Review Commission.

The Compliance and Administration unit, previously Grants Planning, Compliance, and Monitoring, performs all sub-recipient administration including regulatory underwriting, execution of sub-recipient service agreements, IDIS administration and sub-recipient monitoring. In addition, this unit oversees regulatory compliance (i.e. Environmental Review, Davis-Bacon, Section 3, etc.) and performs all planning and reporting activities. The Compliance and Administration unit has also absorbed some administrative functions previously part of the department's business office, which is now being centralized under the Office of Management and Budget.

LMCSR continues to strengthen its relationship with other Metro departments to carry-out multiple entitlement-funded activities. These agencies include Public Works and Assets, Codes and Regulations, the Economic Development Department, Public Health and Wellness Department, and the Human Relations Commission. In addition, LMCSR works directly with over 85 non-profit agencies, historically providing them with support through an External Agency Fund (funded with general fund dollars), to

provide housing and human services to the community. Many of these agencies are also involved in Continuum of Care (CoC) or entitlement funded activities.

## Monitoring

As part of its responsibilities for the monitoring of all sub-recipients, the Compliance and Administration Unit performs risk assessments for each sub-recipient to identify the potential risk level for non-compliance prior to the start of the program year based on prior monitoring results or, in rare cases, based on information which raises concerns reported from other agencies or external sources. Sub-recipients found to be at low risk receive desk reviews (a low risk assessment cannot reduce minimum on-site monitoring standards). Those found to be at high risk receive a more comprehensive on-site review or the already established minimum on-site reviews may be conducted more frequently. A monitoring schedule is established annually.

To ensure compliance, the Compliance Unit uses checklists which have been developed to ensure monitoring staff review programs in accordance to regulatory requirements specific to each funding source - CDBG, HOME, ESG or HOPWA. The checklists are also specialized to accommodate for the type of review being provided, Desk or On-site reviews.

LMCSR is dedicated to continued improvement of financial and programmatic oversight of sub-recipients. During Program Year 2010, the monitoring tasks remained centralized within the Compliance and Administration Unit of LMCSR. During program year 2010 the Compliance unit took over reviewing and approving pay requests from entitlement subrecipients as well, which increases the opportunity for early intervention in problem projects.

### Desk Review:

- Examine both routine and special reports from program staff, housing owners/developers/sponsors, sub-recipients, and sub-grantees. This type of monitoring identifies potential problems by analyzing in-house documentation. Monitoring staff review the following to assess performance and look for indicators of performance or compliance deficiencies:
  - Work Program and Budgets and/or written agreements
    - Including loan agreements, if applicable
  - Monthly reports
  - Occupancy reports
    - Including tenant eligibility
  - Financial documents
    - Including audit reports
  - Draw-down requests and supporting documentation
  - Applicable IDIS reports
  - Correspondence between in-house staff and the funding recipient
    - Including telephone interviews
  - Reports from previous monitoring reviews
- Review and enhance on-site monitoring schedule based on desk review results if necessary.
- Prepare and submit to the sub-grantee or sub-recipient a finalized report detailing any findings and concerns discovered.

This information enables the monitoring staff to evaluate performance and identify any compliance issues.

**On-Site Review:**

- Visit the program or project to gather specific information and observe programmatic and administrative elements. Steps in conducting an on-site monitoring are as follows:
  - Perform a desk review
  - Conduct the monitoring visit
    - Entrance interview
    - Review a sample (10-20% depending on funding source) of program and project files for compliance with program regulations and eligibility
    - Compare file information with any reports received from sub-recipient
    - Perform physical inspection (if applicable)
    - Exit interview
- Prepare and submit to the sub-grantee or sub-recipient a finalized report detailing any findings and concerns discovered (and any necessary follow-up).

**Inspections:**

In addition to performing Desk and On-site reviews of sub-recipients, projects funded with federal dollars are also inspected for compliance with local codes and rehabilitation standards.

HOME-funded projects are inspected for compliance with local code requirements throughout their affordability period. The intervals by which we schedule inspections depend on the number of units within each project which coincides with the on-site monitoring schedule. As required by HOME regulations, projects are inspected (and monitored on-site) based on the total units (not just HOME units) threshold as follows: projects containing 1-4 units are inspected every 3 years, projects containing 5 to 25 units are inspected every 2 years and projects containing more than 25 units are inspected annually. A minimum of 10-20% of units are inspected (preferably the same units as the file reviews) including one unit in each building housing a HOME unit.

For CDBG-funded projects, inspections are conducted as needed throughout the term of the loan to ensure they meet local code requirements.

For TBRA-funded projects, inspections occur both prior to lease and annually, throughout the term of the rental assistance. The unit inspections also serve to verify compliance with required occupancy standards in relation to the size of each household.

For HOPWA-funded projects, units are inspected to ensure that they meet habitability standards in addition to local code requirements, as required by HOPWA regulations.

For ESG-funded renovation projects, inspections are performed to ensure the facility is in compliance with local habitability standards.

As with the desk review, this information enables the monitoring staff to assess performance and identify any compliance issues.

All federally-funded sub-recipients are required to submit periodic reports detailing accomplishments, expenditures, beneficiary demographics and delays or problems encountered in meeting their benchmarks. LMCSR program staff reviews monthly reports to assess the progress of each activity, evaluate expenditure rates and determine if the sub-recipient is carrying out the activity as planned or

whether technical assistance might be necessary to assist the sub-recipient and ensure compliance with regulations.

LMCSR staff, along with the other Metro agencies receiving Consolidated Plan funding, are also required to prepare and submit regular performance reports to Compliance and Administration. With the responsibility for maintaining the IDIS system for performance measures, Compliance and Administration regularly reviews the programmatic and financial information within the database to monitor the department's performance, including the timeliness of expenditures.

All monitoring activities are conducted as prescribed by the regulations appropriate to the respective funding source. As part of its commitment to continual improvement, LMCSR will regularly review and enhance its monitoring processes and procedures in accordance with grant and audit guidelines.

### **Monitoring Results and Improvements**

At the beginning of program year 2010 a risk assessment was conducted to establish the 2010-2011 monitoring schedule which consisted of both onsite and desk monitoring. A total of 36 monitoring reviews were conducted – 3 of Rental Development programs and 33 monitoring reviews of sub-recipient activities or programs. The activities and programs were funded by a variety of funding sources including CDBG, ESG, HOME, HOPWA, Shelter Plus Care, and HPRP. Technical assistance was also provided to sub-recipients and sub-grantees on an array of topics including procurement, program operations and federal grant requirements.

During program year 2010, three of the programs reviewed received findings, an improvement in compliance over program year 2009. One finding was related to a sub-recipient review. With technical assistance and guidance from the monitoring staff, this sub-recipient performed the necessary actions to have its findings cleared. Two findings were related to rental development. These projects received findings for charging tenant rents above the Annual HOME rent limits. These projects were notified of their non-compliance to the applicable HOME Tenant Rent limits for that year and lease agreements were adjusted to reflect the correct tenant rents.

The following table provides the schedule of sub-recipient activities and programs that were monitored in program year 2010, July 2010 to June 2011, and the type of monitoring review performed for each.

**MONITORING SCHEDULE**  
**Program Year 2010**

<b>JULY</b>		
<i>Program</i>	<i>Category</i>	<i>Type of Monitoring</i>
Interlink Counseling Services - Shelter Plus Care	SPC	On-site
Catholic Charities	HPRP	On-site
AIDS Interfaith Ministries	HOPWA	Desk
Center for Accessible Living	CDBG	Desk
<b>AUGUST</b>		
<i>Program</i>	<i>Category</i>	<i>Type of Monitoring</i>
Coalition for the Homeless	HPRP	On-site
Family Scholar House - At-Risk Family Services	CDBG	On-site
Family Scholar House - Welcome Home	CDBG	On-site
GuardiaCare Services, Inc. - Payee Program	CDBG	Desk
<b>SEPTEMBER</b>		
<i>Program</i>	<i>Category</i>	<i>Type of Monitoring</i>
House of Ruth - Glade House	CDBG	On-site
House of Ruth - Glade House	SPC	On-site
House of Ruth - Glade House	HOPWA	Desk
House of Ruth - Glade House - Food Nutrition Basic Skills	ESG	Desk
House of Ruth - Glade House - Essential Services	ESG	Desk
House of Ruth - Glade House - Operations	ESG	Desk
<b>OCTOBER</b>		
<i>Program</i>	<i>Category</i>	<i>Type of Monitoring</i>
HPI California/Oakdale Neighborhood Partnership	HOME	On-site
Park Duvalle Town Center - Site Prep	CDBG	Desk
Park Duvalle Town Center - Relocation	CDBG	Desk
<b>NOVEMBER</b>		
<i>Program</i>	<i>Category</i>	<i>Type of Monitoring</i>
St. John Center - Day Shelter Center	CDBG	On-site
St. John Center -Residential Recovery	CDBG	On-site
St. Vincent de Paul - Ozanam Inn	ESG	Desk
Boys Haven - Equine Employment	CDBG	Desk
<b>DECEMBER</b>		
<i>Program</i>	<i>Category</i>	<i>Type of Monitoring</i>
YMCA - Family Mediation Program	CDBG	Desk
YMCA - Safe Place Street Outreach	CDBG	Desk
H. Temple Spears Assisted Living	HOME	Inspection
Bridgheaven/Steps to Recovery	CDBG	Desk
<b>JANUARY</b>		
<i>Program</i>	<i>Category</i>	<i>Type of Monitoring</i>
Family Health Centers - Phoenix Health Center - Homeless Health Services	CDBG	Desk
Family Health Centers Phoenix Health Center	ESG	Desk
Healing Place for Women	HOME	Inspection
Henry Greene Apartments	HOME	Inspection
<b>MARCH</b>		
<i>Program</i>	<i>Category</i>	<i>Type of Monitoring</i>
Wellspring - Journey House - Essential	ESG	Desk
Wellspring - Ardery House - Operations	ESG	Desk
<b>APRIL</b>		
<i>Program</i>	<i>Category</i>	<i>Type of Monitoring</i>
Hoosier Hills AIDS Coalition	HOPWA	Desk
Volunteers of America	HOPWA	Desk
Volunteers of America Family Emergency Shelter	ESG	Desk
<b>MAY</b>		
<i>Program</i>	<i>Category</i>	<i>Type of Monitoring</i>
Choices - Norma's House	ESG	Desk
<b>JUNE</b>		
<i>Program</i>	<i>Category</i>	<i>Type of Monitoring</i>
Wayside	ESG	On-site

## Self-Evaluation

Development of the CAPER provides Louisville Metro Government the opportunity to determine whether the programs in place are achieving the goals in the Action Plan and the wider goals of the Consolidated Plan. Louisville Metro Government recognizes that the major factors contributing to neighborhood and community difficulties are barriers to affordable housing, lack of public services to preserve and develop the community's infrastructure and the need for revitalization of deteriorating neighborhoods. Many of the programs/projects in place during program year 2010 have had a positive effect in helping to address the needs identified in the Consolidated Plan –Housing, Economic Development, Community Development, Homelessness, and Special Needs/HOPWA

Housing needs have been addressed through homeowner assistance programs, housing rehabilitation programs, the Rental Development Program, and new construction activity. These programs are all helping to expand the supply of affordable housing and to stabilize the existing housing stock. While the Emergency Repair and Downpayment Assistance programs exceeded their goals for program year 2010, some activities, such as CHDO, operate in a somewhat cyclical manner and anticipate a successful year in 2011 based on the current production schedule. Other programs, such as Rental Development, have existing projects in the pipeline but have been adversely affected by economic conditions which have slowed production of rental housing. Overall, LMCSR expects housing accomplishments to balance out through the 5-year Consolidated Plan timeframe and for the development goals established to be met.

Economic development needs have been addressed through the provision of METCO and Microenterprise business loans which generate job creation and/or retention which principally benefit low to moderate income persons. While the METCO program fell short in meeting its goal, LMCSR considers the Microenterprise development program to be a success for its first year of operation. Going forward, it will be important to evaluate the long term success of funded microenterprises to evaluate the long term success of the program. In addition, while METCO did not meet its goal for 2010, additional projects are in progress that are anticipated to create jobs in the future.

Community development needs have been addressed through a variety of programs aimed at increasing neighborhood stability and improving the quality of life for residents of this community. These programs include activities for public facilities and improvements, clearance and public service. It is realized that some public improvements projects have been slow moving and were not completed during the 2010 program year and remain underway. More detailed descriptions of each of these projects are included in the public facilities section.

The needs of the homeless populations have been addressed through rental assistance, supportive services, and operations support to emergency and transitional shelters. These needs have also been addressed by homeless prevention activities funded by stimulus funding – Homelessness Prevention and Rapid Re-Housing Program (HPRP). Individual sub-recipient accomplishments are summarized throughout the narrative and in the individual project tables and with the exception of the HOME TBRA program, all categories of homeless services have exceeded their goals. The HOME TBRA program is in the process of revamping policies and procedures to make better use of the funding available and LMCSR expects an increase the number of clients served in the 2011 program year.

The needs of special needs populations, including those living with HIV/AIDS have been meet by housing assistance and supportive services. HOPWA TBRA, STRMU, and supportive services all exceeded the goals set for the 2010 program year. The Ramps program provided housing rehabilitation to increase mobility of individuals with disabilities and exceeded its yearly goal of 45 units by 43 for a total of 88 ramps installed.

## Lead-based Paint

The goals of the Lead Safe Louisville (LSL) Project, funded by a federal HUD Lead-Based Paint Hazard Control Grant, KYLHB0445-09, period of performance January 1, 2010 - December 31, 2012, include the following benchmarks: perform 300 inspections/risk assessments; remediate 175 housing units; train 102 people in lead disciplines, including lead safe work practices and raise community awareness about lead hazards.

Raising community awareness was achieved through some of the following activities:

- Presentations to neighborhood associations;
- Participation in community events and health fairs, and;
- Distribution of informational fliers.

LSL Project staff participated in 56 outreach events and held 5 training classes, in which 99 people were trained in lead safe disciplines. The number of clients reached through LSL outreach for July 1 2010, through June 30, 2011 was 10,302. During this same time period 37 units were made lead safe.

Per the Department of Public Health and Wellness, the percentage of children with blood levels greater than 10µg/dl ranged from 2% to 11% at the end of 2010, compared to a range of 2% to 10% at the end of 2009. (Percentages are based on number of children screened.)

## HOUSING

### Housing Needs

Housing needs were established as the highest priority in the 2010 – 2014 Consolidated Plan. Goal 1 states that Louisville Metro residents should have a range of choices for safe, decent, secure, and affordable housing. As this goal indicates low and very-low income households continue to face significant challenges to obtaining acceptable housing and securing financing for housing. Numerous LMCSR programs are designed to address the needs of Goal 1.

During program year 2010, the following actions were taken to foster and maintain affordable housing:

- Made funding available to non-profit organizations to provide homebuyer education classes through the Counseling and Education program (administered through HPI) and the Homeownership Counseling program (administered through Louisville Urban League).
- Made funding available to the Legal Aid Society of Louisville to provide foreclosure counseling and education services to homeowners in danger of losing their homes.
- Funded a non-profit organization to provide home modifications for disabled residents through the Ramps Installation program (administered through Center for Accessible Living, Inc.).
- Provided down-payment assistance to first time homebuyers through the Downpayment Assistance program.
- Provided rehabilitation services to homeowners through the Residential Repair and Emergency Repair programs (administered through LMCSR)
- Provided area specific rehabilitation services to homeowners in the Smoketown and Shelby Park neighborhoods through the Smoketown/Shelby Park Home Repair program (administered through New Directions Housing Corporation).
- Provided energy efficiency improvements to homes of very low and low-income families through the Weatherization program (administered through LMCSR).
- Provided funding to develop affordable, multi-family rental units for low-income households through the Rental Development Program (administered through LMCSR).

- Partnered with Community Housing Development Organizations (CHDOs) to develop affordable owner-occupied housing.

## **Specific Housing Objectives**

### **Affordable Housing Actions for Extremely Low-Income, Low-Income, and Moderate-Income Renters**

Actions for extremely low, low, and moderate-income renters are generally centered on subsidized affordable rental activities. These activities are the Tenant Based Rental Assistance (TBRA) Program and the Rental Development Program funded with HOME Investment Partnership dollars. The Rental Development Program is a gap-financing mechanism to develop affordable rental housing.

During program year 2010, TBRA has assisted 106 clients. Federal, state, and local resources were also utilized to facilitate the development of 32 HOME-assisted rental units for extremely low, low, and moderate income persons through the Rental Development Program.

A breakout of the number of extremely low, very low, and low-income persons receiving assistance from rental housing activities is provided on the following page.

**EXTREMELY LOW, LOW & MODERATE-INCOME  
RENTAL HOUSING ACTIVITIES**

<b>Activity</b>	<b>0-30%</b>			<b>Goals</b>	<b>2010 Accomplishment</b>
	<b>Extremely Low Income</b>	<b>31-50% Very Low Income</b>	<b>51-80% Low Income</b>		
Rental Development Program	20	12	-	54	32
HOME Tenant Based Rental Assistance	89	15	2	160	106
Weatherization	35	2	-	270*	37
Ramps	16	1	-	45**	17

\*Goals for the Weatherization program include both renter and owner households. 222 total households were served.

\*\*Goals for the Ramps program included both renter and owner units. 88 total units were assisted.

## **Affordable Housing Actions for Extremely Low, Very Low-, Low, and Moderate Income Owners**

Actions for extremely low, low, and moderate-income owners include assisting with homeownership assistance and a variety of housing rehabilitation programs. During program year 2010, 79 households received downpayment assistance (including first time homebuyers) in Louisville Metro. This exceeded the goal of 45 households established in the 2010 Action Plan. Downpayment assistance is the main housing activity to assist low to moderate-income households in achieving homeownership.

During 2010, housing rehabilitation services were provided to 646 owner-occupied single family homes. These services were funded through a variety of sources, including CDBG and HOME. Housing rehabilitation services were provided in the form of the following programs:

- Residential Repair (CDBG) – 25 units
- Emergency Repair Program (CDBG) – 371 units
- Metro-Wide Weatherization Supplement (CDBG) – 222 units
- Metro-Wide Roof Repair Program (CDBG) – 19 units
- Smoketown/Shelby Park Residential Repair (HOME, funded in a previous program year) – 7 units

A breakout of the number of extremely low, very low, and low income persons receiving assistance from owner occupied activities and 2010 Action Plan goals is provided on the following page.

**EXTREMELY LOW, LOW & MODERATE-INCOME  
OWNER OCCUPIED HOUSING ACTIVITIES**

<b>Activity</b>	<b>0-30%</b>			<b>Goals</b>	<b>2010 Accomplishment</b>
	<b><u>Extremely Low Income</u></b>	<b><u>31-50% Very Low Income</u></b>	<b><u>51-80% Low Income</u></b>		
Downpayment Assistance	2	16	61	45	79
Residential Repair	7	6	12	50	25
Emergency Repair Program	158	138	75	325	371
Weatherization Supplement	130	39	16	270*	185
New Directions Roof Repair Program	9	10	-	-**	19
Smoketown/Shelby Park Home Repair	2	3	2	-***	7
Ramps	60	10	1	45****	71

\*Goals for the Weatherization program include both renter and owner households. 222 total households were served.

\*\*Goals for the Roof Repair Program were not included in the 2010 Action Plan.

\*\*\*Goals for the Smoketown/Shelby Park Home Repair Program were not included in the 2010 Action Plan (program initiated in prior years).

\*\*\*\*Goals for the Ramps program included both renter and owner units. 88 total units were assisted.

## Section 215 Housing Opportunities

Section 215 of the National Affordable Housing Act contains eligibility requirements for affordable housing as well as a definition, specifically pertaining to the HOME program. Sections 92.252 and 92.254 under Title 24 Code of Federal Regulations, Part 92 (24 CFR 92) further explains rental and owner-occupied HOME housing criteria necessary to qualify as Section 215 housing. The accomplishments for HOME-funded programs/units that met the Section 215 definitions were 32 rental housing units and 79 owner units.

## Public Housing Strategy

The Louisville Metro Housing Authority (LMHA) is responsible for addressing the needs of public housing within Louisville Metro/Jefferson County. The mission of LMHA is to provide quality, affordable housing for those in need, assist residents in their efforts to achieve financial independence, and work with the community to strengthen neighborhoods. LMHA administers both the public housing program as well as the Housing Choice Voucher (Section 8) program.

LMHA works in partnership with LMCSR in several areas in order to improve public housing and resident initiatives.

For example, CDBG and HOME funds were used for infrastructure at the Liberty Green (fka Clarksdale) HOPE VI Revitalization. HOPE VI is a federal program created in 1992 and charged with eradicating severely distressed public housing. Previously, LMHA has competitively been awarded three HOPE VI grants (one for Park DuValle and two for Clarksdale). In May of 2011, LMHA was awarded a \$22 million grant for the \$147 million revitalization of the Sheppard Square public housing development. While no CDBG or HOME funds were expended from July 2010 through June 2011, LMHA has completed the rental portion of the Liberty Green Revitalization completing 443 rental units on the site were CDBG and HOME funds were expended previously. In addition, a homeownership developer has been procured for the balance of the site and a ribbon cutting on new units occurred in August of 2011. LMCSR will offer HOME down payment assistance to buyers that qualify.

LMHA also offers a variety of family self-sufficiency opportunities to public housing residents and housing choice voucher participants. The Family Self-Sufficiency (FSS) program provides case management and supportive services to residents. From July 2010 through June 2011 LMHA's FSS program served a total of 759 (unduplicated) households. In this same period there were 89 program graduates who received a total of \$894,344.88 in escrow.

In addition, LMHA administers an award-winning homeownership program where participants utilize the Housing Choice Voucher to pay a portion of a mortgage instead of rent. From July 2010 through June 2011 13 clients purchased homes – 12 homebuyers from the Section 8 program and one homebuyer who was a public housing resident and including one buyer in partnership with Habitat for Humanity of Metro Louisville. Average income of these buyers at closing was \$14,570 which is below 30% of the median family income. Average home sales price was just under \$112,500, with an average interest rate of 4.08%. Eight of the 13 buyers are elderly, disabled or handicapped. Six of the 13 buyers utilized a total of \$81,900 in HOME down payment assistance through LMCSR.

Finally, Louisville Metro received nearly \$7 million from HUD for the Neighborhood Stabilization Program for the purpose of stabilizing communities across America hardest hit by foreclosures. In order to achieve the goals of the low-income set-aside, LMCSR has allocated \$2.1 million to the Louisville Metro Housing Authority Development Corporation (LMHA-DC) to develop approximately 10 units of public housing. LMHA's NSP program will focus on targeted acquisition and rehabilitation of approximately 10 foreclosed homes and vacant lots within and surrounding the Smoketown neighborhood. When development activities have been completed, the newly renovated homes will be added to the LMHA's public housing

stock. The new public housing units will be occupied by families from LMHA's public housing waitlist who earn less than 50% area median income (AMI). LMHA anticipates the units will be ready for occupancy by summer of 2012.

## Barriers to Affordable Housing

During program year 2010 LMCSR undertook a number of actions to both directly and indirectly reduce barriers to affordable housing. For the past program year an internal working group composed of LMCSR staff, Louisville Metro Planning and Design, Louisville Metro Inspections, Permits and Licenses, and Louisville Metro Human Relations Commission, and the Metropolitan Housing Coalition have held regular to discuss zoning and other fair housing goals. Understanding that fair housing issues are often directly related to the availability and quality of affordable housing, this group has focused on "Analysis of Impediments to Fair Housing Choice in Louisville Metro, KY" recommendations that lie within Metro Government's executive authority to implement.

