

LOUISVILLE METRO HOUSING AUTHORITY

**MOVING TO WORK
DEMONSTRATION PROGRAM**

FY 2011 ANNUAL PLAN

April 17, 2010

Revised August 13, 2010



Louisville Metro Housing Authority

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I. Introduction

Louisville Metro Housing Authority (LMHA), formerly known as the Housing Authority of Louisville, is a non-profit agency responsible for the development and management of federally assisted housing in the Louisville Metro area. In 2003, Louisville Metro Mayor Jerry Abramson and the Louisville Metro Council approved the merger of the Housing Authority of Louisville and Housing Authority of Jefferson County, thereby creating the Louisville Metro Housing Authority.

LMHA presently manages over 4,000 units in four family housing communities, five housing communities for disabled and senior citizens, and a growing number of scattered site properties. Last year LMHA provided housing assistance to over 13,900 households in our combined public housing and leased housing programs. A nine-member Board of Commissioners, appointed by the Metro Mayor, serves as the policy making body of the Agency.

Funding for the agency's operation comes from rental income and annual operating subsidy from the U.S. Department of Housing and Urban Development (HUD). The agency also receives Capital Improvement funds on an annual basis from HUD. Periodically, the agency also applies for funds from HUD and the City's Community Development Block Grant (CDBG) program to finance various modernization improvements.

Moving To Work Demonstration Program

Louisville Metro Housing Authority, then the Housing Authority of Louisville, became one of a small group of public housing agencies participating in the Moving to Work (MTW) Demonstration Program in 1999. The MTW program authorized by Congress and signed into Law as part of the Omnibus Consolidated Rescissions and Appropriations Act of 1996, offers public housing authorities (PHAs) the opportunity to design and test innovative, locally-designed housing and self-sufficiency strategies for low-income families. The program allows exemptions from existing low-income public housing and tenant-based Section 8 rules and permits LMHA to combine operating, capital, and tenant-based assistance funds into a single agency-wide funding source.

Under the MTW program, LMHA creates and adopts an annual plan that describes new and ongoing activities that utilize authority granted to LMHA under the MTW Agreement. This plan focuses primarily on the public housing, Housing Choice Voucher (HCV) program and capital fund program, as these are the LMHA programs that fall under MTW. The plan also focuses on new proposed MTW activities and MTW activities that are ongoing. However, the plan contains a limited amount of information regarding LMHA's non-MTW activities such as plans to revitalize and redevelop certain properties. The MTW annual report prepared at the end of the fiscal year is an update on the status and outcomes of those activities included in the MTW annual plan.

MTW Objectives

Moving to Work is a demonstration program that allows public housing authorities to design and test ways to achieve three statutory goals. The activities and policies designed by LMHA, must achieve at least one of the statutory objectives of the demonstration program:

- Reduce costs and achieve greater cost effectiveness in federal expenditures;
- Give incentives to residents, especially families with children, to obtain employment and become economically self-sufficient; and
- Increase housing choices for low-income families.

At the inception of LMHA's status as a Moving to Work agency, we carefully evaluated our own goals and objectives against those of the demonstration. The outcome was six goals for our participation in the MTW demonstration.

Locally Defined LMHA MTW Goals

These goals, as outlined in FY 1999 Plan, are locally-driven refinements of HUD's objectives:

- Increase the share of residents moving toward self-sufficiency;
- Achieve a greater income mix at LMHA properties,
- Expand the spatial dispersal of assisted housing;
- Improve the quality of the assisted housing stock;
- Reduce and/or reallocate administrative, operational and/or maintenance costs; and
- Enhance the Housing Authority's capacity to plan and deliver effective programs.

Since that time LMHA has recognized a growing number of populations with specific needs that often go unmet by existing housing and support service infrastructure. We have revised and updated our goals to reflect changes in the local community and the evolution of the Federal HUD MTW program into a performance-driven program:

- Develop programs and housing stock targeted to populations with special needs, especially those not adequately served elsewhere in the community.

LMHA uses MTW authority to implement programs and policies that achieve the Demonstration Program objectives and our locally defined goals and meet the needs of low-income families in Louisville Metro. Following is a synopsis of the proposed and ongoing activities in 2011.

Proposed and Ongoing Moving To Work Activities

An MTW activity is defined as any activity LMHA is engaging in that requires MTW flexibility to waive statutory or regulatory requirements. For 2011 LMHA is proposing three new MTW activities. The first proposed activity will allow the Agency to acquire sites for public housing without prior HUD approval. The activity is designed to increase effective expenditure of funds and increase housing choices while furthering the Agency's goal of deconcentrating poverty.

Another proposed MTW activity for 2011 is to amend the Housing Choice Voucher admissions policy to allow for deduction of child-care expenses in determination of eligibility. This activity is a step towards increasing housing options for working low-income families with children and assists families that may be struggling to make ends meet.

The final activity is to develop locally defined guidelines for the development, maintenance and modernization of public housing development. Design guidelines that reflect local marketplace conditions for quality construction including sustainable building and energy efficiency, and establish protocol for maintenance practices that minimize life-cycle costs will achieve greater cost effectiveness in Federal expenditures.

LMHA has a variety of MTW activities that will be ongoing in 2011. Activities designed to reduce operating costs include biennial reexaminations, a standard medical deduction, an earned income

disregard in the Housing Choice Voucher program, a flexible third-party verification policy for the HCV Homeownership program, and simplified procedures to acquire/develop new public housing properties.

Ongoing activities that provide incentives to achieve financial self-sufficiency include term limits and educational/employment work requirements for highly desirable single family units developed under the Clarksdale Revitalization program.

Ongoing activities that increase housing choice include a local definition of elderly as age 55+ at LMHA elderly/disabled high-rises, special referral programs with several local non-profit service providers, and an exception payment standard for the HCV Homeownership program.

II. General Housing Authority Operating Information

A. Housing Stock

The Louisville Metro Housing Authority projects that as of June 30, 2011 there will be a total of 4,655 annual contribution contract (ACC) units in its public housing stock, 3,932 of which are owned and managed by the Agency, and 723 HOPE VI/mixed finance units that are privately managed. This is an overall decrease of 48 ACC units since the end of the previous fiscal year end. LMHA anticipates it will manage 10,136 units of leased housing in 2011, bringing the grand total of housing stock to 14,791 by fiscal year end.

Changes in Assisted Housing Stock (Units to be Acquired, Constructed or Removed)

During FY2011, LMHA projects it will decrease its public housing stock by 48 ACC units, bringing the total to 4,655 including 3,932 units owned and managed by LMHA, and 723 that will be privately owned and/or managed. This net decrease will result from the razing of 124 units at KY1-005 Iroquois Homes and will be partially offset by the acquisition of an additional 65 scattered site units in KY 1-034, including 15 units developed using funds from the Louisville Metro Neighborhood Stabilization Program. The remaining 50 units will be financed using replacement housing factors funds. Finally, an additional 11 ACC units located at Downtown Scholar House, mixed finance initiative of Project Women, will be added to the housing stock, bringing the total of units at year end to 4,665.

Staff received approval from HUD in October 2008 to demolish 192 additional units in 16 buildings south of Bicknell on the Iroquois Homes site. The relocation process for the households residing in those units began in mid-March 2009 and demolition is projected for completion by the end of 2010. A demolition application for the remaining 168 units in 27 buildings was submitted to HUD's Special Applications Center on January 7, 2009. This fifth and final phase of demolition is broken into sub-phases that are projected for completion by the close of FY2012. If HUD issues a notice of funding availability (NOFA) for a HOPE VI Demolition program or another funding source, the Authority will apply for funds for one or both phases of demolition, depending on the NOFA criteria. Due to their obsolete function, Iroquois Homes was slated for a series of phased demolition projects that started in FY2001/2002. The Authority intends to replace these units through acquired or developed properties using Replacement Housing Factor (RHF) funds. LMHA staff will also research additional funding sources as they become available for these purposes.

In fall 2009, LMHA submitted an application for HOPE VI Revitalization funds to redevelop the Sheppard Square site during the upcoming fiscal year. The Authority's application requested \$22,000,000, the maximum allowable grant amount for the FY2009 HOPE VI funding allocation. If funded, LMHA plans to demolish all existing buildings on the site, and rebuild a mixed-income community on the footprint of Sheppard Square. The revitalized site will include a combination of public housing, low-income housing tax credit (LIHTC) and market-rate rental units. Affordable homeownership units may also be constructed on or near the site. Additional replacement housing units will be built and/or acquired off-site to achieve LMHA's goal of one-for-one replacement of the 326 units at Sheppard Square.