LMCSR has attempted to address barriers to affordable housing through a number of internal programs. These efforts include:

1. Providing Homeownership Assistance
2. Supporting strategies to increase public awareness of housing issues
3. Providing education regarding homeownership rights and responsibilities
4. Undertaking actions to promote energy efficiency, thus reducing housing cost burden

The availability and cost of affordable housing continue to be formidable barriers to affordable housing. The Downpayment Assistance and HOME TBRA programs worked to lower mortgage payments to ensure affordability and work to increase the supply of affordable rental housing. Louisville Metro continues to work with a growing group of CHDOs to foster and develop affordable housing within the community for the benefit of extremely low, very low, and low income families earning 80% or less of AMI.

The barrier regarding the lack of knowledge and understanding of housing issues and homeowner rights and responsibilities was addressed through various educational programs. The Fair Housing Enforcement Program, administered by the Louisville Metro Human Relations Commission, educated individuals in the community through the annual Race Relations Conference, billboard advertisements, housing discrimination brochures in various languages and other community outreach activities. The Fair Housing Education Program, administered by the Louisville Urban League, provided fair housing education to renters, prospective renters, and first-time homebuyers in both one-on-one sessions and group forums.

Additional actions to overcome the barriers identified above were also be undertaken by Louisville Urban League (LUL), Housing Partnership, Inc. (HPI) and Legal Aid Society, Inc. through counseling and education programs. These programs were previously addressed in the Fair Housing Section, but are summarized below.

Housing Partnership, Inc. provided homeownership counseling and outreach to homeowners including those at risk of foreclosure. During the 2010 program year, 5,243 clients were served in the following ways:

- 327 clients received education and/or attended group workshops on homebuyer education (178 attended classes on budget and credit, 149 attended homebuyer education classes);
- 850 clients received pre-purchase homebuyer counseling;
- 1,667 clients received assistance with preventing mortgage delinquency;
- 73 clients received counseling regarding home maintenance and financial management for homeowners.

The Louisville Urban League provided fair housing education and counseling services to renters, prospective renters and first-time homebuyers. During the 2010 program year approximately 1,698 unduplicated clients were served in the following ways:

- 1,100 clients were educated on Fair Housing Law;
- 162 clients received budget and credit counseling;
- 270 clients received rental counseling;
- 449 clients received homeownership counseling;
- 887 clients received mortgage default/foreclosure counseling;
- 11 clients received post-purchase counseling;
- 944 clients received financial literacy education.

The Legal Aid Society, Inc. reduced barriers to affordable housing through The Legal Aid Society's Foreclosure Defense Program, which is designed to support the increased needs of low-income homeowners who fall behind in their mortgage payments. Some of these individuals are victims of predatory lending; however, Legal Aid has also experienced a dramatic increase in requests for assistance from individuals who fall behind in their mortgage payments due to unforeseen circumstances such as loss of employment, illness, disability or the death of a spouse.

During the 2010 program year, 549 low-income homeowners facing foreclosure attended a Foreclosure Defense Clinic. The weekly clinics last one hour where homeowners facing foreclosure are able to ask questions about the foreclosure process and are also provided with individual consultation tailored to their specific problem. Oftentimes, Legal Aid is able to provide further legal assistance when needed; if the homeowner is not eligible for Legal Aid services, the Foreclosure Defense program staff provides the homeowner with community resources that may be able to provide assistance.

Actions were taken to promote energy efficiency through the Metro-Wide Weatherization program. This program addresses high utility costs for low-income households, which in turn reduces their housing cost and increases disposable income to be used for other essential expenses. This program is further detailed in the Affordable Housing Goals Section.

## **HOME/ American Dream Down Payment Initiative (ADDI)**

That Louisville Metro residents should have a range of choices for safe, decent, secure, and affordable housing was identified as the highest priority goal in the 2010 – 2014 Consolidated Plan.

The following objectives relate to HOME funded programs in program year 2010:

**Objective 1.1:** Increase the number of affordable, owner-occupied homes [HUD DH-1]  
**Outcome 1.1:** Develop 62 new units of affordable single family housing over five years  
**Activities 1.1:** Non-profit housing development [CHDOS]  
**Funding sources:** HOME

**Objective 1.2:** Increase the number of affordable rental units [HUD DH-1]  
**Outcome 1.2:** Develop 133 new units of affordable rental housing over five years  
**Activities 1.2:** Rental Development Program  
**Funding sources:** HOME and Private Investments

**Objective 1.4:** Assist low- and extremely low-income households with rent [HUD DH-2]  
**Outcome 1.4:** Serve 950 persons over five years  
**Activities 1.4:** HOME Tenant-Based Rental Assistance  
**Funding sources:** HOME

**Objective 1.5:** Promote and preserve homeownership for low-to-moderate income persons [HUD DH-2]

**Outcome 1.5:** Provide down payment assistance to 295 households over five years

**Activities 1.5:** Down Payment Assistance Program

**Funding sources:** CDBG and HOME

**Objective 1.6:** Preserve and improve existing, affordable owner-occupied housing [HUD DH-3]

**Outcome 1.6:** A total of 3,082 single family units will be rehabilitated or repaired over five years

**Activities 1.6:** CHDOs, Residential Repair, Emergency Repair, and Weatherization

**Funding sources:** CDBG and HOME

**Objective 1.7:** Preserve and improve existing, affordable rental housing stock [HUD DH-3]

**Outcome 1.7:** Repair or rehab 544 units of rental housing over 5 years.

**Activities 1.7:** Weatherization and Rental Development Program

**Funding:** CDBG, HOME, and Private Investments

**Objective 1.9:** Support non-profit housing developers as a core strategy in reaching affordable housing goals [HUD SL-1]

**Outcome: 1.9:** Maintain an ongoing group of 5 CHDOs

**Activities 1.9:** Technical assistance and direct financial support to CHDOs

**Funding:** HOME and Private Investments

The following programs were included in the 2010 Action Plan addressing the aforementioned goals and objectives.

- **Community Housing Development Organization (CHDO) (IDIS: Various):** A Community Housing Development Organization (CHDO) is a private nonprofit, community-based service organization that has achieved a special designation as a developer of affordable housing. Louisville Metro Government, as the Participating Jurisdiction (PJ), must reserve no less than 15 percent of HOME funds for investment in housing to be developed, sponsored, or owned by community housing development organizations. The CHDO must be the developer, owner, and/or sponsor of the HOME-assisted housing in order to access the CHDO set-aside. Louisville Metro Government supports CHDOs by providing the following:
  - Access to operating support
  - Access to training and technical assistance in housing development and management
  - Access to construction financing
  - Access to gap financing
  - The opportunity to earn reasonable developer fees
  - Access to retention of CHDO proceeds

#### **CHDO – River City Housing**

##### **Single Family New Construction & Rehabilitation – 2009H - RCH**

The project consists of acquisition and development of thirteen (13) properties, The CHDO will build four (4) new single-family homes, and rehabilitate nine (9) existing single family homes. The contract in the amount of \$985,938.00 in HOME funds was signed on April 7, 2009. Market factors and costs reduced the development project from fourteen to thirteen homes. Three (3) homes were built/rehabbed and sold to HOME eligible buyers during this reporting period. Currently, one (1) house is under construction, and one (1) house is being marketed for sale. These are the final two (2) houses of the contract with the other eleven homes having been completed and sold. The total HOME CHDO set-aside expenditure to date is \$985,938.00.

##### **Single Family Rehabilitation Project – 2010H - RCH**

This project consists of the acquisition and development of nine (9) properties all of which will be rehabilitated existing single family homes. Five (5) properties have been purchased thus far. Two (2) houses are currently under construction, two (2) have been completed (with one under contract for sale), and one (1) has just completed the environmental process and recently purchased. The total HOME CHDO set-aside agreement is worth \$873,000.00. The agreement was signed on 12/1/10.

**CHDO – REBOUND, Inc**

**Single Family New Construction and Rehabilitation – 2011 – REB**

The project consists of the acquisition and development of four (4) single family homes. Currently, two (2) properties have been acquired and are under construction. One is demolition and new construction, and the other is rehabilitation. Another property is under environmental review. The total HOME CHDO set-aside agreement is worth \$641,665.08. The agreement was signed on 3/4/11.

**CHDO – Keeping It Real: The Neighborhood Institute, Inc**

**Single Family New Construction – 2011-KIR**

The project consists of the acquisition, new construction, and sale of two (2) single family homes. The CHDO is currently looking for properties to begin their first house. The total HOME CHDO set-aside agreement is worth \$321,996.00. The agreement was signed on 3/18/11. Keeping It Real is also in the seventh month of a \$50,000.00 twelve month Operating Agreement.

CHDO	Development Fees	Paid to City	Real Estate Sales Price	Second Mortgage Provided by City	Number of Homes Sold
River City Housing	\$70,466.00	\$0.00	\$329,500.00	\$60,000.00	3
<b>Totals</b>	<b>\$70,466.00</b>	<b>\$0.00</b>	<b>\$329,500.00</b>	<b>\$60,000.00</b>	<b>3</b>

Address	Zip Code	Sale Price	Soft Second	Closing Date	Female Headed	Race	% of AMI
105 S Shawnee Terrace	40212	\$100,000	\$20,000	10 Feb 2011	No	Black not Hispanic	70-80%
302 N Shawnee Terrace	40212	\$107,000	\$20,000	10 May 2011	Yes	Black not Hispanic	60-70%
4715 Zilma Dr	40216	\$122,500	\$20,000	30 Jun 2011	Yes	White not Hispanic	70-80%

**2010 Action Plan Goal: 14**

**Units Sold during Program Year 2010: 3**

**Amount of funds expended during Program Year 2010: \$384,402.93**

- **Downpayment Assistance Program (IDIS: Various):** This program is designed to assist potential homebuyer(s) with down payment funding according to HUD federal guidelines in order to reduce the purchase price and make the home more affordable. Applicant must be income eligible and credit qualified. There shall be no unpaid collections on credit report of applicant or

co-applicant and no un-discharged bankruptcies. Mortgage payments are not to be more than 30% of gross income. Total monthly expenses, including mortgage payment, may not exceed 43% of total debt ratio; however, exceptions may be made if client does not have any debt other than mortgage payment and satisfactory credit scores. The required monthly payment under both, the insured mortgage and the second mortgage or lien, plus other housing expenses and all recurring charges, cannot exceed the borrower's reasonable ability to pay the debt. Homeowners insurance and taxes must be escrowed into first mortgage payment amount. The source, amount and repayment terms must be disclosed in the mortgage and the borrower must acknowledge in writing that he or she understands and agrees to the terms.

**2010 Action Plan Goal: 45**

**Units Assisted during Program Year 2010: 79**

**Amount of funds expended during Program Year 2010: \$127,400.00**

- **Rental Development Program (IDIS: Various):** The Rental Development Program (previously referred to as the Investor Program) is designed to offer established developers gap financing opportunities for multifamily projects to increase affordable housing within the local housing market. There are three objectives in providing gap financial assistance: 1) to ensure the project is adequately capitalized and that there are sufficient funds to allow the project to be developed in a responsible manner; 2) to ensure that operating projections are reasonable, to allow the project to have sufficient resources during the affordability period; and 3) to ensure that the funds provided by Louisville Metro are the minimum required to accomplish the first two objectives. Louisville Metro funding is normally utilized for construction related costs associated with rehabilitation and/or new construction activities. During program year 2010, a total of 32 HOME assisted units were certified as complete, 459 total units were developed. There are currently four projects in development which, when completed, will provide an additional 32 HOME assisted units (239 total units).

**2010 Action Plan Goal: 54**

**Units Assisted during Program Year 2010: 32**

**Amount of funds expended during Program Year 2010: \$3,295,553.10**

For a breakdown of specific Rental Development projects please see table on the following page.

**RENTAL DEVELOPMENT PROGRAM**  
**IN PROGRESS AND COMPLETED PROJECTS - HOME FUNDED**

<b>Project Name</b>	<b>Total Budget</b>	<b>HOME Funding</b>	<b>Non-HOME Funding</b>	<b>Number of Units</b>	<b>HOME Assisted Units</b>	<b>HOME Assisted Units Completed</b>	<b>Status</b>
Stoddard Johnston Scholar House	\$ 11,410,405	\$ 500,000	\$ 10,910,405	57	7	-	In progress
Downtown Scholar House	\$ 9,688,836	\$ 1,288,947	\$ 8,399,889	54	7	-	In progress
Oracle 2009	\$ 10,045,217	\$ 900,000	\$ 9,145,217	64	6	-	In progress
St. William Apts.	\$ 3,638,799	\$ 583,000	\$ 3,055,799	53	9	-	In progress
Zoe Fields	\$ 1,500,500	\$ 375,000	\$ 1,125,500	11	3	-	In progress
Healing Place for Women	\$ 7,897,840	\$ 800,000	\$ 7,096,840	68	8	8	Complete
Building Dreams	\$ 1,124,164	\$ 426,995	\$ 697,169	8	4	4	Complete
Coventry Commons	\$ 7,733,787	\$ 600,000	\$ 7,133,787	98	6	6	Complete
Coventry Commons II	\$ 7,515,787	\$ 382,000	\$ 7,133,787	70	5	5	Complete
Overlook Terraces	\$ 19,803,635	\$ 1,475,000	\$ 18,328,635	144	11	4	Complete
St. Dennis Senior Apts.	\$ 4,144,300	\$ 315,000	\$ 3,829,300	34	3	3	Complete
Brook St. Apts.	\$ 5,508,924	\$ 350,000	\$ 5,158,924	37	3	2	Complete
<b>Total</b>	<b>\$ 90,012,194</b>	<b>\$7,995,942</b>	<b>\$ 82,015,252</b>	<b>698</b>	<b>72</b>	<b>32</b>	

- **Tenant Based Rental Assistance Program(IDIS: Various)**: The primary purpose of this program is to provide rental assistance to homeless individuals and families who have barriers to obtaining low-income housing through the Louisville Metro Housing Authority, Section 8, or private non-profit housing programs. Rental assistance through this HOME funded program will be provided for a maximum of 2 years.

**2010 Action Plan Goal:** 160

**Households assisted during 2010:** 106

**Amount of funds expended during Program Year 2010:** \$991,405.31

The following project had activity in 2010, but was initiated in prior years.

- **Smoketown/Shelby Park Home Repair(IDIS: 23561)**: This program is designed to provide assistance to eligible owner-occupied households in the Smoketown and Shelby park neighborhoods. New Directions, acting as the general contractor, will subcontract rehab services to various firms to provide electrical, plumbing, HVAC, roofs, windows, and other modifications.

**Units Assisted during Program Year 2010:** 7

**Amount of funds expended during Program Year 2010:** \$52,701.00

For race/ethnicity data and types of households served, please see tables on the following pages.

**HOME FUNDED PROGRAMS**  
**RACE/ETHNICITY DATA**

<b>Race</b>	<b>CHDO</b>		<b>Downpayment Assistance</b>		<b>Rental Development Program</b>		<b>Smoketown/Shelby Park Home Repair</b>		<b>Tenant Based Rental Assistance</b>	
	<b># of Race</b>	<b># of Hispanic</b>	<b># of Race</b>	<b># of Hispanic</b>	<b># of Race</b>	<b># of Hispanic</b>	<b># of Race</b>	<b># of Hispanic</b>	<b># of Race</b>	<b># of Hispanic</b>
White	1		32		7		4		26	2
Black/African American	2		42		22		3		78	
Asian			1							
American Indian/Alaskan Native										
Native Hawaiian/Other Pacific Islander									1	
American Indian/Alaskan Native/White										
Asian/White										
Black/African American & White						3				
American Indian/Alaskan Native & White										
Other Multi-Racial			4	2					1	1
<b>Total</b>	<b>3</b>	<b>-</b>	<b>79</b>	<b>2</b>	<b>32</b>	<b>-</b>	<b>7</b>	<b>-</b>	<b>106</b>	<b>3</b>

## Affirmative Marketing Actions

The HOME Program requires that affirmative marketing steps be taken by participating jurisdictions, as stated in 24 CFR 92.351, when using HOME funds for rental projects containing five or more HOME-assisted units. Affirmative marketing steps consist of actions to provide information and otherwise attract eligible persons in the housing market area to the available housing without regard to race, color, national origin, gender, religion, familial status, or disability.

Affirmative marketing actions are undertaken by the Louisville Metro Human Relations Commission and Louisville Urban League through fair housing education, outreach, and enforcement activities. LMCSR also works to institute affirmative marketing actions through the following:

- Use commercial media, including radio, television, and newspapers, to disseminate information
- Use Equal Housing Opportunity logotype or slogan in press releases and solicitations for owners
- Distribute and display fair housing poster
- Require developers to submit an affirmative marketing plan through completion of Affirmative Fair Housing Marketing AFHM Plan – Multifamily Housing (form HUD 935.2A)

Louisville Metro Government has Affirmative Action goals of 15% minority owned businesses and 5% women owned businesses. Contractors are required to make a good faith effort to reach those goals in construction-related projects by using minority, female, and handicapped owned businesses as suppliers and subcontractors.

The Louisville Metro Human Relations Commission also strives to meet this goal with an updated certification process. Ordinance No. 102, Series 2007 establishes the certification process for businesses owned, operated and controlled by minorities, females, persons with disabilities, and sets goals for Louisville Metro Government to promote the awarding of contracts to these certified businesses. The updated certification process features no application fee, reduced preparatory work, reasonable supporting documentation, increased confidentiality, and reciprocal certification with Metropolitan Sewer District, Kentuckiana Minority Business Council, and Kentucky State Transportation Cabinet.

These changes have encouraged more businesses owned by minorities, females, and persons with disabilities to become certified, and are listed on the Louisville Metro Government certified business list. This list is located on the Louisville Metro Human Relations Commission website, at [www.louisvilleky.gov/HumanRelations/](http://www.louisvilleky.gov/HumanRelations/) and is updated monthly.

---

## HOMELESS

### Homeless Needs

The needs of the Louisville Metro homeless are primarily tracked through the Continuum of Care (CoC) provider network. The CoC is comprised of over 40 service provider agencies and is a partnership between Louisville Metro Government and the local Coalition for the Homeless. The local CoC quantifies the annual need and trends of the Louisville Metro homeless population.

Unmet need is measured using the numbers from the annual Point in Time count, 2010 Homeless Census, and the Annual Homeless Assessment Report (AHAR). The most recent annual Continuum of Care (CoC) Point in Time count, conducted on January 26, 2011, reported a total point-in-time homeless population of 1,628 persons. The 2010 Homeless Census reported 9,130 persons as homeless at some point during the 2010 calendar year. This is a decrease of 218 persons from the census numbers counted in 2009. The 2010 Homeless Census showed an increase of 168 chronically homeless and an increase of 230 domestic violence victims. The other recorded subpopulations remained relatively

stagnant or saw a small decrease. The 2010 Homeless Census includes clients served in Supportive Services Only programs, emergency shelters, transitional shelters, and domestic violence shelters. These numbers did not include those persons living in a CoC service agency permanent supportive housing during the 2010 calendar year. Numbers from the Point in Time count, AHAR, and Homeless Census are summarized below.

Category	2011 Point in Time	2010 AHAR Count	2010 Census Count
Total homeless clients	1,628	2,671	9,130
Single adults (men and women)	1,222	1,525	7,807
Adults and children in families	386	1,146	1,323
Number of households	1,376	n/a	448
Unaccompanied children	20	n/a	446
Veterans	314	n/a	876
Chronically homeless	259	n/a	1m643

Other initiatives designed to measure and address the needs of the homeless in Louisville Metro include the annual Project Homeless Connect event held in the Fall of each year. Project Homeless Connect is a one-day service fair for Louisville's homeless population. Services provided include free medical screens and health care, food, clothing, KY State Identification cards, and linkages to benefits, housing, case management, and other services. Approximately 644 homeless individuals have accessed the event in the past year.

Louisville Metro Community Services and Revitalization Office on Homelessness and The Coalition for the Homeless have also produced a cost of homelessness study and have re-released an updated version of the local Ten Year Plan to End Homelessness, entitled "*Reducing Homelessness: a Blueprint for the Future(Update)*." Both publications shed light on the local actions needed to address homelessness in Louisville/Jefferson County Metro.

In addition to ESG and CDBG resources outlined further in this report, LMCSR has attempted to also address the needs of homeless persons in Program Year 2010 through the HOME funded Tenant Based Rental Assistance (TBRA) program. The primary purpose of the HOME TBRA program is to provide rental assistance to homeless individuals and families who have barriers to obtaining low-income housing through the Louisville Metro Housing Authority, Section 8, or private non-profit housing program. In program year 2010, HOME TBRA served 106 individuals/households.

### **Shifting to Permanent Housing for Metro Louisville's Homeless**

According to the 2011 Housing Inventory Chart there are currently 3,138 year-round housing beds in Louisville's Continuum of Care network. Of these 1,701 were considered permanent supportive housing with 923 reserved for families with children and 778 for households without children.

The 2010 Exhibit 1 indicated that much of the increase in permanent housing beds was accomplished by increasing capacity to serve the chronically homeless by 29 units. This resulted in families having more access to units not specifically targeted to the chronically homeless. Overall, the number of beds available for singles increased by 3 and beds for families increased by 96. In addition, over the last several funding cycles, HUD has mandated that any new "bonus" project(s) added to the Continuum of Care be for permanent housing. This has helped increase the supply of permanent housing beds into Louisville/Jefferson County Metro. What's more, in the 2010 funding cycle Louisville/Jefferson County Metro Government was awarded a new Shelter Plus Care grant that will increase the number of permanent housing beds by 22.

Through the injection of Homelessness Prevention and Rapid Re-Housing Program (HPRP) funds, Louisville Metro has come one step closer to having centralized intake for homeless services. The HPRP

funds are intended to provide financial assistance and services to prevent persons from becoming homeless and/or to help those experiencing homelessness to quickly be re-housed and stabilized. The Neighborhood Place locations (eight locations throughout Louisville Metro) provide a one-stop shop for services and referrals, and have acted as the intake location for HPRP.

In Project Year 2010, Louisville Metro added a sustainability component to better ensure that clients assisted through HPRP are truly stably housed when they exit the program. To date 2,766 clients in 1,498 households have been served through HPRP.

Louisville Metro Community Services and Revitalization Office on Homelessness has worked with the local Coalition for the Homeless to create a Housing Options database for permanent housing options. This website is primarily maintained by The Coalition for the Homeless and the Continuum of Care and provides a listing and contact information for all CoC-funded permanent supportive housing projects in Louisville. In addition, the Louisville Metro Office on Homelessness has provided multiple listings of reputable private landlords and properties that can be used as permanent housing options on the Housing Options website. The website and database can be accessed at: [www.housingoptionslouisville.org](http://www.housingoptionslouisville.org)

### **New Federal Resources from Homeless SuperNOFA**

The Louisville Continuum of Care has applied and received new “bonus” grants through the Continuum of Care Homeless SuperNOFA over the last several years. Specifically, several new permanent supportive housing collaborations have been granted in the past. Louisville/Jefferson County Metro Government applied for and was awarded a new Shelter Plus Care grant in the 2010 Homeless SuperNOFA process. A grant agreement has not yet been received by HUD; however, this grant will provide for 22 new beds (16 units) of permanent housing. Of these beds, 11 will be reserved for families and 11 reserved for households without children.