In conjunction with the planning process for its Sheppard Square HOPE VI Revitalization submission, LMHA has committed to providing housing designated for disabled veterans. If the Revitalization grant is not awarded, then LMHA will investigate whether or not it is feasible to use the MTW Broader Uses of Funds authority to develop housing for disabled veterans.

Table II-A.1 summarizes the above changes in LMHA's public housing stock during the upcoming fiscal year. This table provides data by type of site (Family, Elderly/Disabled, Scattered Sites, HOPE VI/Mixed

Finance). Table II-A.2 shows the anticipated changes in the housing stock during 2011 by site and bedroom sizes.

Housing Choice Vouchers Authorized

The Agency anticipates managing 9,723 Housing Choice vouchers in its leased housing program at the beginning of FY2011 and increasing this number by 283 to 10,006 over the course of the year. All but 175 of the estimated 9,723 HCVs at the start of the fiscal year are MTW HCVs. The 175 that are non-MTW vouchers are earmarked for the Veterans Administration Supportive Housing (VASH) program, which requires participants to be veterans.

The anticipated increase is largely due to the 230 relocation vouchers that LMHA will receive for Sheppard Square if the Agency is awarded of a HOPE VI revitalization grant to redevelop the site. LMHA will take advantage of any opportunities to apply for additional vouchers.

MTW Special Referral/Direct Access Housing Choice Voucher Programs

LMHA has developed several MTW Housing Choice Voucher Special Referral programs. The first of these was with the Center for Women and Families. A total of up to 22 Housing Choice vouchers are allocated to this program yearly. The Agency replicated this MTW referral voucher program in a partnership with Project Women in 2009, which will annually allocate up to 56 vouchers for residents at Family Scholar House. LMHA has also developed a co-venture agreement with Project Women and Spalding University for Downtown Scholar House at the Villager, a building purchased by LMHA as a replacement housing site for Clarksdale. LMHA plans to allocate up to 43 special referral vouchers for this program. As shown in Table II-A.1, the Agency could allocate up to 121 vouchers for these special referrals programs during FY2011.

In addition to its HCV Special Referral programs, the Authority also offers a variety of Direct Access programs that are linked to Housing Choice Vouchers including Housing Opportunities for People with Aids (HOPWA), Partnership for Families (PforF), Center for Accessible Living – Mainstream, and the State Department of Mental Health – Olmstead. As shown in Table II- A.1, LMHA anticipates administering up to 688 vouchers to these programs during FY2011.

LMHA administers all the MTW HCVs that are allocated to its Special Referral and Direct Access programs.

MTW Project-Based Housing Choice Vouchers

The Agency currently has no project-based Moving to Work vouchers. No new MTW vouchers are anticipated to be project-based during the upcoming fiscal year.

Non-MTW Vouchers – HUD VASH Program

LMHA administers 175 vouchers awarded under the Veterans Administration Supportive Housing (VASH) program which requires voucher recipients to be veterans. The Agency received the letter of notification of funding for 70 vouchers from the Housing Voucher Financial Division at Headquarters on May 1, 2008 and subsequently accepted the offer. The Agency also received an offer on June 12, 2009 for an additional 105 VASH Program vouchers which LMHA accepted on June 16, 2009. All 175 vouchers have been renewed for FY 2011. LMHA is working closely with the local Veterans Administration Medical Center (VAMC) to administer these vouchers.

HUD Special Programs – Mod Rehab and Section 8 Certificates

As shown in Table II-A.1, LMHA will continue to administer 130 Section 8 certificates during FY2011. The Housing Assistance Payment (HAP) contract with the YMCA is for a 41-unit SRO program for single, adult men. The program has operated since 1989 but had lost revenue in the past due to occupancy issues. During FY2008, LMHA authorized a specially trained YMCA-hired caseworker to

determine eligibility for applicants and residents of the SRO units on-site. If the applicant is determined eligible, he is housed immediately upon completion of processing by the YMCA caseworker. The applicant packet is then sent to LMHA for additional processing and payment begins for that participant. Using the flexibility provided through the MTW program, initial occupancy inspections of the SRO units are waived upon move-in and inspections are conducted once a year at the site, concurrently. In addition to the YMCA SRO program, the Agency administers 65 Section 8 certificates for Willow Place, a Mod Rehab project, and 24 certificates for St. Vincent de Paul/Roberts Hall.

Public Housing Planned Capital Expenditures

LMHA's emphasis on modernization and extraordinary maintenance to preserve and improve the current public housing stock is evidenced by \$5,455,611 (includes contingency) in committed capital funding during the upcoming year. Table II–A.3 summarizes the proposed capital improvements projects at LMHA's sites. A list of additional capital projects that will be funded through the American Recovery and Reinvestment Act (ARRA) can be found in Section III of this Annual Plan. The Authority also summarizes the proposed capital improvements projects planned at all its sites over the next five years, as shown in the Five-Year Action Plan in Appendix E. Note that some capital projects are utilizing both regular capital funds and ARRA funds.

If awarded of a HOPE VI Revitalization grant for Sheppard Square, LMHA will revise its 2011 Capital Plan budget to reprogram funds currently earmarked for Sheppard Square improvements for HOPE VI Revitalization activities.

Table I-A.1 Housing Stock Information

	Projected as of 7/1/2010	Projected as of 6/30/2011	Base Year as of 12/31/1998	Base Year adjusted for Merger in 2003
PUBLIC HOUSING				
Public Housing-LMHA Owned and Managed				
Family Developments	2,012	1,888	3306	
Elderly/Disabled Developments	1,295	1,295	1133	
Scattered Sites	699	749	185	
Subtotal LMHA Managed	4,006	3,930	4,624	4,802
HOPE VI/Mixed Finance	712	723		
Grand Total Public Housing Units	4,718	4,663	4,624	4,802
LEASED HOUSING				
MTW Housing Choice Vouchers				
MTW Tenant Based	8,787	9,022	684	7,253
MTW Direct Access	688	688		
MTW Special Referral	116*	121		
Sub Total MTW	9,548	9,831		
Non-MTW Housing Choice Vouchers				
HUD-VASH Program	175	175		
Total Vouchers	9,723	10,006	684	7,253
HUD Special Programs				
Mod Rehab Certificates	65	65		65
Other Section 8 Certificates	65	65		65
Total Certificates	130	130		130
Grand Total Leased Housing	9,853	10,136	684	7,383
Grand Total Housing Stock	14,571	14,799	5,358	12,185

*Including 43 vouchers pending for Downtown Scholar House.

Table I-A.2 Public Housing Units Added/Removed in FY 2011

PROJECT	Type	Total Units	1 Bed	2 Bed	3 Bed	4 + Bed
Units Added						
KY 1-034 New Scattered Sites*	Family	50	8	20	30	2
KY 1-034 Neighborhood Stabilization Program (Pending)*	Family	15	2	9	4	
Downtown Scholar House	Mixed Finance	11		10	1	
Total Units Added		76	10	39	35	2
Units Removed***						
KY 1-005 Iroquois Homes	Family	124				
Total Units Removed		124				
Net Gain (Loss)**		(48)				

*The projected number of units added and distribution of units by bedroom size is based on the family sizes of applicants on the central-based waitlist. These units are acquired as properties in the Metro area become available for purchase.

**The total number of units gained/lost during the fiscal year includes projects that are currently pending; therefore the actual net gain/loss by year end could be higher or lower than the figure shown.

***LMHA has a one-for-one replacement policy. All units removed from the housing stock during the fiscal year will be replaced through acquisition or development of new public housing.

Table II-A.3 Capital Fund Activities

Site	Amount Proposed
CLARKSDALE	
Community Center	\$84,313
Site Total	\$84,313
BEECHER TERRACE	
Replace underground piping to boilers (Phase IV)	\$990,000
Annual A/E Contract	\$20,357
Tree Trimming	\$30,000
Dwelling Equipment	\$30,336
Site Total	\$1,070,693
PARKWAY PLACE	
Annual A/E Contract	\$21,226
Tree Trimming	\$30,000
Dwelling Equipment	\$31,631
Site Total	\$82,857
SHEPPARD SQUARE	
Comprehensive Modernization	\$507,400
Annual A/E Contract	\$32,353
Tree Trimming	\$30,000
Dwelling Equipment	\$48,213
Site Total	\$617,966
IROQUOIS HOMES	
Annual A/E Contract	\$13,466
Tree Trimming	\$30,000
Dwelling Equipment	\$20,067
Site Total	\$63,533
DOSKER MANOR	
Annual A/E Contract	\$28,018
Dwelling Equipment	\$41,753
Resident Stipends	\$21,750
Site Total	\$91,521
ST. CATHERINE COURT	
Resident Stipends	\$8,100
Site Total	\$8,100
AVENUE PLAZA	
Window and Blind Replacement	\$589,000
Riser Replacement	\$734,494
Annual A/E Contract	\$10,409
Dwelling Equipment	\$15,512
Resident Stipends	\$19,950
Site Total	\$1,369,365
SCATTERED SITES – 017 AND 034	
Friary Comprehensive Modernization	\$1,000,000
Staircases – 25th Street, Bonaire, St.Catherine	\$77,900
Annual A/E Contract – Project 017	\$5,541
Annual A/E Contract – Project 034	\$9,547
Tree Trimming – Project 017	\$30,000
Dwelling Equipment – Project 017	\$8,258