### **Specific Homeless Prevention Elements**

The sources of funds that have been and will continue to be applied to homeless prevention in the Louisville Metro area include HOME, HOPWA and ESG entitlement funds, HPRP stimulus funds, and multiple other public and private grants administered by both public and private entities. Louisville Metro’s Continuum of Care grant application is projected to be awarded at approximately \$2 million dollars for the 2011 funding cycle. The Continuum of Care grants will be matched at a nearly one to one ratio by area service agencies contributing additional resources of support to address homeless prevention.

LMCSR spent approximately \$1,034,630.82 for TBRA through the HOME and HOPWA programs to prevent homelessness in program year 2010. The HOME TBRA Program alone contributed approximately \$991,405.31 to TBRA to prevent 106 households from becoming homeless.

Louisville Metro, like many communities nationally, has seen an increase in the number of individuals and families suffering from a lack of access to affordable housing and inadequate incomes to support the housing they do access. Many of these residents are at high risk of becoming homeless. In September 2009, the HPRP Program, previously cited, started in Louisville to assist in addressing homeless prevention. HPRP is a Federal ARRA/stimulus grant for approximately \$4.87 million. As of June 30, 2011, 2,766 individuals (within 1,498 total households) have received homeless prevention and/or rapid re-housing assistance through Louisville’s HPRP.

### **Emergency Shelter Grants (ESG)**

#### **Emergency Shelter and Transitional Housing Needs**

---

ESG funding of \$272,400 was awarded to qualifying emergency shelters in Louisville Metro in program year 2010. These funds were used primarily for staffing and operation needs at four primary emergency shelters in Louisville Metro: Wayside Christian Mission, Salvation Army, St. Vincent de Paul, and Volunteers of America. ESG funding totaling \$142,200 was awarded to five transitional housing organizations including Bellewood Presbyterian Home for Children, Choices, Inc., New Directions Housing Corporation, The Salvation Army, and Wellspring. A number of transitional housing facilities receive Continuum of Care (CoC) funding along with ESG funding.

### Relationship of ESG Funds to Goals and Objectives

According to the *"Louisville-Jefferson County Metro Government Consolidated Plan 2010-2014,"* both chronic and transitional homeless housing programs will be funded by Metro Government between the years 2010-2014. The fourth overall goal listed in the Consolidated Plan was to "reduce the incidence of homelessness in the Louisville Metro Community." Under this goal are seven objectives with related outcomes and activities. Four of these objectives relate to the expenditures of ESG funding.

Those four objectives are as follows:

**Objective 4.2:** Increase supportive services for persons who are homeless, including health, mental health, substance abuse, domestic violence, child care and transportation services [HUD DH-3].

**Outcome 4.2:** Serve 7,500 persons with supportive services over five years.

**Activities 4.2:** Non-profit service providers.

**Funding:** CDBG and ESG funding distributed via committee process.

**Objective 4.3:** Provide prevention services, including emergency assistance with rent, mortgage & utilities, landlord intervention services, and assistance to obtain copies of birth certificates, photo IDs and other necessary documents [HUD DH-3].

**Outcome 4.3:** Assist 5,000 persons over five years.

**Activities 4.3:** Non-profit service providers.

**Funding:** ESG funding distributed via committee process.

**Objective 4.4:** Support essential services and operations for Emergency Shelters and Transitional Housing [HUD DH-3].

**Outcome 4.4:** Serve 10,000 people through the support of operations and 5,000 people by means of essential services over five years.

**Activities 4.4:** Non-profit service providers.

**Funding:** ESG funding distributed via committee process.

**Objective 4.6:** Support the renovation, rehabilitation or conversion of buildings to use as emergency shelters or transitional housing [HUD DH-1].

**Outcome 4.6:** Assist 5 facilities over five years.

**Activities 4.6:** Non-profit service providers.

**Funding:** ESG funding distributed via committee process.

Objectives 4.2, 4.3, 4.4, and 4.6 directly relate to uses of ESG funding. In program year 2010, Objective 4.2 was addressed by 2,564 individuals being served through ESG funded essential services. Objective 4.2 was also addressed through CDBG funding provided to Homeless Service providers. These

accomplishments are detailed in the Low-to-Moderate Limited Clientele section. Objective **4.3** was addressed by Family and Children's Place providing homeless prevention services to 81 individuals. Homeless prevention services were also provided by CDBG funded subrecipients. Objective **4.4** was met by 2,549 individuals being served by essential services and 6,163 individuals being served as a result of operations funding. Objective **4.6** was not addressed with new funding in program year 2010. It was determined that at that time it would be most advantageous to focus limited ESG resources on operations, essential services, and prevention activities, particularly considering the current economic climate. With the implementation of the HEARTH Act and the expected increase in Emergency Solutions Grant funding, Louisville Metro anticipates funding shelter rehabilitation projects in the future. While no renovation projects were funded with ESG in program year 2010, one ESG renovation project funded in a previous program year continued to draw funds in program year 2010.

Individual project accomplishments are detailed in a subsequent section.

### **Matching Resources**

Metro Government requires each sub-grantee that receives ESG funds to be responsible for the required 100% matching funds equal to its individual ESG allocation. The one-to-one ESG match requirement can be fulfilled using agency cash contributions, other agency grants (other State, Federal, Private grants), donated supplies to the agency, value of the agencies shelter building, and so forth. The source of the ESG match is required on all fully executed contracts between Louisville Metro Government and the awarded homeless service agency. Matching funds are documented by Louisville Metro Department of Community Services and Revitalization.

Please see the ESG Subrecipient Match compilation table for specific ESG Match quantities and categories.

**EMERGENCY SHELTER GRANT  
SUBRECIPIENT MATCH  
CONTRACTS DATING JULY 2010 TO JUNE 2011**

<u>Subrecipient</u>	<u>Service Type</u>	<u>Project</u>	<u>Total Award</u>	<u>Use of Funds</u>	<u>Match</u>
Bellewood Presbyterian Home for Children	Services, Operations	Transitional Housing	\$ 45,800	Salaries, Travel, Rent, Phone, Copier, Office Supplies, Postage, Transitional Housing Costs	\$125, 476 in Private Funds and \$16,390 in Volunteers and Donated Furnishings/Home Goods.
Choices, Inc.	Operations	Norma's House	\$ 30,500	Salaries, Rent, Utilities, Phone, Copier, Office Supplies, Postage, Audit, Insurance	\$126, 850 in Private Funds and \$5,600 Office Space, Donated Household Supplies, Volunteers.
Family & Children's Place	Prevention	Intensive Case Management	\$ 80,800	Salaries	\$1,028,620 in Private Funds.
New Directions Housing	Operations	Transitional Services	\$ 20,300	Leased Apartment Units, Utilities	\$20,087 Graduate-level Intern, KY Housing Safe/Corporation Safe Haven Vouchers and \$281,152 in Private Funds.
Salvation Army	Operations, Services	Center of Hope	\$ 106,900	Salaries, Travel, Utilities	\$859,116 in Private Funds.
Salvation Army	Operations	Transitional Housing	\$ 25,600	Utilities, Phone, Audit	\$248,055 in Private Funds.
Schizophrenia Foundation KY	Operations, Services	Wellspring House	\$ 20,000	Rent, Utilities, Salaries	\$289, 598 in Private Funds.
Society of St Vincent de Paul	Operations	Ozanam Inn	\$ 12,500	Utilities	\$49,219 in Volunteer Time.
Volunteers of America	Operations, Services	Family Emergency Shelter	\$ 99,300	Salaries, Utilities, Phone, Office Supplies	\$179,701 Volunteer Time, Dare to Care, In-Kind, Space and \$522,330 in Private Funds.
Wayside Christian Mission	Operations	Family Emergency Shelter	\$ 21,900	Utilities, Salaries	\$17,680 in In-Kind Volunteer Time and \$65,387 Private Funds.
Wayside Christian Mission	Operations, Services	Men's Emergency Shelter	\$ 31,800	Utilities, Salaries	\$17,680 in In-Kind Volunteer Time and \$117,868 in Private Funds.

## Activity and Beneficiary Data

Please see page 7c for Emergency Shelter Grant program summaries for expenditures by type of activity.

A summary of individual projects that expended funds in Program Year 2010 are included below.

### Program Year 2010 Project Expenditures by Category:

- **Essential Services** for the homeless: Bellewood, Salvation Army Center of Hope, Volunteers of America, Wellspring Journey House, and Wayside Christian Mission Men's Emergency Shelter.
- **Operations** for the homeless: Bellewood, Choices, Inc., New Directions Housing Corporation, Salvation Army Center of Hope, Salvation Army Transitional Housing, Society of St. Vincent DePaul, Volunteers of America, Wayside Christian Mission Family Emergency Shelter, Wayside Christian Mission Men's Emergency Shelter, and Wellspring Journey House.
- **Prevention** for the homeless: Family and Children's Place.
- **Renovation** of homeless facilities: Volunteers of America Grace House

### ESSENTIAL SERVICES

- **Bellewood – Essential Services (IDIS: 24537, 25377)** – \$11,500 in ESG funding. Bellewood's Transitional Housing Program houses nine homeless young adults in transitional apartments. Program participants must be between the ages of 18 – 25, homeless, and have a diagnosed disability such as mental illness, physical disability, substance abuse issue, etc. The program provides nine scattered-site apartments and intensive case management to nine households a year. ESG funds were used to pay for operational costs and case management costs of the program. Matching funds in the amount of the grant were provided by the service provider.

**Funds Expended in Program Year 2010:**\$10,938.00

**Number of Clients Served:** 23

- **Wayside Christian Mission: Men's Emergency Shelter – Essential Services (IDIS: 24541, 25371)**– \$6,800 in ESG funding. The Men's Emergency Shelter provided a high volume of subsistence and goal-oriented services to homeless, unaccompanied, adult males. ESG funds were used to pay for case management salaries and utility expenses (for facility operations). Matching funds in the amount of the grant were provided by the service provider.

**Funds Expended in Program Year 2010:** \$26,700.00

**Number of Clients Served:** 37

- **Wellspring – Essential Services (IDIS: 25367)** – \$12,500 in ESG funding. Journey House is a transitional program for homeless women with co-occurring diagnosis of severe and persistent mental illness. ESG funds were used to provide case management salaries and some operational costs. Matching funds in the amount of the grant were provided by the service provider.

**Funds Expended in Program Year 2010:** \$9,840.95

**Number of Clients Served:** 83

- **Volunteers of America – Essential Services (IDIS: 24633, 25364)** – \$35,000 in ESG funding. ESG funds provided case management services and some operating costs for the

Volunteers of America Family Emergency Shelter, located at 1321 South Preston Street. The Family Emergency Shelter serves families with emergency shelter and three meals per day to meet immediate basic needs. Matching funds in the amount of the grant were provided by the service provider.

**Funds Expended in Program Year 2010:** \$56,744.95

**Number of Clients Served:** 359

- **Salvation Army Center of Hope – Essential Services (IDIS: 24507, 25511)** – \$82,800 in ESG funding. The Center of Hope houses over 130 men, women and family members nightly. The primary goal of The Center of Hope program is to provide homeless people with an alternative to living on the streets and to foster goal setting toward increased employment, housing, and self-sufficiency. ESG funds were used to pay for case management services and operational costs for the facility. Matching funds in the amount of the grant were provided by the service provider.

**Funds Expended in Program Year 2010:** \$122,382.78

**Number of Clients Served:** 2,062

## OPERATIONS

- **Bellewood – Operations (IDIS: 24536, 25378)** – \$34,300 in ESG funding. Bellewood's Transitional Housing Program houses nine homeless young adults in transitional apartments. Program participants must be between the ages of 18 – 25, homeless, and have a diagnosed disability such as mental illness, physical disability, substance abuse issue, etc. The program provides nine scattered-site apartments and intensive case management to nine households a year. ESG funds were used to pay for operational costs and case management costs of the program. Matching funds in the amount of the grant were provided by the service provider.

**Funds Expended in Program Year 2010:** \$54,787.84

**Number of Clients Served:** 23

- **Volunteers of America – Operations (IDIS: 25363)** – \$64,300 in ESG funding. ESG funds provided partial funding for the Manager of the Volunteers of America Family Emergency Shelter for homeless families, located at 1321 South Preston Street. The Family Emergency Shelter serves families with emergency shelter and three meals per day to meet immediate basic needs. Matching funds in the amount of the grant were provided by the service provider.

**Funds Expended in Program Year 2010:** \$41,230.07

**Number of Clients Served:** 359

- **Salvation Army: Center of Hope – Operations (IDIS: 25510)** – \$24,100 in ESG funding. The Center of Hope houses over 130 men, women and family members nightly. The primary goal of The Center of Hope program is to provide homeless people with an alternative to living on the streets and to foster goal setting toward increased employment, housing, and self-sufficiency. ESG funds were used to pay for case management services and operational costs for the facility. Matching funds in the amount of the grant were provided by the service provider.

**Funds Expended in Program Year 2010:** \$29,413.11

**Number of Clients Served:** 2,066

- **Salvation Army: Transitional Housing – Operations (IDIS: 24509, 25509)** – \$25,600 in ESG funding. The Salvation Army Transitional Housing Program serves low-income, homeless

male or female single parent families who are 18 years or older. ESG funds were used to pay for utility costs. Matching funds in the amount of the grant were provided by the service provider.

**Funds Expended in Program Year 2010:** \$29,413.11

**Number of Clients Served:** 68

- **Wayside Christian Mission: Men's Emergency Shelter – Operations (IDIS: 25370)** – \$25,000 in ESG funding. The Men's Emergency Shelter provided a high volume of subsistence and goal-oriented services to homeless, unaccompanied, adult males. ESG funds were used to pay for case management salaries and utility expenses (for facility operations). Matching funds in the amount of the grant were provided by the service provider.

**Funds Expended in Program Year 2010:** \$22,150.00

**Number of Clients Served:** 2,099

- **Wayside Christian Mission: Family Emergency Shelter – Operations (IDIS: 25373)** – \$21,900 in ESG funding. The Family Emergency Shelter provided subsistence and goal-oriented services to homeless, unaccompanied families with children. ESG funds were used to pay for utility expenses (for facility operations). Matching funds in the amount of the grant were provided by the service provider.

**Funds Expended in Program Year 2010:** \$16,425.00

**Number of Clients Served:** 439

- **Wellspring – Operations (IDIS: 25001, 25365)** – \$7,500 in ESG funding. Journey House is a transitional program for homeless women with co-occurring diagnosis of severe and persistent mental illness. ESG funds were used to provide case management salaries and some operational costs. Matching funds in the amount of the grant were provided by the service provider.

**Funds Expended in Program Year 2010:** \$8,171.31

**Number of Clients Served:** 83

- **New Directions Housing Corporation – Operations (IDIS: 24501, 25376)** – \$20,300 in ESG funding. New Directions Transitional Service Program served homeless, single-parent families in Louisville Metro. ESG funds were used to pay for case operations costs for the transitional units, including building insurance and utilities. Matching funds in the amount of the grant were provided by the service provider.

**Funds Expended in Program Year 2010:** \$23,238.43

**Number of Clients Served:** 23

- **Choices, Inc. – Operations (IDIS: 24634, 25340)** – \$30,500 in ESG funding. Choices, Inc. Norma's House provided transitional and supportive services in a residential setting. ESG funds were used for operation costs at Norma's House, a 5 unit women's transitional shelter, including food, utilities, and maintenance supplies. Matching funds in the amount of the grant were provided by the service provider.

**Funds Expended in Program Year 2010:** \$24,839.18

**Number of Clients Served:** 45

- **Society of St. Vincent de Paul – Operations (IDIS: 24628, 25528)** – \$12,500 in ESG funding. ESG funds were used for utility expenses for Ozanam Inn, located at 1034 South

Jackson Street. Ozanam Inn provides emergency and transitional shelter for homeless men. Matching funds in the amount of the grant were provided by the service provider.

**Funds Expended in Program Year 2010:**\$43,737.01

**Number of Clients Served:** 599

#### **PREVENTION**

- **Family & Children's Place – Prevention (IDIS: 24543, 25384)** – \$80,800 in ESG funding. The Homeless Prevention Service (HPS) Intensive Case Management Program provided crisis intervention and intensive/long-term case management and counseling for families at high risk of becoming homeless. ESG funds were used to support homelessness prevention case management services. Matching funds in the amount of the grant were provided by the service provider.

**Funds Expended in Program Year 2010:** \$84,236.71

**Number of Clients Served:** 81

#### **RENOVATION**

- **Volunteers of America: Grace House – Renovation (IDIS: 23309)** – This project provided funds for the renovation of the Volunteers of America Grace House. ESG funds were used to repair the wood soffit on the 128-year-old structure to prevent water damage to the shelter's structure and improve the façade of the building. Matching funds in the amount of the grant were provided by the service provider.

**Funds Expended in Program Year 2010:** \$27,215.00

#### **Homeless Discharge Coordination**

Currently ESG Prevention funds are not being used to specifically serve those recently released from publicly funded institutions. Louisville's CoC has been working toward developing a formal homeless discharge coordination policy for the past several years. Recently the focus has shifted toward the creation of a central intake. There is currently a Homeless Prevention Pilot Project through the State of KY that is focused on homeless prevention upon release from KY State Corrections. This pilot project has had considerable success and is looking at future funding options.

---

## **COMMUNITY DEVELOPMENT**

### **Community Development**

#### **Assessment of Relationship of CDBG Funds to Goals and Objectives**

CDBG funds are used for many programs addressing Goal 3 of the 2010 - 2014 Consolidated Plan: Louisville Metro fosters a suitable living environment by improving physical condition and quality of life in distressed neighborhoods and throughout the community. Progress made toward increasing economic opportunity and expanding affordable housing goals are discussed in subsequent Community Development sections. Supporting capital improvements, continuing code enforcement, preventing deterioration of infrastructure, maintaining neighborhoods and providing public services to the community are objectives being addressed through many CDBG funded programs administered by various departments of Louisville Metro Government.

(The CDBG programs discussed below are segmented to reflect the manner in which they were presented in the 2010 Action Plan. As noted above, housing rehabilitation, relocation and economic development programs are discussed in later sections.)

**Public Facilities and Improvements** – The goal of Louisville Metro Government is to improve public facilities that exhibit determinable signs of physical deterioration. The long-term outcome of these efforts is to increase pride and neighborhood stability by working toward the elimination of conditions considered detrimental to the residents of the communities and neighborhoods. In the 2010 – 2014 Consolidated Plan, Louisville Metro established 7 objectives related to Community Development activities. Public Facilities and Improvements activities relate to Objective 3.7.

**Objective 3.7:** Provide Improvements to Public Facilities [HUD SL-1]  
**Outcome 3.7:** Improve two facilities.  
**Activities 3.7:** Nonprofit partners  
**Funding:** CDBG

In 2010, \$2,581,924.96 in CDBG funds paid for the initiation or completion of several public improvement projects.

The following are programs included in the 2010 Action Plan addressing the aforementioned goals and objectives.

**In-Progress or Completed Projects:**

- **Street Improvement Program (IDIS: 25469, 24928)** – The purpose of this program, administered by the Louisville Metro Government Department of Public Works and Assets, is to resurface and upgrade Metro streets in order to enhance rideability and reduce pollution. The activity was carried out in low-to-moderate income census tracts on a Metro-wide basis and is based upon a needs assessment provided by the Department of Public Works and Assets. For a list of streets assisted please see the chart on the following page.

**2010 Action Plan Goal:** 216,000 square yards of pavement  
**Actual Square Yards Paved during 2010:** Approximately 93,768 square yards of pavement  
**Amount Expended during 2010:** \$771,153.56

**CDBG FUNDED PROGRAMS**  
**STREET IMPROVEMENTS**

<u>Street Name</u>	<u>Census Tract/Block Group</u>	<u>Square Yards</u>
Larkwood Ave from Southwestern Parkway to dead end east of 34th Street.	0700-3, 0700-1, 0800-1	20,850.00
Cardwell Avenue from S. Hancock Street to S. Clay Street	7100-5	1,295.50
Permerland Drive from Prestwood Drive to Forest Drive	11405-4	1,453.00
Cedrus Circle from Unseld Blvd to Shasta Trail	11302-5 & 11302-6	5,622.00
Stein Court from S. Preston Street to Dead End	6500-1	823.60
Alba Way from Shasta Trail to Yew Lane	11302-5	3,244.00
Rangoon Way from Mansfield Lane to Rookwood Avenue	11302-2, 11302-3, 11302-5	2,482.00
Mason Avenue from S. Shelby Street to Dead End	6200-1	3,357.00
Ilex Avenue from Alba Way to Cedrus Circle	11302-5	694.00
Fern Street from Cooper Street to Dead End	8100-3	668.44
Speckert Court from S. Clay Street to Dead End	6200-1	963.80
N. Peterson Avenue from Lexington Avenue to Peterson Court	7601-1	2,863.00
Lexington Avenue from N. Ewing Avenue to N. Peterson Avenue	7601-1	1,000.00
Shelby Parkway from S. Shelby Street to Logan Street	6500-3	667.00
Finzer Street from S. Preston Street to S. Clay Street	6200-1 & 6200-2	6,440.00
S. 10th Street from Chestnut Street to Magazine Street	3000-5	2,074.00
Magazine Street from S.10th Street to S. 22nd Street	2400-2 & 3000-5	16,865.33
South 12th Street from Kentucky Street to Magnolia Street	2700-4	16,313.00
Vim Drive from Preston Highway to 1314 Vim Drive	11404-2	6,092.00

- **Shawnee Library Expansion (IDIS: 25433)** – This project provided CDBG funds for the rehabilitation and expansion of the Shawnee Branch of the Louisville Free Public Library. The project will renovate the interior of the 7,000 square foot Works Progress Administration (WPA) era library and add 3,000 square feet of new library space by extending the building to the rear.

**2010 Action Plan Goal:** 1 public facility will be rehabilitated

**Progress during 2010:** 1 public facility is in progress

**Amount Expended during 2010:** \$569,067.62

- **Nonprofit Public Facilities: Down Syndrome of Louisville (IDIS: 25515)** – This project provided CDBG funds for Down Syndrome to acquire a facility to use as a lifelong learning center.