Site	Amount Proposed
Dwelling Equipment – Project 034	\$14,226
Site Total	\$1,145,472
LOURDES HALL	
Dwelling Equipment	\$13,534
Resident Stipends	\$17,300
Site Total	\$30,834
BISHOP LANE	
Roof Replacement	\$190,000
Annual A/E Contract	\$9,082
Environmental Consultant – Remediation Monitoring	\$300,000
Annual Asbestos and Lead Removal Contract	\$300,000
Site Total	\$799,082
Subtotal Capital Projects	\$5,363,736
Contingency	\$92,875
GRAND TOTAL	\$5,455,611

B. Leasing Information

As shown in Table II-B.1, LMHA's average lease-up rate for its managed public housing developments is anticipated to be 90% on July 1, 2010 which totals 3,581 families. This level is expected to increase to 95% by the end of FY 2011, primarily through the relocation of Iroquois residents into scattered site units and units at the Agency's family sites that are currently being reserved for them. When residents are being involuntarily displaced, Federal regulation requires that each resident be given at least 3 choices of alternate housing, including the option to move into another public housing unit. Consequently, LMHA must have units available for those residents who choose to continue to live in public housing. LMHA anticipates occupancy increases at the elderly sites, too. The Authority continues to experience improved occupancy rates at St. Catherine Court (up from 73% to nearly 99%) since HUD's approval of the locally defined definition of elderly.

Due to ongoing issues with occupancy rates at Dosker Manor, the Authority will determine whether an elderly and/or disabled-only designation of units at one or more buildings at the site is appropriate during FY 2011. LMHA will hold a public hearing and submit a plan to HUD if such designation is deemed beneficial. The Authority also intends to reapply for the elderly-only designations at St. Catherine and the Weathers Building at Park DuValle, which were up for their renewal in February of 2010. In addition, LMHA will submit an application for an elderly-only designation at Stephen Foster, a privately owned and managed senior-living facility containing 18 public housing units.

Housing Choice Vouchers

Also shown in Table II-B.1 are the anticipated leasing numbers for LMHA's Housing Choice Voucher program. While the program was over leased in FY 2009, the number of leased vouchers has been brought down to 9,369 or a 96% utilization rate. With normal turnover and the new relocation vouchers LMHA anticipates receiving for Iroquois residents, the projected leased HCVs at the close of FY2012 are 9,413 or a 95% utilization rate. As noted in previous MTW Plans, the Annual Contribution Contract (ACC) number of HCVs is now simply an informational number that reflects the number of units that have been awarded to an agency. It is no longer practical for housing authorities to use ACC units numbers for tracking utilization, and HUD now permits operations to be tracked based on fund utilization rather than unit ratios. An additional factor affecting LMHA's leasing is our strategy to limit HCV costs to 95% of the actual funding. The remaining 5% has been used to supplement revitalization efforts in the HOPE VI programs and will be used to acquire replacement housing for Iroquois Homes.

Households Served

As of July 1, 2010, LMHA anticipates serving a total of 13,724 households in the combined public housing and Housing Choice Voucher (HCV) programs. This includes 4,239 in the public housing program and 9,495 in the HCV program. Overall occupancy numbers are expected to increase during FY2011 to 13,831 by the end of the fiscal year.

A snapshot of households currently being served as of the time this report was written are presented by housing type and unit size, by family type, by income levels as compared to median income levels for Louisville and by race and ethnicity in Tables II-B.2 – B.5 at the end of this section.

Table II-B.1 Leasing Information

	Projected as of 7/1/10			Anticipated as of 6/31/11		
	Total Units Available	Total Units Leased	Occupancy Rate	Total Units Available	Total Units Leased	Occupancy Rate
PUBLIC HOUSING						
LMHA Managed						
Family Developments	2,014	1,790	91%**	1,888	1,831	97%**
Elderly/Disabled Developments	1,295	1,209	93%	1,295	1,212	94%
Scattered Sites	685	582	85%	750	705	94%
Subtotal	3,994	3,581	90%*	3,933	3,748	95%*
HOPE VI/Mixed-Income (Privately Managed)						
The Oaks of Park DuValle	59	55	93%	59	56	95%
Park DuValle	304	256	84%	304	270	89%
St. Francis	10	10	100%	10	10	100%
Steven Foster	16	16	100%	16	16	100%
Village Manor	10	10	100%	10	10	100%
Liberty Green	311	311	100%	311	311	100%
Subtotal	710	658	93%*	710	673	95%*
Total Public Housing	4,704	4,239	90%*	4,613	4,418	96%
LEASED HOUSING						
Housing Choice Vouchers	9,723	9,369	96%	9,776	9,287	95%
S8 Certificates	130	114	88%	130	126	97%
Total Leased Housing	9,853	9,495	96%	9,906	9,413	95%

*Average occupancy rate is not weighted by the number of units at each development.

**Adjusted for ongoing phased demolition of the Iroquois Homes site through FY 2012.

Table II-B.2 Current Households Served by Unit Size

PUBLIC HOUSING	0 Bed	1 Bed	2 Bed	3 Bed	4 Bed +	Total
Family Developments						
KY 1-002 Beecher Terrace	0	313	218	143	0	674
KY 1-003 Parkway Place	1	198	238	115	45	597
KY 1-004 Sheppard Square	0	0	148	122	24	294
KY 1-005 Iroquois Homes	0	65	48	91	33	237
Total	1	576	652	471	102	1,802
Elderly/Disabled Developments						
KY-012 Dosker Manor A, B & C	4	610	17	0	0	631
KY-013 St. Catherine Court	73	84	0	1	0	158
KY-014 Avenue Plaza	121	82	36	33	0	272
KY 1-018 Lourdes Hall, Bishop Lane Plaza	7	141	0	0	0	148
Total	205	917	53	34	0	1,209
Scattered Sites						
KY 1-017 Scattered Sites I-V, Newburg	0	19	51	166	9	245
KY 1-034 Other Scattered Sites	2	41	156	89	15	303
KY 1-047 HPI/NDHC Scattered and LTO	0	0	0	64	0	64
Total	2	60	207	319	24	612
Mixed Income Sites						
KY 1-027 Park DuValle I	0	5	23	21	6	55
KY 1-030 Park DuValle II	0	7	38	37	0	82
KY 1-031 Park DuValle III	0	37	14	5	0	56
KY 1-032 Park DuValle IV	1	5	62	46	4	118
KY 1-036 St. Francis	-	10	-	-	-	10
KY 1-043 Steven Foster	-	16	-	-	-	16
KY 1-046 Village Manor	-	-	10	-	-	10
KY 1-049 Liberty Green Rental I	1	35	52	6	0	94
KY 1-050 Liberty Green Rental II	0	8	26	7	1	42
KY 1-051 Liberty Green Rental III	4	24	78	18	3	127
KY 1-052 Liberty Green Rental IV	4	18	24	2	0	48
Total	10	165	327	142	14	658
Total Public Housing Units	218	1,718	1,239	966	140	4,281
LEASED HOUSING						
MTW Vouchers	6	991	3073	3804	1346	9220
Non-MTW Vouchers						
HUD-VASH	0	51	72	21	5	149
Total	6	1,042	3,145	3,825	1,351	9,369
HUD Special Programs						
Willow Place Mod-Rehab	0	1	56	0	0	57
St. Vincent Du Paul/Roberts Hall	4	16	1	0	0	21
YMCA SRO Program	15	21	0	0	0	36
Total	19	38	57	0	0	114
Total Leased Housing	25	1,080	3,202	3,825	1,351	9,483
Grand Total LMHA Units	243	2,798	4,441	4,791	1,491	13,764