**2010 Action Plan Goal:** 1 public facility will be acquired

**Progress during 2010:** 1 public facility has been acquired

**Amount Expended during 2010:** \$300,000.00

#### Slow Moving Projects:

- **Lake Louisville/Park Springs** - \$2 million in CDBG funds will be allocated for eligible activities related to the Lake Louisville/Park Springs affordable housing development, a partnership between Habitat for Humanity and the Housing Partnership, Inc. This project is currently undergoing a compliance review.
- **St. Vincent DePaul Rehab** - \$375,000 in CDBG funds will be provided to assist the Society of St. Vincent DePaul rehabilitate one of their housing facilities. This project is currently in underwriting.
- **Metro-Wide Sidewalk Improvements**– This project will replace deteriorating and hazardous sidewalks, curbs, and steps in the public right-of-way. All work will occur in low-to-moderate census tracts. A delay in selecting eligible census tracts caused this project to be delayed; however, it is expected that work will begin shortly.
- **Petersburg Park Improvements** – This project will provided funding for the construction of a tennis court at the CDBG eligible Petersburg Park. The project is currently in the engineering stage.
- **Nonprofit Public Facilities: Habitat for Humanity** – This project will provide \$250,000 in CDBG to assist Habitat for Humanity of Metro Louisville with phase two of the rehabilitation of a facility in the Portland neighborhood to be used as their administrative offices. The project is currently in phase one.
- **Nonprofit Public Facilities: Goodwill Industries**– This project will provide \$250,000 in CDBG for Goodwill Industries to rehabilitate their facility located on Broadway in Downtown Louisville. This project is currently in the environmental review stage.
- **Nonprofit Public Facilities: Southwest Community Ministries**– This project will provide \$160,000 in CDBG for Southwest Community Ministries to acquire a facility to use as their headquarters. This project is currently in process to draw down funding.
- **Nonprofit Public Facilities: YMCA West Louisville**– This project will provide \$1,154,000 in CDBG funding for the acquisition of a property for the construction of a future YMCA in West Louisville. This project was added late in program year 2010 and is currently in the development stage.

- **Jefferson County Public Schools Playground Improvements** – This project is intended to provide \$100,000 in CDBG for the improvement of qualifying JCPS playgrounds. This project is currently being evaluated for eligibility.

The following are some of the projects that had activity in 2010, but were initiated in prior years.

- **Street Tree Program (IDIS: 23709, 24491)** – This program, administered by the Louisville Metro Government Department of Public Works and Assets, will provide for new tree planting as well as removal of unsafe tree conditions. The activity was carried out in low and moderate income census tracts on a Metro-wide basis.

**Amount Expended during 2010:** \$141,373.00

- **Algonquin Park Improvements (IDIS: 24497)** – CDBG funds have been used to finance numerous qualified public facilities improvements at the Louisville Metro Algonquin Park. These improvements included the construction of an amphitheatre and pavilion. These improvements have qualified on a low-to-moderate area benefit basis.

**Amount Expended during 2010:** \$356,120.50

- **African American Heritage Center (IDIS: 24407, 23710)** – This project involves stabilization and renovation of historic structures located at 1701 West Muhammad Ali Blvd, site of the former trolley barn, to develop a center for awareness and education that will focus on the contributions of African-Americans to the Commonwealth of Kentucky and our community. Louisville Metro Government committed approximately \$4 million in support of this project which was overseen by the Department of Public Works and Assets. All final draws were completed in program year 2010.

**Amount Expended during 2010:** \$26,183.93

- **Aquatics Plan (IDIS: 24498)** – This project, administered by the Metro Parks Department, involves substantial rehabilitation of pools in various CDBG eligible parks to include plumbing, mechanical, electrical structural, fencing, painting, caulking, pumps, motors, etc. These renovations are required to bring the facilities into compliance with safety standards necessary for operation.

**Amount Expended during 2010:** \$166,917.84

- **Algonquin Aquatic Facility (IDIS: 25509)** – This project, administered by the Metro Parks Department, is to renovate the Algonquin Park pool as a family aquatic center, complete with “zero-depth” entry and spray play areas and more family-oriented aquatic activity zones. Metro Parks intends to use this project as a model for other aquatic facility improvements throughout Louisville Metro. All final draws were completed in program year 2010.

**Amount Expended during 2010:** \$20,633.39

- **Portland Wharf Park (IDIS: 21732)** – This project, administered by the Metro Parks Department, was initiated in program year 2003 and is intended to perform CDBG eligible construction and substantial renovation in the Portland Wharf Park.

**Amount Expended during 2010:** \$109,877.45

- **Shelby Park (IDIS: 4355)**– This project, administered by the Metro Parks Department, was initiated in program year 2001 and is intended to perform CDBG eligible construction and substantial renovation in Shelby Park. All final draws for this project were completed in program year 2010.

**Amount Expended during 2010:** \$15,906.30

- **Park DuValle Town Center Site Prep (IDIS: 21577)** – This project, initiated in program year 2005, will provide CDBG funding related to preparation and operation of the Park DuValle Town Center project.

**Amount Expended during 2010:** \$52,321.45

- **Newburg Revitalization Project (IDIS: 22289)** – The project, initiated in program year 2003, provided for infrastructure improvements in the Brenda Drive area of Newburg. All final draws for this project were completed in program year 2010.

**Amount Expended during 2010:** \$36,373.92

**Clearance** – The goal of Louisville Metro Government is to ensure that dilapidated, unsafe, unsanitary, dangerous or unfit structures in the Louisville Metro area are demolished. As with public facilities and improvements activities, the long-term outcome of these efforts is to increase pride and neighborhood stability by working toward the elimination of conditions considered detrimental to the residents of the communities and neighborhoods. In the 2010 – 2014 Consolidated Plan, Louisville Metro established 7 objectives related to Community Development activities. Clearance related to Objective 3.3.

**Objective 3.3:** Eliminate slum and blight in the community through clearance of vacant or abandoned properties [HUD SL-3]  
**Outcome 3.3:** Clear 850 properties over five years  
**Activities 3.3:** Demolition  
**Funding:** CDBG

In the 2010 program year, \$551,568.12 in CDBG funds paid for clearance activity.

The following program was included in the 2010 Action Plan addressing the aforementioned goals and objectives.

- **Vacant Properties Demolition (IDIS: 24299)** – This program, administered by the Louisville Metro Government Department of Codes and Regulations – Inspections, Permits and Licenses Division, oversees the demolition and stabilization of dilapidated structures, which have been ordered demolished. Some of the structures are those acquired by Louisville Metro through foreclosure or other means, but most are privately owned. This activity is performed Metro wide.

**2010 Action Plan Goal:** 170 structures demolished

**Actual Structures Demolished during 2010:** 66

**Amount Expended during 2010:** \$446,529.23

The following project had activity in 2010, but was initiated in prior years.

- **Neighborhood Stabilization Demolition (IDIS: 24586)** – This program, administered by the Louisville Metro Government Department of Codes and Regulations – Inspections, Permits and

Licenses Division, oversees the demolition of structures identified for focus in conjunction with the Neighborhood Stabilization Program activities.

**Actual Structures Demolished during 2010:** 19  
**Amount Expended during 2010:** \$105,038.89

**Public Service** – The goal of Louisville Metro Government is to provide a range of services to the public. The long-term outcome of these efforts is to improve the quality of life and promote the wellbeing of the community. Jurisdictions are allowed to allocate up to 15% of CDBG funds to public service activities. In program year 2010, \$1,533,289.90 in CDBG funds, 10.38%, paid for the provision of public service activity and was subject to the public service cap.

These programs relate to Objective 5.1 in the 2010 – 2014 Consolidated Plan. This objective was originally classified as Special Need/HOPWA, but related to Public Service Activities.

**Objective 5.1:** Connect vulnerable populations to services and income supports [HUD SL-2]  
**Outcome 5.1:** Provide 7,600 referrals over five years to in-house programs or external service providers  
**Activities 5.1:** Community Action Partnership Coordinators, Human Services, and Neighborhood Place Staff  
**Funding:** CDBG

The following are programs included in the 2010 Action Plan addressing the aforementioned objective.

- **Family Economic Success Program (IDIS: 25514)** – This program is designed to meet the goal of purposefully working with families to move from the current practice of only addressing crises to raising awareness and building a foundation of assets utilizing a team of “FES Connectors” working with each of the Neighborhood Place sites. Each will participate actively in the FES Network of community partners to ensure that information around resources and tools are disseminated effectively and will assist with working directly with families to assess their needs and move them toward the development of a better bottom line by helping to stack assets and incentives and bundle supports.

**2010 Action Plan Goal:** 300 Individuals Served  
**Program Year 2010 Accomplishment:** 127 Individuals Served  
**Amount Expended in 2010:** \$187,585.98

- **CAP Community Outreach (IDIS: 25535)** – This program administered by Public Service Coordinators assists income eligible residents of Louisville/Jefferson County by providing outreach, information and referral, education and training workshops and direct case management. Public Service Coordinators work with local community groups to assess community needs by providing creative services and programs that will inspire and motivate residents to establish or maintain self-sufficiency.

**2010 Action Plan Goal:** 1,520 Individuals Served  
**Program Year 2010 Accomplishment:** 485 Individuals Served  
**Amount Expended in 2010:** \$106,072.37

Other public service activities included in the 2010 Action Plan include programs administered by Louisville Urban League, Legal Aid Society, Inc. and HPI. Please refer to the ‘Affirmatively Furthering Fair Housing’ and the ‘Barriers to Affordable Housing’ sections for description of these programs and data on clients served during program year 2010. Amounts expended during 2010 for these programs totaled \$246,415.55.

These programs directly related to Objective 3.5 in the 2010 – 2014 Consolidated Plan.

**Objective 3.5:** Provide homeownership/housing counseling services to preserve housing or to purchase a home [HUD DH-3]  
**Outcome 3.5:** Serve 6,000 households over five years  
**Activities 3.5:** Housing Partnership, Inc., Louisville Urban League, and Legal Aid Society  
**Funding:** CDBG

Public service activities designed to provide support to many of Louisville's homeless support agencies are detailed in the low to moderate limited clientele activities section. Amounts expended during 2010 for these programs total \$1,033,217.24.

These programs directly relate to Objective 4.1 in the 2010 – 2014 Consolidated Plan.

**Objective 4.1:** Increase self-sufficiency for persons who are homeless [HUD DH-3]  
**Outcome 4.1:** Serve 10,000 people with self-sufficiency services over five years  
**Activities 4.1:** Non-profit service providers  
**Funding:** CDBG

**Code Enforcement** – The goal of Louisville Metro Government is to eliminate slum and blight in the community. The long-term outcome of these efforts is to promote the health and safety of the community. In program year 2010, \$806,550.66 in CDBG funds paid for code enforcement activities.

Code Enforcement activities directly relate to Objective 3.4 in the 2010 – 2014 Consolidated Plan.

**Objective 3.4:** Eliminate slum and blight in the community through property code enforcement [HUD SL-3]  
**Outcome 3.4:** In coordination with other Consolidated Plan activities, inspect 150,000 properties over five years to improve conditions in low-to-moderate census tracts  
**Activities 3.4:** Code Enforcement Program  
**Funding:** CDBG

The following, included in the 2010 Action Plan, addressed the aforementioned goals and objectives.

- **Code Enforcement Program (IDIS: 25314)**– This program, administered by the Louisville Metro Government Department of Codes and Regulations – Inspections, Permits, and Licenses Division, provides for the inspection of owner-occupied and rental units to correct conditions that may affect the health and safety of the occupants. This program also provides zoning and sign inspection/control to prevent and eliminate blight.

**2010 Action Plan Goal:** 33,000 Inspections  
**Actual Inspections during 2010:** 20,922 Inspections  
**Amount Expended during 2009:** \$806,550.66

**Disposition** – During program year 2009 the Vacant Lot Program was reclassified as a disposition activity to more accurately reflect the nature and scope of the activities. This program provides for the cleaning and maintaining of publicly and privately owned vacant lots within low/moderate income census tracts. In conjunction with guidance from HUD it was determined that since these properties will be assigned a plan of disposition meeting eligible CDBG use (i.e. low/moderate housing or low/moderate economic development) within a reasonable time period, the on-going costs of temporary management

of the properties may be charged to the disposition activity. The vacant lot program will not receive CDBG funding in subsequent program years.

This program directly relates to Objective 3.2 in the 2010 – 2014 Consolidated Plan.

**Objective 3.2:** Improve the health and safety of low-to-moderate census tracts by cleaning, cutting and boarding vacant lots in CDBG eligible areas [HUD SL-3]  
**Outcome 3.2:** In coordination with other Consolidated Plan activities, board 2,000 properties and clean 10,000 properties over five years  
**Activities 5.1:** Vacant Lot Program  
**Funding:** CDBG and Metro Public Works

- **Vacant Lot Program (IDIS: 25306)** – This program, administered by the Louisville Metro Government Department of Public Works and Assets, provides for the cleaning, cutting and boarding of vacant lots within CDBG eligible areas.

**2010 Action Plan Goal:** 400 parcels will be boarded and 2,000 cleaned  
**Actual Boarded/Cleaned during 2010:** 1,702 boarded; 5,515 cleaned  
**Amount Expended during 2010:** \$1,299,345.02

**Administration and Planning** – The goal of Louisville Metro Government is to ensure that the structure is in place to oversee and administer all federally funded programs. As such, planning and administration activities include allocations for indirect costs, fair housing activities (as discussed in prior sections), support to non-profit agencies, urban design/landmark planning, and LMCSR program administration. Jurisdictions are allowed to allocate up to 20% of CDBG funds to planning and administration activities. In program year 2010, \$2,532,083.16 in CDBG funds, 18.31% paid for the provision of administration and planning activities.

### Assessment of CDBG-funded Affordable Housing Goals

As already iterated in the Housing section, expanding the supply of safe, decent, sanitary and affordable housing is the highest priority goal identified in the Consolidated Plan. Increasing the number of affordable housing units and stabilizing the existing housing stock are two objectives being addressed through the following CDBG funded programs.

CDBG funded housing programs directly relate to the following objectives listed under Goal 1 in the 2010 – 2014 Consolidated Plan.

**Objective 1.3:** Improve energy efficiency and conservation [HUD SL-3]  
**Outcome 1.3:** Provide weatherization improvements to 1,350 properties over five years  
**Activities 1.3:** Weatherization Program  
**Funding:** CDBG, Weatherization Assistance for Low Income Persons [US Department of Energy (DOE)]

**Objective 1.6:** Preserve and improve existing, affordable owner-occupied housing [HUD DH-3]  
**Outcome 1.6:** A total of 3,082 single family units will be rehabilitated or repaired over five years  
**Activities 1.6:** CHDOs, Residential Repair, Emergency Repair, and Weatherization  
**Funding:** CDBG, HOME, and DOE

**Objective 1.7:** Preserve and improve existing, affordable rental housing stock [HUD DH-3]  
**Outcome 1.7:** Repair or rehab 544 units of rental housing over 5 years

**Activities 1.7:** Weatherization and Rental Development Program  
**Funding:** DOE, CDBG, and HOME

The following are programs included in the 2010 Action Plan addressing the aforementioned goals and objectives in program year 2010.

- **Residential Repair (IDIS: Various)** – The Residential Repair Program assists owner-occupied single family homes by providing grants and/or low interest loans to make the home safe, warm, dry, and energy efficient, and to correct exterior code violations.

**2010 Action Plan Goal:** 50  
**Households assisted during 2010:** 25  
**Amount Expended during 2010:** \$318,653.00

- **Metro-Wide Emergency Repairs (IDIS: Various)** – This program provides assistance to homeowners in need of repairs relating to HVAC, electrical, or plumbing situations. Applicants must be a homeowner and the occupant of the property where the assistance is being requested. Only homeowners that have owned and lived in their dwelling for one year or more are eligible for assistance under this program.

**2010 Action Plan Goal:** 325  
**Households assisted during 2010:** 371  
**Amount Expended during 2010:** \$1,607,901.10

- **Metro-Wide Weatherization Supplement (IDIS: Various)** – This program, utilized to supplement funds provided by the State Cabinet for Families and Children, is designed to provide assistance to homeowners needing repair and/or replacement of energy systems in the form of a grant. These repairs/replacements include, but are not limited to: furnaces, water heater, duct work, and carbon dioxide and smoke detectors.

**2010 Action Plan Goal:** 270  
**Households assisted during 2010:** 222  
**Amount Expended during 2010:** \$297,556.00

- **Metro-Wide Ramp Construction Program (IDIS: 25353, 24155)** – This program, administered by The Center for Accessible Living, Inc., assists eligible individuals with disabilities in the installation of handicapped ramps and the removal of barriers for accessibility at their place of residence.

**2010 Action Plan Goal:** 45  
**Households assisted during 2010:** 88  
**Amount Expended during 2010:** \$317,690.56

- **New Directions Roof Program (IDIS: 25581)** – This program, administered by New Directions Housing Corporation, provides CDBG eligible households with new or repaired roofs. This program was included under the Residential Repair and Rehabilitation line in the 2010 Amended Action Plan budget.

**Households assisted during 2010:** 19  
**Amount Expended during 2010:** \$3,838.00

- **Residential Repair and Rehab: Stoddard Johnson Scholar House (IDIS: 25594)** – This project provided \$300,000 in CDBG funding for a portion of the acquisition of a facility to be used

for 57 units of multi-family affordable housing for individuals participating in the Family Scholar House program.

**Facilities assisted during 2010: 1**

**Amount Expended during 2010: \$300,000.00**

- **Residential Repair and Rehab: Jackson Woods Apartments (IDIS: 25090)** – This project provided \$840,000 in CDBG to assist with the rehabilitation of 60 units of affordable multi-family housing.

**Amount Expended during 2010: \$840,000.00**

The following affordable housing programs are considered slow moving:

- **Lead Safe Louisville (IDIS: 23017, 24967)** – The goals of the Lead Safe Louisville (LSL) Project, funded by a federal HUD Lead Based Paint Hazard Control Grant and matching CDBG funds, included performing inspections/risk assessments, remediating rental and owner-occupied housing units, training individuals and raising community awareness about lead hazards. CDBG funds were allocated in program year 2010 to provide matching funds; however, the match requirement was able to be met in a different capacity and these funds will be re-appropriated to an eligible project in program year 2011.

**Amount Expended during 2010: \$2386.63**

- **Homeless Housing Capital Project** – In program year 2010, LMCSR allocated \$250,000 of CDBG funds and \$250,000 of HOME funds for a capital homeless housing project to meet the ever-increasing need for more permanent supportive housing in Louisville Metro. LMCSR issued a RFP related to this project and is currently working with a nonprofit service provider to develop a viable project. Due to cuts in entitlement funding further financing for this project was not included in the 2011 Action Plan.
- **Residential Repair and Rehab: Brandeis School** – This program will provide \$521,000 in CDBG for the rehabilitation of an affordable housing development by New Directions Housing Corporation. Construction is on track to be completed within the next 30 days and the project is expected to close in IDIS in program year 2011.

The following are programs that had activity in 2010 but were initiated in prior years.

- **Newburg Weed and Seed IDA Match (IDIS: 23937)** – This program, administered by New Directions, provides financial literacy classes and manages individual development accounts for individuals in the Newburg neighborhood seeking to purchase a home. The final draws for this project were completed in 2010.

**Amount Expended during 2009: \$60,000.00**

For race/ethnicity data and types of households served, please see tables on the following pages.

**CDBG FUNDED PROGRAMS**  
**RACE/ETHNICITY DATA**

<b>Race</b>	<b>Residential Repair Program</b>		<b>Emergency Repair Program</b>		<b>Weatherization Supplement</b>		<b>Ramp Construction Program</b>		<b>New Directions Roof Program</b>	
	<b>#_of_Race</b>	<b>#_of_Hispanic</b>	<b>#_of_Race</b>	<b>#_of_Hispanic</b>	<b>#_of_Race</b>	<b>#_of_Hispanic</b>	<b>#_of_Race</b>	<b>#_of_Hispanic</b>	<b>#_of_Race</b>	<b>#_of_Hispanic</b>
White	3		134		77		52		11	
Black/African American	22		220	3	137		36		7	
Asian										
American Indian/Alaskan Native			2		3					
Native Hawaiian/Other Pacific Islander										
American Indian/Alaskan Native/White			2		1					
Asian/White										
Black/African American & White			8	1						
American Indian/Alaskan Native & Black			3							
Other Multi-Racial			2		4				1	
<b>Total</b>	<b>25</b>	<b>-</b>	<b>371</b>	<b>4</b>	<b>222</b>	<b>-</b>	<b>88</b>	<b>-</b>	<b>19</b>	<b>-</b>

## **Affordable Housing Actions for Extremely Low-Income, Low-Income, and Moderate-Income Owners**

Please see the Housing Needs, Specific Housing Objectives section for a breakout of the extent to which CDBG funds were used for activities that benefited extremely low-income, low-income, and moderate-income persons.

### **Changes in Program Objectives**

LMCSR submitted three amendments to the 2010 Action Plan. The first amendment, submitted on August 17, 2010 contained the following changes:

- Increased funding for:
  - Multi-family Rehab
  - Code Enforcement
  - Demolition
- New projects added to the planned activities for 2010:
  - Shawnee Library Expansion
  - Family Economic Success Program
  - Public Infrastructure Improvements – Streets and Sidewalks
  - Public Facility Improvements for eligible non-profit organizations
  - Park Improvements
  - Economic Development “COOL” activities
  - JCPS Playground Improvements

The second amendment, submitted on December 7, 2010 contained the following changes:

- The addition of the CDBG funded Lake Louisville/Park Springs Public Facilities and Improvements project for \$2,000,000. This project was made possible through the reimbursement of CDBG funds in the amount of approximately \$1,821,000 to Louisville Metro Government by The Salvation Army, A Georgia Corporation and the reallocation of \$250,000 in CDBG carry-forward funds, for a total of \$2,071,000. Louisville Metro Government had provided and allocated these CDBG funds for infrastructure needs related to the KROC Center residential development and aquatics center, which are no longer slated to be developed. The remaining \$71,000 of CDBG funds were reallocated to the Residential Repair and Rehab project, to include both single family and multi-family rehab activities.
- The amendment of one project, Shawnee Library Expansion. Instead of the full \$1.8 million being allotted to fund only this project, as was reflected in the first amendment to the 2010 Action Plan, the \$1.8 million will be used to fund improvements for not only the Shawnee Library Expansion project, but possibly other eligible libraries within the Louisville Metro area.
- Reductions in two projects: Metro Park Improvements and Eligible Non-profit Public Facilities Improvements. As some proposed activities for these two projects were found to be ineligible, remaining funds were allocated to the Residential Repair and Rehab project, to include both single family and multi-family rehab activities.

The third amendment, submitted on April 5, 2011 contained the following changes:

- The allocation of additional Community Development Block Grant (CDBG) carry-forward funds. An additional \$1,600,000 in CDBG carry-forward funds were dedicated to eligible nonprofit public facilities improvement projects. Further carry-forward funding in the amount of \$240,000 was dedicated to Residential Repair and Rehabilitation Projects.
- The transfer of \$725,000 total from Residential Repair and Rehabilitation Projects. Of this amount \$350,000 was transferred to Emergency Repair to allow LMHFS to better meet the demand of this program.

- The remaining \$375,000 was transferred to Public Facilities and Improvements. This funding will be used by the Society of St. Vincent DePaul to rehabilitate a 24-bed single room occupancy facility for homeless persons.

### **Assessment of Efforts in Carrying Out Planned Actions**

All resources mentioned in the Consolidated Plan or 2010 Amended Action Plan were received and allocated accordingly.

No employee of Louisville Metro Government hindered the implementation of the Consolidated Plan by willful action or inaction.

All CDBG funds were allocated to programs/projects that met CDBG National Objectives.

Louisville Metro Community Services and Revitalization provided 15 certifications of consistency in a fair and impartial manner and in compliance with the Consolidated Plan. The Certificates of Consistency table on the following page lists the certificates that were awarded during the past year.

## CERTIFICATES OF CONSISTENCY 2010

<b><u>Project Name</u></b>	<b><u>Date Issued</u></b>
California Sqaure I	2/16/2011
Cane Landing	2/22/2011
Oracle 2011	2/22/2011
Arcadia Apts.	2/28/2011
Neighborhood Redevelopment C	2/28/2011
Poplar Place	2/22/2011
Woodbourne Apts.	2/22/2011
Willow Place Apts.	2/22/2011
SOCAYR Homes	2/22/2011
Distillery Commons	2/22/2011
Tonini Station Housing	2/22/2011
Apartments of Hope	2/22/2011
Zion Manor II	5/31/2011
Most Blessed Sacrament	5/31/2011
Cedar Lake Lodge, Inc.	6/2/2011

## Anti-displacement and Relocation

During program year 2010, LMCSR did not provide relocation assistance utilizing CDBG funds. However, relocation assistance was provided under the Lead Safe Louisville program utilizing Lead-Based Paint Control Grant funds. LMCSR complies with Uniform Relocation Act and Section 104(d) requirements as applicable.

## Low to Moderate Job Activities

Providing residents of Louisville Metro with access to livable wage jobs, education and training to qualify for those jobs, and business ownership opportunities that create jobs and increase the tax base was the second highest priority in the 2010 – 2014 Consolidated Plan. In program year 2009, Louisville Metro undertook three economic development activities: The METCO Business Loan Program, a Microenterprise development and loan program, and an employment-specific job-training program.

These activities directly related to Goal 2 of the 2010 – 2014 Consolidated Plan: Louisville Metro energizes the regional economy and stabilizes neighborhoods by providing residents with access to livable wage jobs, education and training to qualify for those jobs, and business ownership opportunities that create jobs and increase the tax base. The five specific objectives addressed by this goal are as follows:

- Objective 2.1:** Increase the number of jobs in the community [HUD EO-1]  
**Outcome 2.1:** Invest in projects that create or retain 340 jobs over 5 years  
**Activities 2.1:** Metro Economic Development loans and technical assistance to businesses and CAP Micro-Enterprise Development  
**Funding:** CDBG, Metro Economic Development, and Private Investments
- Objective 2.2:** Increase access to job training and placement services [HUD EO-1]  
**Outcome 2.2:** Provide employment training and placement services and/or referrals to 2,000 people over five years.  
**Activities 2.2:** Community Action Partnership Coordinators  
**Funding:** CDBG
- Objective 2.3:** Provide loans to create new businesses or assist current businesses in expanding [HUD EO-2]  
**Outcome 2.3:** Make strategic investments to assist in 40 businesses over five years.  
**Activities 2.3:** Metro Economic Development  
**Funding:** CDBG, Metro Economic Development, and Private Investments
- Objective 2.4:** Provide technical assistance to encourage the development of micro-enterprises [HUD EO-1]  
**Outcome 2.4:** Provide technical assistance to 100 potential micro-enterprises over five years  
**Activities 2.4:** Community Action Partnership Coordinators  
**Funding:** CDBG
- Objective 2.5:** Increase the number of micro-enterprises [HUD EO-2]  
**Outcome 2.5:** Provide loans to 50 new micro-enterprises and help establish 75 micro-enterprises over five years  
**Activities 2.5:** Community Action Partnership Coordinators  
**Funding:** CDBG

The METCO Loan Program provides business loans to private, for-profit entities with the intent of stimulating business development and/or expansion and creating and/or retaining employment opportunities, which principally benefit low, very-low, and extremely low-income residents. These

activities are administered by the Louisville Metro Department of Economic Development (Economic Development) through the METCO Loan Program. In program year 2010, \$500,000 was allocated in the Annual Action Plan and \$200,000.00 was expended on METCO Loan Program activity.

Economic Development ensures that first consideration is given to low/mod income persons through the terms included in the loan agreements, which specify that 51% of jobs created and/or retained must be filled by low and moderate income individuals. The loan is considered open until job creation conditions specified in the loan agreement have been met.

In addition, all employers are required to submit a completed Addendum to Employment Application Form for each employee and Economic Development verifies that these forms have been completed correctly.

During program year 2010, METCO had one loan certify jobs created. Nova Group, LLC (dba Great Northern Manufacturing) created 10 permanent, full-time jobs. Of these 10 full-time jobs, 9 were filled by low-to-moderate income individuals. All jobs were made available to low-to moderate income individuals. Four loans are currently considered open.

In program year 2010 Louisville Metro began offering Microenterprise development and loan services. This program, CAP Enterprise (CE), was created to help sustain and develop microenterprises in Metro Louisville owned by low-to-moderate income residents. The goal of the program is to provide support to citizens interested in opening a small business, and to those who need assistance sustaining or expanding their microenterprise.

CE is dedicated to creating an atmosphere where low to moderate income entrepreneurs of Louisville Metro can pursue their business goals through increased access to training, capital, and community based resources.

Individuals who apply may be eligible for a forgivable loan up to \$10,000. CE has two program tracks in which to apply for a forgivable loan: the Spark program, or the Ignite program.

### Spark Program

Individuals with a business idea, or who have been in business for less than one year could qualify for the Spark Business Development Program. The program requires individuals to complete a training application and submit proof of their household income eligibility. CE staff reviews all applications and assesses eligibility to evaluate their readiness to participate in the Spark course.

The highest scoring applications (based on a rubric) are invited to a one-on-one interview with CE staff to determine final eligibility for the program. The final eligibility includes an in-depth discussion regarding the applicant's interests in starting a business. CE staff discusses all interviews and selects the top 20 candidates based on the scoring rubric. These top 20 candidates move into the 10-week business training course, Spark. Upon completion of the Spark program, participants are eligible to apply for a \$5,000 forgivable loan.

Spark graduates have two weeks from completion of the class to complete the loan application. The loan application includes a completed and up-to-date business plan, two professional letters of recommendation, and a one to two page explanation of the use of loan funds. Applicants submit this application packet to CE staff for review. CE staff review each application through the use of a loan scoring rubric. Scores and recommendations are discussed by all members of CE staff and initial recommendations are made based on scores and discussion.

Each loan applicant is invited to sit in front of a loan selection committee (comprised of business experts and community partners). The loan committee interviews loan candidates and makes recommendations

for the awards and the suggested award amounts. CE staff makes the final award decisions after receiving recommendations from loan committee.

The awarded applicants are notified via telephone and mail. Those applicants who are not awarded loans are also notified via telephone and mail. The loan recipient and CE staff prepare the documents necessary for the loan award (sign a monetary application for the award amount, sign a loan agreement and promissory note). Once the award is made to the recipient and the loan closing occurs, the one-year forgivable period begins.

### Ignite Program

Businesses that have been in existence for a minimum of one year could qualify for the Ignite Program which awards forgivable loans (up to \$10,000) to existing businesses. This program includes the completion and submission of a detailed application and includes a business plan. Applicants are required to submit personal and business taxes for the previous year; proof of business registration with the city, county, and state; two professional letters of recommendation; proof of household size; photo ID; a one-to-two page explanation of their need of funding; and proof of household assets and liabilities.

Applications are reviewed by CE staff and are numerically scored based on a scoring rubric. Applications which receive a score of 75 or higher are invited into Phase 2 of the application process. In this phase, applicants complete field research to further their business knowledge related to their current endeavor. Applicants have one month to complete the field research and submit their final application which includes a completed and revised business plan, use of funds, and a write up which details how their field research assisted their business idea.

Applicants are then scored through a set rubric by CE staff. CE staff makes recommendations for loan awards and amounts. A loan committee (comprised of business experts and community partners) discuss applications and make recommendations for award. CE staff makes final awards and notifies all applicants of their status. The awarded applicants are notified via telephone and mail. Those applicants who are not awarded loans are also notified via telephone and mail. The loan recipient and CE staff prepare the documents necessary for the loan award (sign a monetary application for the award amount, sign a loan agreement and promissory note). Once the award is made to the recipient and the loan closing occurs, the one-year forgivable period begins.

This program year, there were approximately 70 applications for the Spark program of which were screened by a rubric. The top half were selected to interview with the CAP Enterprise team. From the interviews 20 businesses were selected to enroll in the class. The students had two weeks at completion of the class to finalize their business plan and use of funds and submit these documents to the loan committee. The group was interviewed by the loan committee regarding their submissions. Top Ignite applicants were asked to complete field research where they met with business advisors, accountants, lawyers, marketing specialists to gain new information and insight into their business. They submitted a summary of their experience with their final business plan and use of funds which were passed on to the loan committee for review. The final decision was made by the CAP Enterprise team and based on the recommendation of the loan committee.

In program year 2010, 164 individuals received information on how to develop, sustain, or expand their microenterprise. Nine loans were given to Spark Graduates who completed the 10-week microenterprise development class and were eligible to apply for up to \$10,000. Six loans were given to Ignite participants who applied directly for the loan by submitting a business plan, financial information, and proof of registration with city and state. These loans resulted in the creation of 9 FTE jobs and 10 FTE jobs retained. In program year 2010 \$100,000.00 was expended on microenterprise loans. \$182,027.68 was expended on microenterprise assistance.

A table on the following page provided a breakout of loan-based job creation or retention activity in program year 2010.





In program year 2010, 33 individuals received job training that resulted in them obtaining employment or training that allowed them to advance at their current job. Ten of these individuals were also recipients of microenterprise loans. In program year 2010 \$57,581.99 was expended on job training,

**Slow Moving Projects:**

In program year 2010 \$200,000 in CDBG was allocated for “COOL” (Corridors of Economic Opportunity in Louisville) Programs. These public improvement programs implement design strategies to revive commercial corridors. The COOL programs are administered by Louisville Metro Economic Development. LMCSR is currently working with Economic Development to finalize a work program and budget for these initiatives.

**Low to Moderate Limited Clientele Activities**

In program year 2010 \$1.35 million in Community Development Block Grant (CDBG) funds were designated for homeless case management services. This funding was utilized to provide needed case management services within Louisville Metro/Jefferson County. The initial intent was for the program to help provide additional case management services for existing Shelter Plus Care vouchers from the Louisville Continuum of Care. In addition to funding Shelter Plus Care case management, service projects previously funded by ESG have been financed with this designation of CDBG public service funds. All grant recipients were awarded through the Homeless and HOPWA Grants Committee in Spring of 2010.

In the 2010 Program Year, 17 CDBG Homeless Services projects were funded with CDBG public service funds. Each of the 17 projects met the National Objective of benefit to Low/Mod Persons. In particular, each project met the National Objective subcategory of Low/Mod Limited Clientele. As each of the 17 projects provided case management or other supportive services for the homeless, at least 51% of beneficiaries served by these projects were considered low-to-moderate income. The clients served are mainly residing in homeless shelters or homeless transitional housing. Despite the presumed low/mod income status, nearly 100% of the recipient agencies maintained income documentation on the clients served through CDBG public service monies.

These programs directly relate to Objective 4.1 in the 2010 – 2014 Consolidated Plan.

- Objective 4.1:** Increase self-sufficiency for persons who are homeless [HUD DH-3]
- Outcome 4.1:** Serve 10,000 people with self-sufficiency services over five years
- Activities 4.1:** Non-profit service providers
- Funding:** CDBG

**CDBG Homeless Services Projects funded in 2010 were:**

- **Bridgehaven – Steps to Recovery Project (IDIS: 25253)** – \$27,500 in CDBG Public Service funds. Bridgehaven helps clients with mental illness or co-occurring substance abuse disorders in supportive housing with a case manager, in an attempt to maintain housing. Bridgehaven provides psychiatric rehabilitation services to these clients, including assessments, therapy, skill building, and socialization. CDBG funds paid for case management and personnel services.

**Funds Expended in Program Year 2010:** \$22,514.07

**Number of Clients Served:** 147

- **Father Maloney’s Boys’ Haven – Equine Employment Training Project (IDIS: 25252)** – \$46,500 in CDBG Public Service funds. The Equine Employment Training Project provides therapeutic and employment opportunities for young men and women who have experienced

physical, mental or sexual abuse and are aging-out of foster care or are homeless. The Equine Program is designed to teach skills necessary to sustain employment in the workforce and specialized training in areas of general and advanced horsemanship required to enter the equine industry. A four level curriculum allows students to work at their own pace while completing basic education requirements and focus on independent living skills. CDBG funds paid for personnel services and direct operating costs.

**Funds Expended in Program Year 2010:** \$37,180.54

**Number of Clients Served:** 37

- **Family and Children's Place – Shelter Plus Care Case Management Project (IDIS: 25254)** – \$412,400 in CDBG Public Service funds. The Shelter Plus Care Case Management Project helped individuals and families exit the homeless shelter system and assist them in achieving housing stability and economic self-sufficiency. The focus of this initiative is to provide intensive case management services enabling disabled individuals and their families to access secure, stable housing through Shelter Plus Care (S+C). CDBG funds paid for personnel services and direct operating costs.

**Funds Expended in Program Year 2010:** \$312,834.61

**Number of Clients Served:** 197

- **Family Scholar House – At-Risk Family Services Project (IDIS: 25256, 24535)** – \$31,900 in CDBG Public Service funds. The At-Risk Family Services Project provided academic advising and case management services to single parents who are working on either their college degree or towards entering into college. Clients may have also received assistance in obtaining food, medical care, childcare, or other basic needs, emergency financial assistance, and supportive services. CDBG funds paid for personnel services.

**Funds Expended in Program Year 2010:** \$38,962.47

**Number of Clients Served:** 3,717

- **Family Health Centers – Phoenix Health Center Project (IDIS: 25255)** – \$104,800 in CDBG Public Service funds were used to provide an array of services to homeless individuals including primary health care, outreach, and case management. CDBG funds paid for personnel services.

**Funds Expended in Program Year 2010:** \$83,371.67

**Number of Clients Served:** 890

- **GuardiaCare – Payee Program Project (IDIS: 25257)** – \$49,600 in CDBG Public Service funds. The Payee Program helped individuals who were homeless or at-risk for homelessness (primarily due to chronic substance abuse and/or severe mental illness) to find permanent housing solutions. The Payee Program combines representative payee services and limited case management services to address the client's basic human needs of food, shelter and clothing. CDBG funds paid for personnel services.

**Funds Expended in Program Year 2010:** \$37,580.76

**Number of Clients Served:** 128

- **Jefferson Street Baptist Community at Liberty – Hospitality Program (IDIS: 25259)** – \$60,800 in CDBG Public Service funds to provide a safe haven during the day for homeless men and women. CDBG funds paid for personnel services and direct operating expenses.

**Funds Expended in Program Year 2010:** \$50,823.10  
**Number of Clients Served:** 883

- **Kentucky Refugee Ministries – Refugee Housing Bridge Program (IDIS: 25260)** – \$45,000 in CDBG Public Service funds. The Refugee Housing Bridge Program provided case management activities to support the housing security of refugees in Metro Louisville, all of whom qualified as low-to-moderate income under the HUD CDBG criteria. CDBG funds paid for personnel services and direct operating costs.

**Funds Expended in Program Year 2010:** \$23,270.02  
**Number of Clients Served:** 462

- **Legal Aid Society – Tenant Counseling and Education Program (IDIS: 25261)** – \$45,500 in CDBG Public Service funds. The Tenant Counseling and Education Program served low income residents of Jefferson County by providing an assessment of issues that threaten housing. Clients received counseling and/or educational materials to develop an understanding of their legal rights and responsibilities to maintain or improve their housing. An additional 443 low income residents of Jefferson County were assigned to staff attorneys for extended service on issues related to housing following initial assessment and counseling. CDBG funds paid for personnel services.

**Funds Expended in Program Year 2010:** \$16,731.22  
**Number of Clients Served:** 2,677

- **Seven Counties Services – Homeless Housing Support Services Project (IDIS: 25272)** – \$58,100 in CDBG Public Service funds. The Homeless Housing Support Services Project helped individuals who were homeless and experiencing mental illness access housing through community resources such as Shelter Plus Care. Case managers assist individuals to access safe and affordable housing and provide the necessary support to maintain that housing. CDBG funds paid for personnel services.

**Funds Expended in Program Year 2010:** \$32,127.97  
**Number of Clients Served:** 29

- **St. John’s Center – Emergency Day Shelter and Social Services Project (IDIS: 25273)** – \$228,800 in CDBG Public Service funds. The Emergency Day Shelter and Social Services Project provided an emergency day shelter for men. This program sheltered homeless men from outdoor elements; helped meet basic needs; allowed clients to gain knowledge and skills regarding mental health, substance abuse, income, and housing in order to become more self-sufficient. The day shelter is open seven days a week from 7:00am to 3:00pm with an average of 180 clients served every day. CDBG funds paid for personnel services.

**Funds Expended in Program Year 2010:** \$158,910.94  
**Number of Clients Served:** 2,071

- **St. John’s Center – Residential Recovery Project (IDIS: 25274)**– \$20,800 in CDBG Public Service funds. The Residential Recovery Project provided intensive substance abuse treatment and recovery for seven homeless men at a time. While in transitional housing, clients learn recovery skills, seek a steady source of income, and prepare for permanent housing. CDBG funds paid for residential treatment services.

**Funds Expended in Program Year 2010:** \$15,430.82  
**Number of Clients Served:** 12

- **Wellspring – Ardery House Project (IDIS: 25275)** – \$9,700 in CDBG Public Service funds. The Ardery House Project assisted individuals who were leaving the hospital and/or experiencing homelessness achieve independent living. While all participants had a diagnosis of mental illness, more than half also have a co-occurring substance abuse disorder. Ardery House provided 13 men and women at a time with room, board, life-skills training, recreational activities, case management, and individual and group therapy. CDBG funds paid for personnel services.

**Funds Expended in Program Year 2010:** \$7,382.86

**Number of Clients Served:** 133

- **Wellspring – D.J. Block and F.M. Gains Crisis Stabilization Units Project (IDIS: 25276)** – \$15,000 in CDBG Public Service funds. The Block and Gains Crisis Stabilization Units assisted persons who are in a psychiatric crisis achieve stabilization through the provision of clinically effective and compassionate services provided in supportive, home-like, community-based residences. CDBG funds paid for personnel services.

**Funds Expended in Program Year 2010:** \$11,185.60

**Number of Clients Served:** 461

- **YMCA – Shelter House and Mediation Services Project (IDIS: 25277)** – \$56,500 in CDBG Public Service funds. The Shelter House and Mediation Services Project provided emergency shelter and family unification/mediation services at a 24-hour emergency shelter for teens aged 12 to 17. The YMCA assisted the stabilization of teens in crisis and their families by assessing their strengths & needs; developing a plan of care to build the skills needed to improve their relationship; and supporting them through crisis based mediation services, aftercare follow-ups and community based referrals to maintain a healthy and safe home environment. CDBG funds paid for case management salaries.

**Funds Expended in Program Year 2010:** \$53,720.82

**Number of Clients Served:** 523

- **YMCA – Safe Place Services Street Outreach Project (IDIS: 25278)** – \$37,100 in CDBG Public Service funds. The Safe Place Services and Street Outreach Project provided qualified street based outreach, education, assessment, and case management services to at-risk homeless youth (12-17 years old.) The YMCA Safe Place Services Street Outreach team canvassed the community to provide street outreach, including distributing food, clothing, first aid, and personal hygiene products, providing case management services specific to this population, providing age appropriate emergency shelter and offering an expert independent living skills assessment and program. CDBG funds paid for personnel services.

**Funds Expended in Program Year 2010:** \$33,512.13

**Number of Clients Served:** 6,413

- **The Coalition for the Homeless – White Flag/QAS/Community Education (IDIS: 25379)** – \$104,200 in CDBG Public Service funds for three homeless service programs: the White Flag Program, the Quality Assurance Standards Program and the Community Education Program. The White Flag Program allows homeless individuals and families to take shelter during inclement weather (when winter temperatures/wind chill is 35 degrees or lower; summer temperatures/heat index is 95 degrees or higher), even if participating shelters are at or over capacity. The Quality Assurance Standards Program gauges the level at which a homeless shelter is able to serve its clients and potentially highlight areas for improvement, as well as provides training for front-line staff serving the homeless population. The Community Education Program

increases awareness and understanding of poverty and homelessness in the community and fosters community participation in prevention and solutions. Specific targeted groups include concerned citizens, civic groups, faith-based groups, government officials, schools and universities. CDBG funds paid for personnel services and direct operating costs.

**Funds Expended in Program Year 2010:** \$97,677.64

**Number of Clients Served:** 1,411

### **Housing Rehabilitation**

Housing rehabilitation activities have been discussed above and in the Housing section information has been provided identifying the type of program and number of units completed for each program.

### **Neighborhood Revitalization Strategy Area**

Louisville Metro Community Services and Revitalization received approval for its first Neighborhood Revitalization Strategy Area in the Portland neighborhood on April 21, 2011. LMCSR has allocated \$2 million to this initiative that will be spent on two main activities – a homeowner rehab program and a Portland-specific business loan program. As of the end of program year 2010 no funds had been expended related to this initiative. The Portland-specific business loan program will be administered by Louisville Metro Economic Development. Economic Development is exploring tying this initiative to a Locally Integrated Food Economy Zone in the Portland neighborhood. The homeowner rehab program will be administered by the Community Services division. Currently a determination is being made if the work will be conducted in-house or by a nonprofit subrecipient. LMCSR expects to begin drawing funds for both initiatives in Program Year 2011.

### **Antipoverty Strategy**

In Program Year 2010 Louisville Metro Community Services and Revitalization undertook a number of activities designed to reduce the number of persons living below the poverty level.

Most directly, economic development activities created new job opportunities or opportunities for advancement for low-to-moderate income persons. The METCO loan program assisted a number of established businesses and resulted in the creation of nine full-time jobs for low-to-moderate income individuals. The microenterprise loan and assistance program gave low-to-moderate income individuals the opportunity to achieve self-sufficiency through the development of their own business. This program resulted in the creation of nine full-time jobs created and ten full-time jobs retained.

Public Service activities such as the Family Economic Success program and CAP Community Outreach helped give and connect low-to-moderate income families to the tools they need to maintain self-sufficiency in the future.

Indirectly, housing programs such as Emergency Repair and Weatherization provided low-to-moderate households with assistance that allowed them to use more of their limited resources for essential expenses. Particularly, Weatherization assistance that makes residences energy efficient can make a measurable difference in the disposable income of households assisted.

---

## **NON-HOMELESS SPECIAL NEEDS**

## Non-homeless Special Needs

Non-homeless special needs individuals, including the elderly and disabled, are served by a number of CDBG and HOME funded programs. However, there is only one specific program that serves exclusively non-homeless special needs individuals - the Ramp program. The Ramp program corresponds to Objective 5.3 in the 2010 – 2014 Consolidated Plan.

**Objective 5.3:** Increase facilities and services for persons with disabilities [HUD SL-1]  
**Outcome 5.3:** Serve a minimum of 225 households over five years  
**Activities 5.3:** Ramp/Accessibility Program  
**Funding:** CDBG

- **Metro-Wide Ramp Construction Program (IDIS: 25353, 24155)** – This program, administered by The Center for Accessible Living, Inc., assists eligible individuals with disabilities in the installation of handicapped ramps and the removal of barriers for accessibility at their place of residence.

**2010 Action Plan Goal:** 45  
**Households assisted during 2010:** 88  
**Amount Expended during 2010:** \$317,690.56

HOPWA funded programs to address non-homeless special needs are discussed in detail in following section.

## Specific HOPWA Objectives

HOPWA entitlement funding in the Louisville, KY-IN MSA is administered by Louisville/Jefferson County Metro Government Department of Community Services and Revitalization (previously Housing and Family Services).