Table II-B.2 Households Served by Income Level

PUBLIC HOUSING	<30%	30-50%	50-80%	>80%	Totals
Family Developments					
KY 1-002 Beecher Terrace	568	79	23	4	674
KY 1-003 Parkway Place	500	83	13	1	597
KY 1-004 Sheppard Square	216	64	14	0	294
KY 1-005 Iroquois Homes	190	40	6	1	237
Total	1,474	266	56	6	1,802
Elderly/Disabled Developments					
KY-012 Dosker Manor A, B & C	581	39	9	2	631
KY-013 St. Catherine Court	127	28	2	1	158
KY-014 Avenue Plaza	223	32	14	3	272
KY 1-018 Lourdes Hall, Bishop Lane Plaza	N/A	N/A	N/A	N/A	148
Total					1,209
Scattered Sites					
KY 1-017 Scattered Sites I-V, Newburg	N/A	N/A	N/A	N/A	245
KY 1-034 Other Scattered Sites	193	56	40	14	303
KY 1-047 HPI/NDHC Scattered and LTO	27	31	6	0	64
Total					612
Mixed Income Sites					
KY 1-027 Park DuValle I	18	16	14	7	55
KY 1-030 Park DuValle II	52	16	14	0	82
KY 1-031 Park DuValle III	32	17	6	1	56
KY 1-032 Park DuValle IV	68	34	15	1	118
KY 1-036 St. Francis	6	2	2	0	10
KY 1-043 Steven Foster	13	2	1	0	16
KY 1-046 Village Manor	8	2	0	0	10
KY 1-049 Liberty Green Rental I	26	48	20	0	94
KY 1-050 Liberty Green Rental II	11	25	6	0	42
KY 1-051 Liberty Green Rental III	19	37	71	0	127
KY 1-052 Liberty Green Rental IV	8	16	24	0	48
Total	261	215	173	9	658
Total Public Housing					4,281
LEASED HOUSING					
MTW Vouchers	6110	2536	519	55	9220
Non-MTW Vouchers					149
HUD-VASH	95	49	5	0	149
Total Vouchers	6205	2585	524	55	9,369
HUD Special Programs					
Willow Place Mod-Rehab	49	7	1	0	57
St. Vincent Du Paul/Roberts Hall	18	13	0	0	21
YMCA SRO Program	31	5	0	xx	36
Total Certificates	98	15	1	0	114
Total Leased Units					9,483
Grand Total LMHA Units					13,764

Table II-B.3 Current Households Served by Family Type

PUBLIC HOUSING	Family	Elderly	Disabled	Totals
Family Developments				
KY 1-002 Beecher Terrace	437	100	137	674
KY 1-003 Parkway Place	470	38	89	597
KY 1-004 Sheppard Square	255	10	29	294
KY 1-005 Iroquois Homes	175	6	56	237
Total	1337	154	311	1802
Elderly/Disabled Developments				
KY-012 Dosker Manor A, B & C	111	161	359	631
KY-013 St. Catherine Court	18	108	32	158
KY-014 Avenue Plaza	86	69	117	272
KY 1-018 Lourdes Hall, Bishop Lane Plaza	21	61	66	148
Total	236	399	574	1209
Scattered Sites				
KY 1-017 Scattered Sites I-V, Newburg	162	30	53	245
KY 1-034 Other Scattered Sites	221	24	58	303
KY 1-047 HPI/NDHC Scattered and LTO	51	0	13	64
Total	434	54	124	612
Mixed Income Sites				
KY 1-027 Park DuValle I	40	9	6	55
KY 1-030 Park DuValle II	57	10	15	82
KY 1-031 Park DuValle III	10	45	1	56
KY 1-032 Park DuValle IV	87	18	13	118
KY 1-036 St. Francis	8	2	0	10
KY 1-043 Steven Foster	0	16	0	16
KY 1-046 Village Manor	10	0	0	10
KY 1-049 Liberty Green Rental I	77	14	3	94
KY 1-050 Liberty Green Rental II	36	4	2	42
KY 1-051 Liberty Green Rental III	109	13	5	127
KY 1-052 Liberty Green Rental IV	38	6	4	48
Total	472	137	49	658
Total Public Housing Units	2,479	744	1,058	4,281
LEASED HOUSING				
MTW Vouchers	5047	729	3444	9220
Non-MTW Vouchers				
HUD-VASH	52	24	73	149
Total Vouchers	5,099	753	3,517	9,369
HUD Special Programs				
Willow Place Mod-Rehab	47	0	10	57
St. Vincent Du Paul/Roberts Hall	11	3	7	21
YMCA SRO Program	32	0	4	36
Total Certificates	90	3	21	114
Total Leased Units	5,189	756	3,538	9,483
Grand Total LMHA Units	7,668	1,500	4,596	13,764