For program year 2010, Louisville Metro Government received \$554,887 in HOPWA funding and funded five local project sponsors which are as follows: AIM Interfaith Ministries of Kentuckiana, Hoosier Hills AIDS Coalition, House of Ruth Inc., Legal Aid Society Inc., and Volunteers of America Kentuckiana. Service providers represent the HIV/AIDS persons of Jefferson, Bullitt, Oldham, Henry, Meade, Shelby, and Spencer counties of Kentucky and Clark, Floyd, Harrison, and Washington counties of Indiana.

The HOPWA program provides tenant-based rental assistance, short-term utility, rent and mortgage assistance, and supportive services for persons living with HIV/AIDS. Supportive services include case management, assistance with daily living, nutritional services, and mental health services, etc. These services assist those who may become homeless or have lack of employment or housing options related to extensive medical care and treatment.

Project oversight and monitoring is conducted by the LMCSR Compliance and Administration Unit. The HOPWA Program Manager is responsible for reviewing all requests for payment submitted by HOPWA project sponsors for accuracy and to ensure compliance with local, state, and federal regulations and cost principles. In program year 2010 four HOPWA project sponsors were monitored by Compliance and Administration staff. In program year 2011 the HOPWA program manager will take over monitoring duties as well. By combining functions staff will be able to spot potential issues more efficiently and offer technical assistance for correction prior to finding of noncompliance.

The distribution of LMCSR HOPWA funding is coordinated through a funding application process. In program year 2010 potential subgrantees submitted an application for funding to the HOPWA and Homelessness grants committee chaired by the Coalition for the Homeless. The Homeless and HOPWA

Grants Committee is comprised of various community stakeholders, including non-applying homeless and housing service agencies as well as representatives from Louisville Metro Government. HOPWA applicants submit proposals to the Homeless and HOPWA Grants Committee based on program need and capacity. All proposed subgrantees must be approved in the Louisville/Jefferson County Metro Government budget passed by the Louisville Metro Council and signed by the Mayor. Once approved in the signed budget ordinance subgrantees are asked to submit a Work Program and Budget detailing proposed activities, goals, and expenditures for the program year. After the Work Program and Budget is approved by LMCSR staff a signed contract is executed between the HOPWA service agency and Louisville/Jefferson County Metro Government. All five project sponsors receiving funding for program year 2009 were again funded for program year 2010.

The city of Louisville has the highest incidence of HIV/AIDS in the state. According to the HIV/AIDS Semi-Annual Report compiled by the Kentucky Cabinet for Health and Family Services in 2009, there were approximately 2,193 diagnosed AIDS cases in Jefferson County. Of these cases, 1,079 were presumed to be living. When you expand these numbers to include the entire Kentuckiana Regional Planning and Development Agency (KIPDA) region (Bullitt, Henry, Jefferson, Oldham, Shelby, Spencer, and Trimble Counties), these numbers expand to 2,413 diagnosed AIDS cases, with 1,186 presumed living. Kentucky Department for Public Health HIV/AIDS Surveillance Data indicates that the number of people living with AIDS in Jefferson County has increased 71% since 2000. According to "Black Women and AIDS," by Cheri N. Holmes with the Kentucky Department for Public Health, African Americans comprise 50% of Jefferson County's AIDS cases, but only 20% of the County's population.

Addressing the special needs of Louisville Metro residents and providing housing and supportive services to persons with HIV/AIDS and their families, was ranked as the fifth overall goal in the 2010 – 2014 Consolidated Plan. Three specific HOPWA objectives were established for this goal:

**Objective 6.1:** Provide tenant-based rental assistance to persons with AIDS [HUD DH-2]  
**Outcome 6.1:** Serve 225 people over five years  
**Activities 6.1:** HOPWA  
**Funding:** HOPWA

**Objective 6.2:** Provide supportive services to persons with AIDS [HUD DH-3]  
**Outcome 6.2:** Serve 1,500 persons over five years  
**Activities 6.2:** HOPWA  
**Funding:** HOPWA

**Objective 6.3:** Provide short-term rent, mortgage and utility payments [HUD DH-2]  
**Outcome 6.3:** Serve 555 people over five years  
**Activities 6.3:** HOPWA  
**Funding:** HOPWA

The HOPWA program provides support to HIV/AIDS persons to prevent homelessness by aiding clients through short-term rent, mortgage, and utility assistance (STRMU); tenant-based rental assistance (TBRA); and supportive service options. Hoosier Hills and Volunteers of America (SHAP) supported 422 households in the report period with STRMU assistance. House of Ruth, Inc. and Hoosier Hills AIDS Coalition, Inc. supported 29 households during the report period with TBRA assistance. In addition, Legal Aid Society, Inc., House of Ruth, Inc., and AIDS Interfaith Ministries (AIM) provided supportive services to approximately 1,056 households with HOPWA funding.

All three HOPWA housing assistance project sponsors maintained stable housing for at least 80% of clients within the specific programs. Individual outputs are as follows:

A total of 29 clients received TBRA support from two Louisville Metro HOPWA subrecipients (Hoosier Hills and House of Ruth). House of Ruth served a total of 20 clients. Hoosier Hills served 9 clients with TBRA. Of these 29 clients, 22 are continuing with TBRA, three have exited to private housing, two are receiving other subsidies, one is living in an emergency shelter, and one is unknown. 93% of clients who received or continue to receive TBRA are currently in a stable housing situation.

A total of 422 clients received STRMU support from two Louisville Metro HOPWA subrecipients (Hoosier Hills and Volunteers of America of Kentucky). Of the 422 clients, 402 are likely to maintain current housing arrangements with additional support and 20 clients are likely to maintain housing without subsidy. 100% of clients who received or continue to receive STRMU are currently in a stable housing situation – 95% are in a temporary stable situation and 5% are in a permanent stable situation.

All HOPWA STRMU and TBRA clients were able to access necessary supportive services through other HOPWA subrecipient agencies or through other local, state, or federal resources. During the report period, the following supportive services totals were reported: AIDS Interfaith Ministries served 419 clients; House of Ruth served 472 total clients; and Legal Aid Society, Inc. served 165 clients.

### **Project Accomplishment Overview**

- **AIDS InterFaith Ministries of Kentuckiana Inc. (IDIS: 24629, 25411)**  
Janet Mann, Director of Program Development

AIM supports the HOPWA program by providing supportive services through salaries for the Director of Program Development for HIV/AIDS persons within the Louisville Metro area. The program provides various volunteer efforts that will provide gap-filling practical, nutritional, emotional, and spiritual support to persons living with HIV/AIDS, all in an effort to support stabilized housing. Services provided help keep families together and reduce the risk of eviction, homelessness, and/or the necessity of extended nursing facility care due to the impact of HIV/AIDS. AIM provides services that promote dignity and respect for individuals as they struggle to cope and survive this life threatening illness. Specific supportive services include a food pantry/personal supplies, life skills educational workshops, medications assistance, retreats, etc.

**Funds Expended in Program Year 2010:** \$43,977.00  
**Number of Clients Served:** Supportive Services – 419

- **Hoosier Hills AIDS Coalition, Inc. (IDIS: 25389, 25477, 25390, 25391)**  
Dorothy Waterhouse, Program Director, Treasurer

Hoosier Hills provides assistance to benefit low/mod income persons and their families who are HIV/AIDS+ and who strive to achieve stable housing. Hoosier Hills supports the initiatives set forth in the Louisville Metro Consolidated Plan through providing housing assistance to low to moderately low persons with HIV/AIDS. This program provides short-term rent, mortgage, and utility assistance and tenant-based rental assistance to HIV/AIDS persons to prevent homelessness.

The Southeast Indiana HIV/STD, which house the offices of Hoosier Hills AIDS Coalition, also serves as a primary care provider for Southern Indiana HIV/AIDS clients. Services also include: HIV prevention and education, counseling and testing, care coordination, HIV/STD disease intervention, STD clinic, early HIV medical clinic (which is staffed by WINGS and the Special Populations Support Program (SPSP) program for substance abuse). These programs collaborate with Hoosier Hills in providing comprehensive HIV/AIDS treatment for clients.

**Funds Expended in Program Year 2010:** \$32,062.65

**Number of Clients Served:** TBRA – 9; STRMU – 45

- **House of Ruth, Inc./Glade House Housing Program (IDIS: 25392, 23974, 24578)**

Lisa Sutton, Executive Director

The objectives of the House of Ruth/Glade House programs are to provide tenant-based rental assistance, supportive services/case management for HIV/AIDS persons. The focus of the case management component of this project is to promote personal success and independent housing stabilization for HIV+ clients. Starting with program year 2009, House of Ruth inherited the long-standing HOPWA Tenant-Based Rental Assistance (TBRA) project from Louisville Metro Government. Approximately 30 households receive TBRA at any time during the program year through House of Ruth's TBRA program. House of Ruth, Inc. provides a holistic client-centered approach for housing and advocacy; including the provision of life skills training, children's programs, and a myriad of other support services.

**Funds Expended in Program Year 2010:** \$420,203.00

**Number of Clients Served:** Supportive Services – 472; TBRA – 20

- **Legal Aid Society, Inc. (IDIS: 24733, 24388)**

Jeff Staton, Staff Attorney

The HIV/AIDS Legal Project is the only program in Kentucky providing a comprehensive range of free legal services specifically for individuals living with HIV/AIDS, offering legal services in the areas of housing, income maintenance, life planning, access to health care, employment, consumer issues, disability benefits and family law. With this funding, the HIV/AIDS Legal Project of the Legal Aid Society continues to support its work assisting low-income clients to obtain and maintain stable housing.

**Funds Expended in Program Year 2010:** \$19,003.00

**Number of Clients Served:** Supportive Services – 165

- **Volunteers of America of Kentuckiana, Inc. (IDIS: 25394, 25183, 25395)**

Richard Coomer, Program Manager

The Supplemental Housing Assistance Program (SHAP) sought to prevent homelessness for individuals and families living with HIV/AIDS in Jefferson, Oldham, and Bullitt counties in the Louisville, KY region, through the provision of short-term rent, mortgage, and utility assistance (STRMU) and associated supportive services. All those receiving HOPWA STRMU assistance through VOA also received a myriad of supportive services (including financial/budget planning, enhancement of job search, marketing and skills, and housing stability/homeless prevention planning).

**Funds Expended in Program Year 2010:** \$50,312.00

**Number of Clients Served:** STRMU – 377

## Barriers or Trends Overview

Several barriers have been identified by HOPWA project sponsors, including: past criminal histories, long waiting lists for available housing, and past or current poor credit barring people from available housing. Poor credit history often leads to a poor rental history, making it difficult to establish housing with landlords or community residences. Credit checks are almost always a requirement during the application process for new housing. HOPWA program applicants who have criminal records (which sometimes

include felonies) also have added difficulty in entering into housing. Agencies typically turn to private renters with smaller properties who are willing to rent houses or studios that do not require credit checks. Another barrier is the increase of HIV/AIDS persons on the verge of becoming homeless. Many of these persons may also have substance abuse problems, thus making it significantly harder to complete case management programs without the assistance of medical/mental treatment. A lack of safe, clean, and affordable housing stock continues to plague housing assistance programs seeking to place eligible program clients in decent, safe, and affordable housing. Current economic conditions have made competition for quality affordable housing very stiff. When one considers the additional barriers mentioned above it makes it increasingly difficult for HOPWA clients to access this housing. Additional economic factors, such as the rise in transit costs, specifically for local special-needs transit TARC-3, has placed a larger economic burden on clients who rely on this service to access essential services, such as medical care. In addition, changing client demographics have resulted in additional challenges. In program year 2010 project sponsors reported serving more single mothers who faced challenges accessing childcare.

## OTHER NARRATIVE

Include any CAPER information that was not covered by narratives in any other section



# **Housing Opportunities for Persons With AIDS (HOPWA) Program**

## **Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes**

OMB Number 2506-0133 (Expiration Date: 08/31/2011)

The HOPWA CAPER report for formula grantees provides annual information on program accomplishments in meeting the program's performance outcome measure: maintain housing stability; improve access to care; and reduce the risk of homelessness for low-income persons and their families living with HIV/AIDS. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning Regulations. The public reporting burden for the collection of information is estimated to average 45 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 68 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

**Overview.** The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

The revisions contained within this edition are designed to accomplish the following: (1) provide for an assessment of unmet need; (2) streamline reporting sources and uses of leveraged resources; (3) differentiate client outcomes for temporary/short-term and permanent facility-based assistance; (4) clarify indicators for short-term efforts and reducing the risk of homelessness; and (5) clarify indicators for Access to Care and Support for this special needs population. In addition, grantees are requested to comply with the Federal Funding Accountability and Transparency Act 2006 (Public Law 109-282) which requires federal grant recipients to provide general information for all entities (including subrecipients) receiving \$25,000+ in federal funds.

## **Table of Contents**

### **PART 1: Executive Summary**

1. Grantee Information
2. Project Sponsor Information
3. Contractor(s) or Subcontractor(s) Information
  - A. Grantee and Community Overview
  - B. Annual Performance under the Action Plan
  - C. Barriers or Trends Overview
  - D. Assessment of Unmet Housing Needs

### **PART 2: Sources of Leveraging**

### **PART 3: Accomplishment Data**

### **PART 4: Summary of Performance Outcomes**

1. Housing Stability: Permanent Housing and Related Facilities
2. Prevention of Homelessness: Short-Term Housing Payments
3. Access to Care and Support: Housing Assistance with Supportive Services

### **PART 5: Worksheet - Determining Housing Stability Outcomes**

### **PART 6: Certification of Continued Use for HOPWA Facility-Based Stewardship Units (Only)**

**Central Contractor Registration (CCR):** *This is a new reporting requirement effective October 1, 2009.* The primary registrant database for the U.S. Federal Government; CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA. Per ARRA (American Recovery and Reinvestment Act) and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number and be registered with the CCR (Central Contractor Registration).

**Continued Use Periods.** Grantees that use HOPWA funds for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for ten years for HOPWA-eligible beneficiaries. For the years in which grantees do not receive and expend HOPWA funding for these activities, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 5 in CAPER.

**Final Assembly of Report.** After the entire report is assembled, please number each page sequentially.

**Filing Requirements.** Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee's State or Local HUD Field Office, and to the HOPWA Program Office: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C. 20410.

**Definitions: Facility-Based Housing Assistance:** All HOPWA housing expenditures which provide support to facilities, including community residences, SRO dwellings, short-term or transitional facilities, project-based units, master leased units, scattered site units leased by the organization, and other housing facilities approved by HUD.

**Grassroots Organization:** An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually; and six or fewer full-time equivalent employees. Local affiliates of national or larger organizations are not considered "grassroots."

**Housing Assistance Total:** The non-duplicated number of households receiving housing subsidies and residing in units of facilities that were dedicated to persons living with HIV/AIDS and their families that were supported with HOPWA or leveraged funds during this operating year.

**In-kind Leveraged Resources:** These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

**Leveraged Funds:** The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance used directly in HOPWA program delivery.

**Output:** The number of units of housing or households that receive HOPWA housing assistance during the operating year.

**Outcome:** The HOPWA assisted households who have been enabled to establish or better maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support. The goal that eighty percent of HOPWA clients will maintain housing stability, avoid homelessness, and access care by 2011.

**Permanent Housing Placement:** A supportive housing service that helps establish the household in the housing unit, including reasonable costs for security deposits not to exceed two months of rental costs).

**Program Income:** Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

**Short-Term Rent, Mortgage and Utility Payments (STRMU):** Subsidy or payments subject to the 21-week limited time period to prevent the homelessness of a household (e.g., HOPWA short-term rent, mortgage and utility payments).

**Stewardship Units:** Units developed, where HOPWA funds were used for acquisition, new construction and rehabilitation, but no longer receive operating subsidies. Report information for the units subject to the three-year use agreement if rehabilitation is non-substantial, and those subject to the ten-year use agreement if rehabilitation is substantial.

**Tenant-Based Rental Assistance: (TBRA):** An on-going rental housing subsidy for units leased by the client, where the amount is determined based in part on household income and rent costs. Project-based costs are considered facility-based expenditures.

**Total by Type of Housing Assistance/Services:** The non-duplicated households assisted in units by type of housing assistance dedicated to persons living with HIV/AIDS and their families or services provided that were supported with HOPWA and leveraged funds during the operating year

# Housing Opportunities for Persons with AIDS (HOPWA) Consolidated Annual Performance and Evaluation Report - Measuring Performance Outcomes

OMB Number 2506-0133 (Expiration Date: 08/31/2011)

## Part 1: Grantee Executive Summary

As applicable, complete the charts below followed by the submission of a written narrative to questions A through C, and the completion of Chart D. Chart 1 requests general grantee information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their activities. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

### 1. Grantee Information

<b>HUD Grant Number</b> KYH10-F001		<b>Operating Year for this report</b> <i>From (mm/dd/yy)</i> 07/01/2010 <i>To (mm/dd/yy)</i> 06/30/2011		
<b>Grantee Name</b> Louisville / Jefferson County Metro Government				
<b>Business Address</b>		810 Barrett Ave.		
<b>City, County, State, Zip</b>		Louisville	Jefferson	KY 40204
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		32-0049006		
<b>DUN &amp; Bradstreet Number (DUNS):</b>		0731355840000	<b>Central Contractor Registration (CCR):</b> Is the grantee's CCR status currently active? (See pg 2 of instructions) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>*Congressional District of Business Address</b>		KY-3		
<b>*Congressional District of Primary Service Area(s)</b>		KY-3		
<b>*Zip Code(s) of Primary Service Area(s)</b>		40201, 40202, 40203, 40204, 40205, 40206, 40207, 40208, 40209, 40210, 40211, 40212, 40213, 40214, 40215, 40216, 40217, 40218, 40219, 40220, 40221, 40222, 40223, 40224, 40225, 40228, 40229, 40231, 40232, 40233, 40241, 40242, 40243, 40245, 40250, 40251, 40252, 40253, 40255, 40256, 40257, 40258, 40259, 40261, 40266, 40268, 40269, 40270, 40272, 40280, 40281, 40282, 40283, 40285, 40287, 40289, 40290, 40291, 40292, 40293, 40294, 40295, 40296, 40297, 40298, 40299		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Louisville	Jefferson	
<b>Organization's Website Address</b>  www.louisvilleky.gov		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <b>If yes, explain in the narrative section how this list is administered.</b>		
<b>Have you prepared any evaluation report?</b> <i>If so, please indicate its location on an Internet site (url) or attach copy.</i>  N/A				

## 2. Project Sponsor Information

In Chart 2, provide the following information for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3.

<b>Project Sponsor Agency Name</b> AIDS Interfaith Ministries of Kentuckiana, Inc.		<b>Parent Company Name, if applicable</b> N/A		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Janet R. Mann, Director of Program Development		
<b>Email Address</b>		<a href="mailto:aimvoluneteers@aol.com">aimvoluneteers@aol.com</a>		
<b>Business Address</b>		850 Barrett Ave. Ste 302		
<b>City, County, State, Zip,</b>		Louisville	Jefferson	KY 40204
<b>Phone Number (with area code)</b>		502.574.6086		<b>Fax Number (with area code)</b> 502.574.8484
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		61-1345576		
<b>DUN &amp; Bradstreet Number (DUNs):</b>		785679064		<b>Central Contractor Registration (CCR):</b> <b>Is the sponsor's CCR status currently active?</b> (See pg 2 of instructions) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>Congressional District of Business Location of Sponsor</b>		KY-3		
<b>Congressional District(s) of Primary Service Area(s)</b>		KY-3		
<b>Zip Code(s) of Primary Service Area(s)</b>		40013, 40047, 40071, 40109, 40110, 40129, 40150, 40165, 40177, 40229, 40272, 40007, 40011, 40019, 40031, 40036, 40050, 40055, 40057, 40058, 40068, 40070, 40075, 40201, 40202, 40203, 40204, 40205, 40206, 40207, 40208, 40209, 40210, 40211, 40212, 40213, 40214, 40215, 40216, 40217, 40218, 40219, 40220, 40221, 40222, 40223, 40224, 40225, 40228, 40229, 40231, 40232, 40233, 40241, 40242, 40243, 40245, 40250, 40251, 40252, 40253, 40255, 40256, 40257, 40258, 40259, 40261, 40266, 40268, 40269, 40270, 40272, 40280, 40281, 40282, 40283, 40285, 40287, 40289, 40290, 40291, 40292, 40293, 40294, 40295, 40296, 40297, 40298, 40299, 40010, 40014, 40026, 40031, 40032, 40055, 40056, 40059, 40068, 40077, 40003, 40019, 40022, 40046, 40057, 40065, 40066, 40067, 40068, 40076, 40245, 40008, 40013, 40023, 40046, 40071 40006, 40011, 40045, 40055		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Shepherdsville, Mt. Washington, Louisville, Crestwood, LaGrange, Shelbyville, Taylorsville, Bedford		Bullitt, Henry, Jefferson, Oldham, Shelby, Spencer, Trimble
<b>Total HOPWA contract amount for this Organization</b>		\$44,000		
<b>Organization's Website Address</b>  www.aimkyonline.org/		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input checked="" type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b>		

## 2. Project Sponsor Information

In Chart 2, provide the following information for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3.

<b>Project Sponsor Agency Name</b> Hoosier Hills AIDS Coalition		<b>Parent Company Name, if applicable</b> N/A		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Dorothy Waterhouse, Treasurer		
<b>Email Address</b>		<a href="mailto:DWATERHOUSE@CCHAIDS.WIN.NET">DWATERHOUSE@CCHAIDS.WIN.NET</a>		
<b>Business Address</b>		1301 Akers Avenue		
<b>City, State, Zip, County,</b>		Jeffersonville	IN	47130 Clark
<b>Phone Number (with area codes)</b>		812-288-2706		<b>Fax Number (with area code)</b> 502-288-1474
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		35-1987523		
<b>DUN &amp; Bradstreet Number (DUNs):</b>		005376940		<b>Central Contractor Registration (CCR):</b> <b>Is the sponsor's CCR status currently active?</b> (See pg 2 of instructions) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>Congressional District of Business Location of Sponsor</b>		IN-9		
<b>Congressional District(s) of Primary Service Area(s)</b>		IN-9		
<b>Zip Code(s) of Primary Service Area(s)</b>		47104, 47106, 47111, 47119, 47126, 47129, 47130, 47131, 47132, 47133, 47134, 47141, 47143, 47144, 47147, 47162, 47163, 47172, 47177, 47190, 47199, 47106, 47117, 47119, 47122, 47124, 47136, 47146, 47150, 47151, 47172, 47107, 47110, 47112, 47114, 47115, 47117, 47120, 47122, 47124, 47135, 47136, 47142, 47160, 47161, 47164, 47166, 47102, 47138, 47141, 47147, 47170, 47177 47106, 47108, 47120, 47125, 47126, 47139, 47145, 47164, 47165, 47167, 47170, 47281		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Jeffersonville, New Albany, Madison, Scottsburg		Clark, Floyd, Harrison, Washington
<b>Total HOPWA contract amount for this Organization</b>		\$40,000		
<b>Organization's Website Address</b> N/A		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input checked="" type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b>		

## 2. Project Sponsor Information

In Chart 2, provide the following information for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3.

Project Sponsor Agency Name House of Ruth Inc.		Parent Company Name, <i>if applicable</i> N/A		
Name and Title of Contact at Project Sponsor Agency		Lisa Sutton, Executive Director		
Email Address		<a href="mailto:lsutton@houseofruth.net">lsutton@houseofruth.net</a>		
Business Address		607 East St. Catherine Street		
City, County, State, Zip,		Louisville	Jefferson	KY 40203
Phone Number (with area codes)		502.587.5080		Fax Number (with area code) 502.587.5009
Employer Identification Number (EIN) or Tax Identification Number (TIN)		61-1231355		
DUN & Bradstreet Number (DUNs):		831087168		Central Contractor Registration (CCR): Is the sponsor's CCR status currently active? (See pg 2 of instructions) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Congressional District of Business Location of Sponsor		KY -3		
Congressional District(s) of Primary Service Area(s)		KY-3		
Zip Code(s) of Primary Service Area(s)		40201, 40202, 40203, 40204, 40205, 40206, 40207, 40208, 40209, 40210, 40211, 40212, 40213, 40214, 40215, 40216, 40217, 40218, 40219, 40220, 40221, 40222, 40223, 40224, 40225, 40228, 40229, 40231, 40232, 40233, 40241, 40242, 40243, 40245, 40250, 40251, 40252, 40253, 40255, 40256, 40257, 40258, 40259, 40261, 40266, 40268, 40269, 40270, 40272, 40280, 40281, 40282, 40283, 40285, 40287, 40289, 40290, 40291, 40292, 40293, 40294, 40295, 40296, 40297, 40298, 40299		
City(ies) and County(ies) of Primary Service Area(s)		Louisville		Jefferson
Total HOPWA contract amount for this Organization		\$328,000		
Organization's Website Address <a href="http://www.houseofruth.net/">http://www.houseofruth.net/</a>		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input checked="" type="checkbox"/>		If yes, explain in the narrative section how this list is administered.		

## 2. Project Sponsor Information

In Chart 2, provide the following information for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3.

<b>Project Sponsor Agency Name</b> Legal Aid Society of Louisville, Inc.		<b>Parent Company Name, if applicable</b> The Legal Aid Society		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Jeff Bean, Executive Director		
<b>Email Address</b>		JBeen@laslou.org		
<b>Business Address</b>		416 W. Muhammad Ali Blvd., Suite 300		
<b>City, County, State, Zip,</b>		Louisville	Jefferson	KY 40202
<b>Phone Number (with area codes)</b>		502-584-1254		<b>Fax Number (with area code)</b> 502.574.5244
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		61-0537626		
<b>DUN &amp; Bradstreet Number (DUNs):</b>		086757762		<b>Central Contractor Registration (CCR): Is the sponsor's CCR status currently active?</b> (See pg 2 of instructions) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>Congressional District of Business Location of Sponsor</b>		KY-3		
<b>Congressional District(s) of Primary Service Area(s)</b>		KY-2, KY-3, KY-4		
<b>Zip Code(s) of Primary Service Area(s)</b>		40111, 40115, 40119, 40140, 40142, 40143, 40144, 40145, 40146, 40152, 40153, 40161, 40170, 40175, 40176, 40178, 42754, 40013, 40047, 40071, 40109, 40110, 40129, 40150, 40165, 40177, 40229, 40272, 40119, 42349, 42361, 42712, 42721, 42726, 42754, 42755, 42762, 40121, 40150, 40159, 40160, 40162, 40175, 40177, 42701, 42702, 42712, 42724, 42732, 42740, 42776, 42784, 42788, 40007, 40011, 40019, 40031, 40036, 40050, 40055, 40057, 40058, 40068, 40070, 40075, 40201, 40202, 40203, 40204, 40205, 40206, 40207, 40208, 40209, 40210, 40211, 40212, 40213, 40214, 40215, 40216, 40217, 40218, 40219, 40220, 40221, 40222, 40223, 40224, 40225, 40228, 40229, 40231, 40232, 40233, 40241, 40242, 40243, 40245, 40250, 40251, 40252, 40253, 40255, 40256, 40257, 40258, 40259, 40261, 40266, 40268, 40269, 40270, 40272, 40280, 40281, 40282, 40283, 40285, 40287, 40289, 40290, 40291, 40292, 40293, 40294, 40295, 40296, 40297, 40298, 40299, 40051, 40052, 42716, 42748, 42757, 42764, 42776, 42784, 40009, 40033, 40037, 40049, 40052, 40060, 40062, 40063, 40328, 42718, 40104, 40108, 40117, 40121, 40142, 40155, 40157, 40161, 40171, 40175, 40176, 40004, 40008, 40012, 40013, 40020, 40037, 40048, 40051, 40052, 40107, 40010, 40014, 40026, 40031, 40032, 40055, 40056, 40059, 40068, 40077, 40003, 40019, 40022, 40046, 40057, 40065, 40066, 40067, 40068, 40076, 40245, 40008, 40013, 40023, 40046, 40071, 40006, 40011, 40045, 40055, 40037, 40040, 40061, 40069, 40078, 40330, 40468		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Hardinsburg, Shepherdsville, Mt. Washington, Leitchfield, Elizabethtown, Radcliff, New Castle, Louisville, Hodgenville, Lebanon, Brandenburg, Bardstown, LaGrange, Shelbyville, Taylorsville, Bedford, Springfield	Breckinridge, Bullitt, Grayson, Hardin, Henry, Jefferson, Larue, Marion, Meade, Nelson, Oldham, Shelby, Spencer, Trimble and Washington Counties	
<b>Total HOPWA contract amount for this Organization</b>		\$28,000		
<b>Organization's Website Address</b> www.laslou.org		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input checked="" type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b>		

## 2. Project Sponsor Information

In Chart 2, provide the following information for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3.

<b>Project Sponsor Agency Name</b> Volunteers of America of Kentucky, Inc		<b>Parent Company Name, if applicable</b> Volunteers of America		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Richard Coomer and Betsy Northrup		
<b>Email Address</b>		<a href="mailto:RichardC@voaky.org">RichardC@voaky.org</a> and <a href="mailto:BetsyN@voaky.org">BetsyN@voaky.org</a>		
<b>Business Address</b>		850 Barrett Ave.		
<b>City, County, State, Zip,</b>		Louisville	Jefferson	KY 40204
<b>Phone Number (with area codes)</b>		502.574.0161		<b>Fax Number (with area code)</b> 502.574.8484
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		61-0480950		
<b>DUN &amp; Bradstreet Number (DUNs):</b>		079657219		<b>Central Contractor Registration (CCR):</b> <b>Is the sponsor's CCR status currently active?</b> (See pg 2 of instructions) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>Congressional District of Business Location of Sponsor</b>		KY-3		
<b>Congressional District(s) of Primary Service Area(s)</b>		KY-3		
<b>Zip Code(s) of Primary Service Area(s)</b>		40201, 40202, 40203, 40204, 40205, 40206, 40207, 40208, 40209, 40210, 40211, 40212, 40213, 40214, 40215, 40216, 40217, 40218, 40219, 40220, 40221, 40222, 40223, 40224, 40225, 40228, 40229, 40231, 40232, 40233, 40241, 40242, 40243, 40245, 40250, 40251, 40252, 40253, 40255, 40256, 40257, 40258, 40259, 40261, 40266, 40268, 40269, 40270, 40272, 40280, 40281, 40282, 40283, 40285, 40287, 40289, 40290, 40291, 40292, 40293, 40294, 40295, 40296, 40297, 40298, 40299		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Louisville		Jefferson
<b>Total HOPWA contract amount for this Organization</b>		\$98,200		
<b>Organization's Website Address</b>  www.voaky.org		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input checked="" type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b>		

### **A. Grantee and Community Overview**

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

HOPWA entitlement funding in the Louisville, KY-IN MSA is administered by Louisville/Jefferson County Metro Government Department of Community Services and Revitalization (previously Housing and Family Services). This HOPWA CAPER covers activities occurring in program year 2010, July 1, 2010 – June 30, 2011.

For program year 2010, Louisville Metro Government received \$554,887 in HOPWA funding and funded five local project sponsors which are as follows: AIM Interfaith Ministries of Kentuckiana, Hoosier Hills AIDS Coalition, House of Ruth Inc., Legal Aid Society Inc., and Volunteers of America Kentuckiana. Service providers represent the HIV/AIDS persons of Jefferson, Bullitt, Oldham, Henry, Meade, Shelby, and Spencer counties of Kentucky and Clark, Floyd, Harrison, and Washington counties of Indiana.

The HOPWA program provides tenant-based rental assistance, short-term utility, rent and mortgage assistance, and supportive services for persons living with HIV/AIDS. Supportive services include case management, assistance with daily living, nutritional services, and mental health services, etc. These services assist those who may become homeless or have lack of employment or housing options related to extensive medical care and treatment.

Project sponsors, contact name(s), and activities conducted as follows:

#### **AIDS InterFaith Ministries of Kentuckiana Inc. (Supportive Services)**

*Janet Mann, Director of Program Development.*

AIM supports the HOPWA program by providing supportive services through salaries for the Director of Program Development for HIV/AIDS persons within the Louisville Metro area. The program provides various volunteer efforts that will provide gap-filling practical, nutritional, and emotional support to persons living with HIV/AIDS, all in an effort to support stabilized housing. Services provided help keep families together and reduce the risk of eviction, homelessness, and/or the necessity of extended nursing facility care due to the impact of HIV/AIDS. AIM provides services that promote dignity and respect for individuals as they struggle to cope and survive this life threatening illness. Specific supportive services include a food pantry/personal supplies, life skills educational workshops, medication assistance, and counseling retreats.

#### **Hoosier Hills AIDS Coalition, Inc. (STRMU, TBRA)**

*Dorothy Waterhouse, Program Director, Treasurer.*

Hoosier Hills provides assistance to benefit low/mod income persons and their families who are HIV/AIDS+ and who strive to achieve stable housing. Hoosier Hills supports the initiatives set forth in the Louisville Metro Consolidated Plan through providing housing assistance to low to moderately low persons with HIV/AIDS. This program provides short-term rent, mortgage, and utility assistance and tenant-based rental assistance to HIV/AIDS persons to prevent homelessness.

The Southeast Indiana HIV/STD, which houses the offices of Hoosier Hills AIDS Coalition, also serves as a primary care provider for Southern Indiana HIV/AIDS clients. Services also include: HIV prevention and education, counseling and testing, care coordination, HIV/STD disease intervention, STD clinic, early HIV medical clinic (which is staffed by WINGS and the SPSP program for substance abuse). These programs collaborate with Hoosier Hills in providing comprehensive HIV/AIDS treatment for clients.

#### **House of Ruth, Inc. (TBRA, Supportive Services)**

*Lisa Sutton, Executive Director*

The objectives of the House of Ruth/Glade House programs are to provide tenant-based rental assistance, supportive services/case management for HIV/AIDS persons. The focus of the case management component of this project is to promote personal success and independent housing stabilization for HIV+ clients. Starting with program year 2009,

House of Ruth inherited the long-standing HOPWA Tenant-Based Rental Assistance (TBRA) project from Louisville Metro Government.

House of Ruth also owns and operates 17 scattered site housing units throughout Metro Louisville. In addition, House of Ruth owns and operates Glade House, a communal living residence for HIV-positive men. Funding supports direct TBRA payments to outside landlords in addition to funding the following staff positions: Operations Director, Community Relations Director, Children's Program Director, Housing and Glade House Case Manager, Glade House 3<sup>rd</sup> shift Resident Coordinator, and the Housing Case Manager. House of Ruth, Inc. provides a holistic client-centered approach for housing and advocacy; including the provision of life skills training, children's programs, and a myriad of other support services.

**Legal Aid Society, Inc. (Supportive Services)**

*Jeff Staton, Project Manager*

The HIV/AIDS Legal Project is the only program in Kentucky providing a comprehensive range of free legal services specifically for individuals living with HIV/AIDS, offering legal services in the areas of housing, income maintenance, life planning, access to health care, employment, consumer issues, disability benefits and family law. With this funding, the HIV/AIDS Legal Project of the Legal Aid Society continues to support its work assisting low-income clients to obtain and maintain stable housing.

**Volunteers of America of Kentuckiana, Inc. (STRMU)**

*Richard Coomer, Program Manager*

The Supplemental Housing Assistance Program (SHAP) sought to prevent homelessness for individuals and families living with HIV/AIDS in Jefferson, Oldham, and Bullitt counties in the Louisville, KY region, through the provision of short-term rent, mortgage, and utility assistance (STRMU) and associated supportive services. All those receiving HOPWA STRMU assistance through VOA also received a myriad of supportive services including financial/budget planning, enhancement of job search, marketing and skills, and housing stability/homeless prevention planning.

**B. Annual Performance under the Action Plan**

Provide a narrative addressing each of the following four items:

**1. Outputs Reported.** Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

The distribution of LMCSR HOPWA funding is coordinated through a funding application process. In program year 2010 potential subgrantees submitted an application for funding to the HOPWA and Homelessness grants committee chaired by the Coalition for the Homeless. The Homeless and HOPWA Grants Committee is comprised of various community stakeholders, including non-applying homeless and housing service agencies as well as representatives from Louisville Metro Government. HOPWA applicants submit proposals to the Homeless and HOPWA Grants Committee based on program need and capacity. All proposed subgrantees must be approved in the Louisville/Jefferson County Metro Government budget passed by the Louisville Metro Council and signed by the Mayor. Once approved in the signed budget ordinance subgrantees are asked to submit a Work Program and Budget detailing proposed activities, goals, and expenditures for the program year. After the Work Program and Budget is approved by LMCSR staff a signed contract is executed between the HOPWA service agency and Louisville/Jefferson County Metro Government. All five project sponsors receiving funding for program year 2009 were again funded for program year 2010.

Project oversight and monitoring is conducted by the LMCSR Compliance and Administration Unit. The HOPWA Program Manager is responsible for reviewing all requests for payment submitted by HOPWA project sponsors for accuracy and to ensure compliance with local, state, and federal regulations and cost principles. In program year

2010 four HOPWA project sponsors were monitored by Compliance and Administration staff. In program year 2011 the HOPWA program manager will take over monitoring duties as well. By combining functions staff will be able to spot potential issues more efficiently and offer technical assistance for correction prior to finding of noncompliance.

In addition to providing services to Louisville/Jefferson County project, sponsors also represent the counties of Bullitt, Oldham, Meade, Shelby, Henry, Spencer of Kentucky and Clark, Floyd, Harrison, and Washington counties of Indiana.

The HOPWA program provides support to HIV/AIDS persons to prevent homelessness by aiding clients through short-term rent, mortgage, and utility assistance (STRMU); tenant-based rental assistance (TBRA); and supportive service options. Hoosier Hills and Volunteers of America (SHAP) supported **422** households in the report period with STRMU assistance. House of Ruth, Inc. and Hoosier Hills AIDS Coalition, Inc. supported **29** households during the report period with TBRA assistance. In addition, Legal Aid Society, Inc., House of Ruth, Inc., and AIDS Interfaith Ministries (AIM) provided supportive services to approximately **1,056** households with HOPWA funding.

**2. Outcomes Assessed.** Assess program goals against actual client outcomes for achieving housing stability, reducing risks of homelessness, and improving access to care. If current year results are lower than the national program targets (80 percent of HOPWA clients maintain housing stability, avoid homelessness and access care), please describe the steps being taken to achieve the national outcome goal in next operating year.

All three HOPWA housing assistance project sponsors maintained stable housing for at least 80% of clients within the specific programs. Individual outputs are as follows:

A total of 29 clients received TBRA support from two Louisville Metro HOPWA subrecipients (Hoosier Hills and House of Ruth). House of Ruth served a total of 20 clients. Hoosier Hills served 9 clients with TBRA. Of these 29 clients, 22 are continuing with TBRA, three have exited to private housing, two are receiving other subsidies, one is living in an emergency shelter, and one is unknown. 93% of clients who received or continue to receive TBRA are currently in a stable housing situation.

A total of 422 clients received STRMU support from two Louisville Metro HOPWA subrecipients (Hoosier Hills and Volunteers of America of Kentucky). Of the 422 clients, 402 are likely to maintain current housing arrangements with additional support and 20 clients are likely to maintain housing without subsidy. 100% of clients who received or continue to receive STRMU are currently in a stable housing situation – 95% are in a temporary stable situation and 5% are in a permanent stable situation.

All HOPWA STRMU and TBRA clients were able to access necessary supportive services through other HOPWA subrecipient agencies or through other local, state, or federal resources. During the report period, the following supportive services totals were reported: AIDS Interfaith Ministries served 419 clients; House of Ruth served 472 total clients; and Legal Aid Society, Inc. served 165 clients.

**3. Coordination.** Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

Most project sponsors also receive Continuum of Care, ESG, or CDBG funding to help leverage the provisions of agency services and resources. In addition, most participate in the Louisville Continuum of Care to plan, coordinate policy, and distribute resources for vulnerable and special needs/HOPWA populations in Louisville Metro. Many HOPWA project sponsors also leverage program operations funding through various other federal and local sources. These include the Ryan White Care Act, Metro United Way, contributions from the annual Louisville AIDS Walk, and annual private donations.

Many of the HOPWA project sponsors are represented on the AIDS Services Center Coalition Board of Directors. The AIDS Services Center, Inc. facilitates monthly planning meetings among HIV/AIDS service providers in Louisville Metro and is responsible for securing funding for numerous agencies through the Louisville AIDS walk.

Louisville Metro Community Services and Revitalization HOPWA project manager participates in the monthly meeting of the AIDS Services Center Coalition.

Internally, project sponsors are monitored regularly for compliance with federal regulations. They also submit monthly reports tracking their number of clients served and other pertinent information for future funding distribution processes.

**4. Technical Assistance.** Describe any program technical assistance needs and how they would benefit program beneficiaries.

Specific technical assistance was not provided by HUD in program year 2010. In the future further guidance on reporting requirements, data gathering methods, and overall program regulations would be helpful. Many HOPWA project sponsors have added new staff in the past program year who could benefit from this training.

**C. Barriers and Trends Overview**

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program’s ability to achieve the objectives and outcomes discussed in the previous section.

- 1. Describe any barriers (including regulatory and non-regulatory) encountered, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

Several barriers have been identified by HOPWA project sponsors, including: past criminal histories, long waiting lists for available housing, and past or current poor credit barring people from available housing. Poor credit history often leads to a poor rental history, making it difficult to establish housing with landlords or community residences. Credit checks are almost always a requirement during the application process for new housing. HOPWA program applicants who have criminal records (which sometimes include felonies) also have added difficulty in entering into housing. Agencies typically turn to private renters with smaller properties who are willing to rent houses or studios that do not require credit checks. Another barrier is the increase of HIV/AIDS persons on the verge of becoming homeless. Many of these persons may also have substance abuse problems, thus making it significantly harder to complete case management programs without the assistance of medical/mental treatment. A lack of safe, clean, and affordable housing stock continues to plague housing assistance programs seeking to place eligible program clients in decent, safe, and affordable housing. Current economic conditions have made competition for quality affordable housing very stiff. When one considers the additional barriers mentioned above it makes it increasingly difficult for HOPWA clients to access this housing. Additional economic factors, such as the rise in transit costs, specifically for local special-needs transit TARC-3, has placed a larger economic burden on clients who rely on this service to access essential services, such as medical care. In addition, changing client demographics have resulted in additional challenges. In program year 2010 project sponsors reported serving more single mothers who faced challenges accessing childcare.

<input type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input checked="" type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input type="checkbox"/> Multiple Diagnoses	<input type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input type="checkbox"/> Supportive Services	<input checked="" type="checkbox"/> Credit History	<input checked="" type="checkbox"/> Rental History	<input checked="" type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input checked="" type="checkbox"/> Other, please explain further		

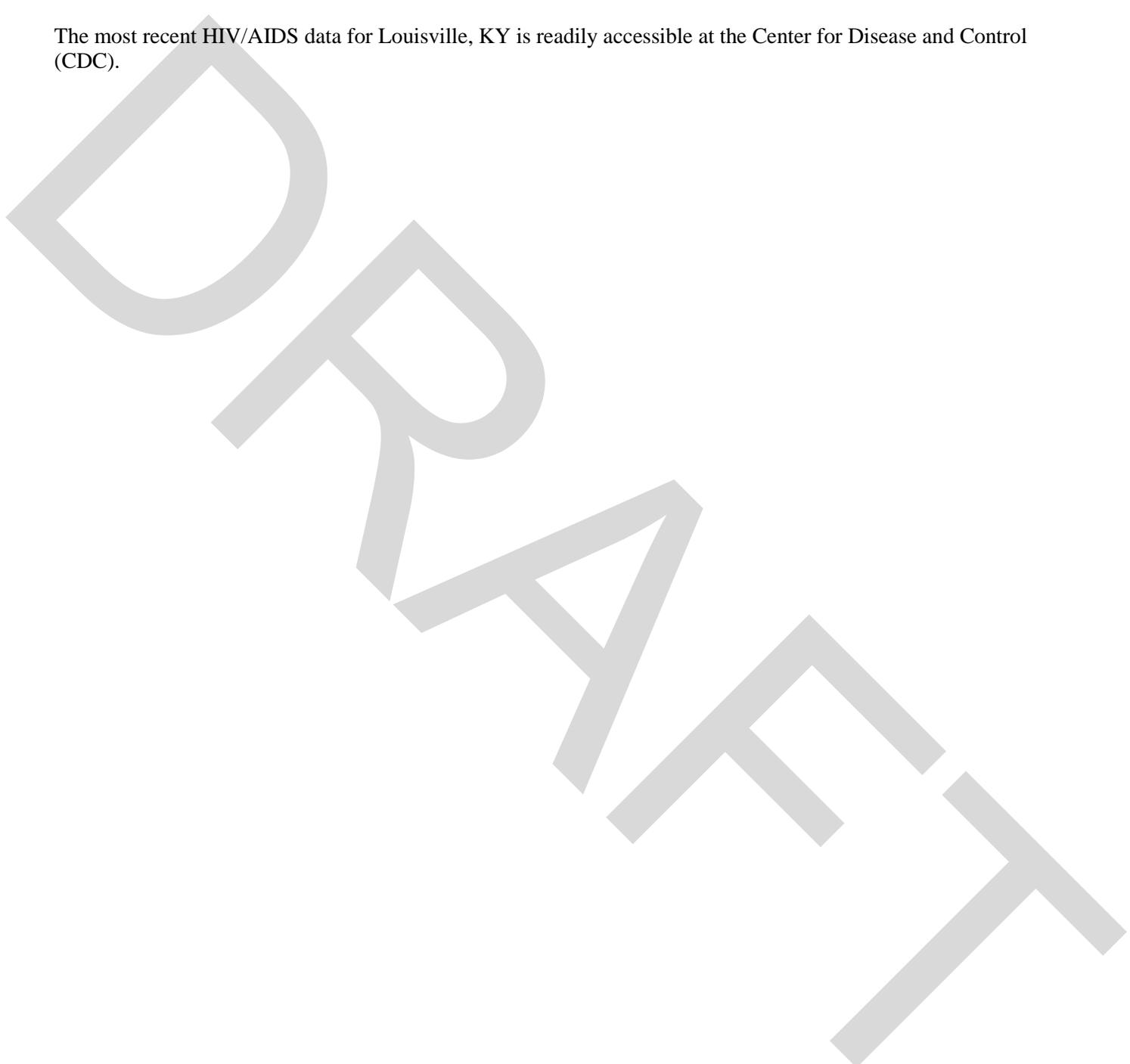
- 2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

The city of Louisville has the highest incidence of HIV/AIDS in the state. According to the HIV/AIDS Semi-Annual Report compiled by the Kentucky Cabinet for Health and Family Services in 2009, there were approximately 2,193 diagnosed AIDS cases in Jefferson County. Of these cases, 1,079 were presumed to be living. When you expand these numbers to include the entire Kentuckiana Regional Planning and Development Agency (KIPDA) region (Bullitt, Henry, Jefferson, Oldham, Shelby, Spencer, and Trimble Counties), these numbers expand to 2,413 diagnosed AIDS cases, with 1,186 presumed living. Kentucky Department for Public Health HIV/AIDS Surveillance

Data indicates that the number of people living with AIDS in Jefferson County has increased 71% since 2000. According to “Black Women and AIDS,” by Cheri N. Holmes with the Kentucky Department for Public Health, African Americans comprise 50% of Jefferson County’s AIDS cases, but only 20% of the County’s population.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

The most recent HIV/AIDS data for Louisville, KY is readily accessible at the Center for Disease and Control (CDC).



**D. Unmet Housing Needs: An Assessment of Unmet Housing Needs**

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require housing assistance but are not currently served by HOPWA in this service area.

In Line 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Table 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool. *Note: Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.*

In Rows a through c, enter the number of HOPWA-eligible households by type of housing assistance whose housing needs are not met. For an approximate breakdown of overall unmet need by type of housing assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds.

**1. Assessment of Unmet Need for HOPWA-eligible Households**

1. Total number of households that have unmet housing needs	= 45
<b>From Item 1, identify the number of households with unmet housing needs by type of housing assistance</b>	
a. Tenant-Based Rental Assistance (TBRA)	= 45
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	=
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	=

**2. Recommended Data Sources for Assessing Unmet Need (check all sources used)**

<input type="checkbox"/>	= Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
<input type="checkbox"/>	= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
<input type="checkbox"/>	= Data from client information provided in Homeless Management Information Systems (HMIS)
<input checked="" type="checkbox"/>	= Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need
<input type="checkbox"/>	= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
<input type="checkbox"/>	= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
<input type="checkbox"/>	= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

**End of PART 1**

## **PART 2: Sources of Leveraging**

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars.

[1] Sources of Leveraging		Total Amount of Leveraged Dollars (for this operating year)	
		[2] Housing Assistance	[3] Supportive Services and other non-direct housing costs
1.	Program Income		
2.	Federal government (please specify):		
	Ryan White Care Act	= 2,000.00	= 109,950.00
	CoC Supportive Housing Program	= 142,632.00	= 172,965.00
3.	State government (please specify)		
	Indiana State Department of Health		= 125,890.00
4.	Local government (please specify)		
	Clark County Indiana Health Department		= 12,504.00
	Louisville Metro External Agencies Fund		= 80,300.00
5.	Foundations and other private cash resources (please specify)		
	Louisville AIDS Walk	= 5,000.00	= 85,908.00
	Metro United Way		= 35,213.00
	Horseshoe Foundation	= 2,000.00	= 2,000.00
	University of Louisville Wings Clinic		= 5,887.00
	KY AIDS Drug Assistance Program		= 270,000.00
	VOA Intensive Outpatient Program		= 124,615.00
	Private Donors		= 27,191.00
	SCN Ministries		=20,000.00
	Beargrass Christian Church		= 1,000.00
	ELCA Grant		= 1,000.00
6.	In-kind Resources		
	Rent/Utilities In-Kind		= 10,000.00
	Volunteers		= 49,155.00
	Food		= 4,770.00
7.	Resident rent payments in Rental, Facilities, and Leased Units		
8.	Grantee/project sponsor (Agency) cash		
9.	<b>TOTAL (Sum of 1-7)</b>	= 151,632.00	= 1,138,348.00

**End of PART 2**

### PART 3: Accomplishment Data - Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families. *Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.*

#### 1. HOPWA Performance Planned Goal and Actual Outputs

	<b>HOPWA Performance Planned Goal and Actual</b>				Output Households		Funding			
					HOPWA Assistance		Non-HOPWA		e. HOPWA Budget	f. HOPWA Actual
					a.	b.	c.	d.		
					Goal	Actual	Goal	Actual		
<b>Housing Subsidy Assistance</b>										
<b>Output Households</b>										
1. Tenant-Based Rental Assistance	26	29			101,000	43,225				
2a. Households in permanent housing facilities that receive operating subsidies/leased units										
2b. Households in transitional/short-term housing facilities that receive operating subsidies/leased units										
3a. Households in permanent housing facilities developed with capital funds and placed in service during the program year										
3b. Households in transitional/short-term housing facilities developed with capital funds and placed in service during the program year										
4. Short-Term Rent, Mortgage and Utility Assistance	240	422			102,615	62,936*				
5. Adjustments for duplication (subtract)										
6. <b>Total Housing Subsidy Assistance</b>	266	451			203,615	106,161				
<b>Housing Development (Construction and Stewardship of facility based housing)</b>										
<b>Output Units</b>										
7. Facility-based units being developed with capital funding but not opened (show units of housing planned)										
8. Stewardship Units subject to 3 or 10 year use agreements										
9. <b>Total Housing Developed</b>										
<b>Supportive Services</b>										
<b>Output Households</b>										
10a. Supportive Services provided by project sponsors also delivering HOPWA housing assistance		20			_*_*	_*_*				
10b. Supportive Services provided by project sponsors serving households who have other housing arrangements	585	1,036			334,585	459,416				
11. Adjustment for duplication (subtract)										
12. <b>Total Supportive Services</b>	585	1,056			334,585	459,416				
<b>Housing Placement Assistance Activities</b>										
13. Housing Information Services										
14. Permanent Housing Placement Services										
15. Adjustment for duplication										
16. <b>Total Housing Placement Assistance</b>										
<b>Grant Administration and Other Activities</b>										
17. Resource Identification to establish, coordinate and develop housing assistance resources										
18. Technical Assistance (if approved in grant agreement)										
19. Grantee Administration (maximum 3% of total HOPWA grant)					16,700	11,195				
20. Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)										
<b>Total Expenditures for program year (Sum of rows 6, 9, 12, 16, and 20)</b>					<b>554,900</b>	<b>576,772</b>				

\*Additional STRMU draws totaling \$46,006 were made after the conclusion of the program year.

\*\*House of Ruth did not segment their supportive services expenditures by those households receiving housing assistance and those with other housing arrangements.

## 2.Listing of Supportive Services

Report on the use of HOPWA funds for all supportive services. In Rows 1 through 16, provide the (unduplicated) total of all households and expenditures for each type of supportive service for all project sponsors.

Supportive Services		Number of <u>Households</u> Receiving HOPWA Assistance	Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services		
3.	Case management/client advocacy/ access to benefits & services	472	\$396,416
4.	Child care and other child services		
5.	Education		
6.	Employment assistance and training		
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310		
8.	Legal services	165	\$19,003
9.	Life skills management (outside of case management)	144	\$4721
10.	Meals/nutritional services	336	\$11,061
11.	Mental health services	46	\$1,508
12.	Outreach		
13.	Transportation		
14.	Other Activity (if approved in grant agreement). Specify: Personal Care Supplies (336), Household Cleaning Supplies (308), Kitchen Starter Kits (27), Counseling (145).	816	\$26,752
15.	<b>Adjustment for Duplication (subtract)</b>	(923)	
16.	<b>TOTAL Households receiving Supportive Services (unduplicated)</b>	1,056	\$459,416

**End of PART 3**

**Part 4: Summary of Performance Outcomes**

HOPWA Long-term Performance Objective: *Eighty percent of HOPWA clients will maintain housing stability, avoid homelessness, and access care each year through 2011.*

**Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)**

In Column 1, report the total number of eligible households that received HOPWA housing assistance, by type. In Column 2, enter the number of households continuing to access each type of housing assistance, the following year. In Column 3, report the housing status of all households that exited the program. Columns 2 (Number of Households Continuing) and 3 (Exited Households) summed will equal the total households reported in Column 1. *Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.*

[A] Permanent Housing Assistance	[1] Total Number of Households Receiving Housing Assistance	[2] Assessment: Number of Households Continuing with this Housing (per plan or expectation for next year)		[3] Assessment: Number of Exited Households and Housing Status	
Tenant-Based Rental Assistance	= 29	= 22		1 Emergency Shelter/Streets	= 1
				2 Temporary Housing	=
				3 Private Housing	= 3
				4 Other HOPWA	=
				5 Other Subsidy	= 2
				6 Institution	=
				7 Jail/Prison	=
				8 Disconnected/Unknown	= 1
				9 Death	=
Permanent Supportive Housing Facilities/Units	=	=		1 Emergency Shelter/Streets	=
				2 Temporary Housing	=
				3 Private Housing	=
				4 Other HOPWA	=
				5 Other Subsidy	=
				6 Institution	=
				7 Jail/Prison	=
				8 Disconnected/Unknown	=
				9 Death	=
[B] Transitional Housing Assistance	[1] Total Number of Households Receiving Housing Assistance	[2] Of the Total Number of Households Receiving Housing Assistance this Operating Year		[3] Assessment: Number of Exited Households and Housing Status	
Transitional/Short-Term Supportive Facilities/Units	=	Total number of households that will continue in residences:	=	1 Emergency Shelter/Streets	=
		2 Temporary Housing	=		
		3 Private Housing	=		
		4 Other HOPWA	=		
		Total number of households whose tenure exceeded 24 months:	=	5 Other Subsidy	=
		6 Institution	=		
		7 Jail/Prison	=		
		8 Disconnected/unknown	=		
		9 Death	=		

**Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Assistance)**

Report the total number of households that received STRMU assistance in Column 1. In Column 2, identify the result of the housing assessment made at time of assistance, or updated in the operating year. (Column 3 provides a description of housing outcomes; therefore, data is not required.) In Row 1a, enter the total number of households served in the prior operating year that received STRMU assistance this year. In Row 1b, enter the total number of households that received STRMU Assistance in the 2 prior operating years that received STRMU assistance this year. *Note: The sum of Column 2 should equal the number of households reported in Column 1.*

**Assessment of Households receiving STRMU Assistance**

[1] STRMU Housing Assistance	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
= 422	Maintain Private Housing without subsidy (e.g. Assistance provided/completed and client is stable, not likely to seek additional support)	= 20	Stable/Permanent Housing (PH)
	Other Private Housing without subsidy	=	
	Other HOPWA support (PH)	=	
	Other housing subsidy (PH)	=	
	Institution (e.g. residential and long-term care)	=	
	Likely to maintain current housing arrangements, with additional STRMU assistance	= 402	Temporarily Stable, with Reduced Risk of Homelessness
	Transitional Facilities/Short-term (e.g. temporary or transitional arrangement)	=	
	Temporary/non-permanent Housing arrangement (e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)	=	
	Emergency Shelter/street	=	Unstable Arrangements
	Jail/Prison	=	
	Disconnected	=	
	Death	=	Life Event
1a. Total number of households that received STRMU assistance in the prior operating year, that also received STRMU assistance in the current operating year.			= 41
1b. Total number of those households that received STRMU assistance in the two (2 years ago) prior operating years, that also received STRMU assistance in the current operating year.			= 20

### Section 3. HOPWA Outcomes on Access to Care and Support

#### 1A. Status of Households Accessing Care and Support by Project Sponsors delivering HOPWA Housing Assistance/Housing Placement/Case Management

Use Table 1 A for project sponsors that provide HOPWA housing assistance/housing placement with or without case management services. In Table 1A, identify the number of client households receiving any type of HOPWA housing assistance that demonstrated improved access or maintained connections to care and support within the program year by: having a housing plan; having contact with a case manager/benefits counselor; visiting a primary health care provider; accessing medical insurance/assistance; and accessing or qualifying for income benefits. *Note: For information on types and sources of income and medical insurance/assistance, refer to Charts 1C and 1D.*

Categories of Services Accessed	Households Receiving Housing Assistance within the Operating Year	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing.	451	<i>Support for Stable Housing</i>
2. Has contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan..	451	<i>Access to Support</i>
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan,	451	<i>Access to Health Care</i>
4. Has accessed and can maintain medical insurance/assistance.	74	<i>Access to Health Care</i>
5. Successfully accessed or maintained qualification for sources of income.	451	<i>Sources of Income</i>

#### 1B. Number of Households Obtaining Employment

In Table 1B, identify the number of recipient householdsthat include persons who obtained an income-producing job during the operating year that resulted from HOPWA funded: job training, employment assistance, education or related case management/counseling services. *Note: This includes jobs created by this project sponsor or obtained outside this agency.*

Categories of Services Accessed	Number of Households that Obtained Employment	Outcome Indicator
Total number of households that obtained an income-producing job	2	<i>Sources of Income</i>

#### Chart 1C: Sources of income include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> <li>• Earned Income</li> <li>• Unemployment Insurance</li> <li>• Supplemental Security Income (SSI)</li> <li>• Social Security Disability Income (SSDI)</li> <li>• Veteran's Disability Payment</li> <li>• General Assistance, or use local program name</li> <li>• Temporary Assistance for Needy Families (TANF) income, or use local program name</li> </ul>	<ul style="list-style-type: none"> <li>• Veteran's Pension</li> <li>• Pension from Former Job</li> <li>• Child Support</li> <li>• Alimony or Other Spousal Support</li> <li>• Retirement Income from Social Security</li> <li>• Private Disability Insurance</li> <li>• Worker's Compensation</li> </ul>
--	--

#### Chart 1D: Sources of medical insurance and assistance include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> <li>• MEDICAID Health Insurance Program, or local program name</li> <li>• Veterans Affairs Medical Services</li> <li>• State Children's Health Insurance Program (SCHIP), or local program name</li> </ul>	<ul style="list-style-type: none"> <li>• MEDICARE Health Insurance Program, or local program name</li> <li>• AIDS Drug Assistance Program (ADAP)</li> <li>• Ryan White-funded Medical or Dental Assistance</li> </ul>
---	---

**2A. Status of Households Accessing Care and Support through HOPWA-funded Servicesreceiving Housing Assistance from Other Sources**

In Table 2A, identify the number of client households served by project sponsors receiving HOPWA-funded housing placement or case management services who have other and housing arrangements that demonstrated improved access or maintained connections to care and support within the program year by: having a housing plan; having contact with a case manager/benefits counselor; visiting a primary health care provider; accessing medical insurance/assistance; and accessing or qualifying for income benefits. *Note:For information on types and sources of income and medical insurance/assistance, refer to Charts 2C and 2D.*

Categories of Services Accessed	Households Receiving HOPWA Assistance within the Operating Year	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing.	452	<i>Support for Stable Housing</i>
2. Successfully accessed or maintained qualification for sources of income.	339	<i>Sources of Income</i>
3. Had contact with a primary health care provider consistent with the schedule specified in clients individual service plan.	339	<i>Access to Health Care</i>
4. Has accessed and can maintain medical insurance/assistance.	452	<i>Access to Health Care</i>
5. Has contact with case manager, benefits counselor, or housing counselor consistent with the schedule specified in client’s individual service plan.	452	<i>Access to Support</i>

**2B. Number of Households Obtaining Employment**

In Table 2B, identify the number of recipient householdsthat include persons who obtained an income-producing job during the operating year that resulted from HOPWA funded: job training, employment assistance, education or related case management/counseling services. *Note: This includes jobs created by this project sponsor or obtained outside this agency.*

Categories of Services Accessed	Number of Households that Obtained Employment	Outcome Indicator
Total number of households that obtained an income-producing job	4	<i>Sources of Income</i>

**Chart 2C: Sources of income include, but are not limited to the following (Reference only)**

- |  |  |
|--|--|
| <ul style="list-style-type: none"> <li>• Earned Income</li> <li>• Unemployment Insurance</li> <li>• Supplemental Security Income (SSI)</li> <li>• Social Security Disability Income (SSDI)</li> <li>• Veteran’s Disability Payment</li> <li>• General Assistance, or use local program name</li> <li>• Temporary Assistance for Needy Families (TANF) income, or use local program name</li> </ul> | <ul style="list-style-type: none"> <li>• Veteran’s Pension</li> <li>• Pension from Former Job</li> <li>• Child Support</li> <li>• Alimony or Other Spousal Support</li> <li>• Retirement Income from Social Security</li> <li>• Private Disability Insurance</li> <li>• Worker’s Compensation</li> </ul> |
|--|--|

**Chart 2D: Sources of medical insurance and assistance include, but are not limited to the following (Reference only)**

- |   |   |
|---|---|
| <ul style="list-style-type: none"> <li>• MEDICAID Health Insurance Program, or local program name</li> <li>• Veterans Affairs Medical Services</li> <li>• State Children’s Health Insurance Program (SCHIP), or local program name</li> </ul> | <ul style="list-style-type: none"> <li>• MEDICARE Health Insurance Program, or local program name</li> <li>• AIDS Drug Assistance Program (ADAP)</li> <li>• Ryan White-funded Medical or Dental Assistance</li> </ul> |
|---|---|

**End of PART 4**

## **PART 5: Worksheet - Determining Housing Stability Outcomes**

1. This chart is designed to assess program results based on the information reported in Part 4.

<b>Permanent Housing Assistance</b>	<b>Stable Housing</b> (# of households remaining in program plus 3+4+5+6=#)	<b>Temporary Housing</b> (2)	<b>Unstable Arrangements</b> (1+7+8=#)	<b>Life Event</b> (9)
Tenant-Based Rental Assistance (TBRA)	27	0	2	0
Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units				
<b>Total Permanent HOPWA Housing Assistance</b>				
<b>Reduced Risk of Homelessness: Short-Term Assistance</b>	<b>Stable/Permanent Housing</b>	<b>Temporarily Stable, with Reduced Risk of Homelessness</b>	<b>Unstable Arrangements</b>	<b>Life Events</b>
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)	20	402	0	0
<b>Total HOPWA Housing Assistance</b>				

### **Background on HOPWA Housing Stability Codes**

#### **Stable Permanent Housing/Ongoing Participation**

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

#### **Temporary Housing**

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

#### **Unstable Arrangements**

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

#### **Life Event**

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

**Tenant-based Rental Assistance:** Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Permanent Facility-Based Housing Assistance:** Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Transitional/Short-Term Facility-Based Housing Assistance:** Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Tenure Assessment.** A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

**STRMU Assistance:** Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

**End of PART 5**

**PART 6: Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)**

Grantees that use HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten years. If non-substantial rehabilitation funds were used they are required to operate for at least three years. Stewardship begins once the facility is put into operation. This Annual Certification of Continued HOPWA Project Operations is to be used in place of other sections of the APR, in the case that no additional HOPWA funds were expended in this operating year at this facility that had been acquired, rehabilitated or constructed and developed in part with HOPWA funds.

**1. General information**

HUD Grant Number(s)	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name	Date Facility Began Operations (mm/dd/yy)

**2. Number of Units and Leveraging**

Housing Assistance	Number of Units Receiving Housing Assistance with HOPWA funds	Amount of Leveraging from Other Sources Used during the Operating Year
Stewardship units (developed with HOPWA funds but no current operations or other HOPWA costs) subject to 3 or 10 year use periods		

**3. Details of Project Site**

Name of HOPWA-funded project site	
Project Zip Code(s) and Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list. <input type="checkbox"/> Not confidential; information can be made available to the public.
If the site address is not confidential, please provide the contact name, phone, email, and physical address, if different from business address.	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
Name & Title of Authorized Official	Signature & Date (mm/dd/yy)
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i>	Contact Phone (with area code)

**End of PART 6**

<b>Grantee Name</b> Louisville/Jefferson County Metro Government	<b>Program Year for this report</b> <i>From (mm/dd/yy) 07/01/2010 To (mm/dd/yy) 06/30/2011</i>
---	---

**Part 1: Summary Overview of Grant Activities: Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Assistance**

**Section 1. HOPWA-Eligible Individuals.**

<b>Chart a. Individuals Served with Housing Assistance</b>	<b>Total</b>
Total number of individuals with HIV/AIDS who received HOPWA housing assistance	451

<b>Chart b. Special Needs</b>	<b>Total</b>
Number of HOPWA eligible individuals served with Housing Assistance who are veterans?	10
Number of HOPWA eligible individuals served with Housing Assistance who were chronically homeless?	4

**Chart c. Prior Living Situation:** Indicate the prior living arrangements for all eligible individuals, referenced in Chart a, who received HOPWA housing assistance. *Note: The total number of eligible individuals served in Row 17 should equal the total number of individuals served through housing assistance reported in Chart a above.*

Category		Number of HOPWA Eligible Individuals Served with Housing Assistance
1.	<u>Continuing</u> to receive HOPWA support from the prior operating year	63
<b>New Individuals who received HOPWA Housing Assistance support during Operating Year</b>		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	
4.	Transitional housing for homeless persons	
5.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	1
6.	Psychiatric hospital or other psychiatric facility	
7.	Substance abuse treatment facility or detox center	
8.	Hospital (non-psychiatric facility)	
9.	Foster care home or foster care group home	
10.	Jail, prison or juvenile detention facility	
11.	Rented room, apartment, or house	286
12.	House you own	34
13.	Staying or living in someone else's (family and friends) room, apartment, or house	
14.	Hotel or motel paid for without emergency shelter voucher	50
15.	Other	
16.	Don't Know or Refused	17
<b>17.</b>	<b>TOTAL (sum of items 1-16)</b>	<b>451</b>

**Section 2. HOPWA Beneficiaries.**

**Chart a. Total Number of HOPWA Beneficiaries Served with Housing Assistance**

Individuals and Families Served with Housing Assistance	Total Number
1. Number of individuals with HIV/AIDS who received HOPWA housing assistance (Chart a page 4)	451
2. Number of other persons residing with the above eligible individuals in HOPWA-assisted housing	38
<b>3.TOTAL number of <u>beneficiaries</u> served with Housing Assistance (Rows 1 + 2)</b>	489

In Charts b and c below, indicate the age, gender, race and ethnicity for all beneficiaries referenced in Chart a. *Note: The sum of each of the following charts should equal the total number of beneficiaries served with HOPWA housing assistance (in Chart a, Row 3).*

**Chart b. Age and Gender**

Category	Male	Female
1. Under 18	19	10
2. 18 to 30 years	83	32
3. 31 to 50 years	180	79
4. 51 years and Older	44	42

**Chart c. Race and Ethnicity\***

Category	Total Beneficiaries Served with Housing Assistance	Total Beneficiaries also identified as Hispanic or Latino	Category	Total Beneficiaries Served with Housing Assistance	Total Beneficiaries also identified as Hispanic or Latino
1. American Indian/Alaskan Native			6. American Indian/Alaskan Native & White		
2. Asian	1		7. Asian & White		
3. Black/African American	143		8. Black/African American and White	4	
4. Native Hawaiian/Other Pacific Islander	1		9. American Indian/Alaskan Native & Black/African American		
5. White	336	7	10. Other Multi-Racial	4	

\*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

**Section 3. Household Income**

**Household Area Median Income.** Report the area median income(s) for all households served with HOPWA housing assistance. The total number of households served with housing assistance should equal total households reported in Part 3C, Section 1, Line 6 of the CAPER. *Note: Refer to [www.hud.gov](http://www.hud.gov) for information on area median income in your community.*

Percentage of Area Median Income	Households Served with Housing Assistance
1. 0-30% of area median income (extremely low)	209
2. 31-50% of area median income (very low)	46
3. 51-60% of area median income (low)	19
4. 61-80% of area median income (low)	177