Table II-B.5 Current Households Served by Race and Ethnicity

PUBLIC HOUSING	Black	Other	White	Total
Family Developments				
KY 1-002 Beecher Terrace	656	4	14	674
KY 1-003 Parkway Place	570	11	16	597
KY 1-004 Sheppard Square	286	1	7	294
KY 1-005 Iroquois Homes	206	3	28	237
Total	1,718	19	65	1,802
Elderly/Disabled Developments				
KY-012 Dosker Manor A, B & C	491	15	125	631
KY-013 St. Catherine Court	119	3	36	158
KY-014 Avenue Plaza	233	2	37	272
KY 1-018 Lourdes Hall, Bishop Lane Plaza	96	4	48	148
Total	939	24	246	1,209
Scattered Sites				
KY 1-017 Scattered Sites I-V, Newburg	210	2	33	245
KY 1-034 Other Scattered Sites	257	5	41	303
KY 1-047 HPI/NDHC Scattered and LTO	61	0	3	64
Total	528	7	77	612
Mixed Income Sites				
KY 1-027 Park DuValle I	52	1	2	55
KY 1-030 Park DuValle II	52	16	14	82
KY 1-031 Park DuValle III	32	18	6	56
KY 1-032 Park DuValle IV	69	34	15	118
KY 1-036 St. Francis	7	3	0	10
KY 1-043 Steven Foster	16	0	0	16
KY 1-046 Village Manor	9	1	0	10
KY 1-049 Liberty Green Rental I	90	3	1	94
KY 1-050 Liberty Green Rental II	40	1	1	42
KY 1-051 Liberty Green Rental III	123	3	1	127
KY 1-052 Liberty Green Rental IV	46	2	0	48
Total	536	82	40	658
Total Public Housing Units	3721	132	428	4,281
LEASED HOUSING				
MTW Vouchers	6,373	203	2,644	9,220
Non-MTW Vouchers				
HUD-VASH	66	11	72	149
Total Vouchers	6,439	214	2,716	9,369
HUD Special Programs				
Willow Place Mod-Rehab	46	1	10	57
St. Vincent Du Paul/Roberts Hall	6	1	14	21
YMCA SRO Program	28	0	8	36
Total Certificates	80	2	32	114
Total Leased Units	6,519	216	2,748	9,483
Grand Total LMHA Units	10,240	348	3,176	13,764

C. Waiting List Information

LMHA streamlined its waitlist and referral list structure when it modified its ACOP and Administration Plan in 2005. The Authority currently maintains a single, centralized waitlist for its owned and managed public housing sites. LMHA also maintains a referral list of residents recommended for its scattered site units. Eligibility requirements for scattered sites include residency in a family or elderly public housing development for a one year period and a recommendation by the site manager as an outstanding resident. Outstanding resident status is attained by having no more than 2 late rent payments, passing annual inspections, and by adhering to all other LMHA leasing guidelines.

In addition to these criteria, there is also a five-year time limitation on residency for single family, scattered-site replacement units (excluding scattered sites I, II, III, IV and V, and Newburg). This time limitation is waived for elderly/disabled households. The FY2010 MTW Annual Plan included an initiative to revise criteria for Clarksdale HOPE VI Replacement Scattered Sites only to include mandatory participation in a case management program and active movement towards self-sufficiency. Elderly and disabled households may also be exempted from these criteria.

LMHA maintains a separate waitlist for its HCV program.

Since 2005, LMHA staff continually updates its central-based waitlist as part of the scheduling process, removing applicants as they are placed in housing or if they fail to show for 3 scheduled interviews. Occupancy staff may conduct a formal purge of the public housing waitlist in 2011. Although the Housing Choice Voucher waitlist has been turned over a number of times by bringing families on the program, there has never been a formal purge of HCV applications.

Individual site-based waitlists are used for most of the privately managed and/or owned public housing units in mixed-income developments. Applicants for St. Francis, Stephen Foster and Village Manor are recommended from LMHA's referral list for scattered sites.

The waitlist currently reflects 5,610 applicants for LMHA's public housing programs and 13,386 applicants for the HCV program. The public housing waitlist is not expected to change appreciably during the coming year. The waiting list for the HCV program is expected to increase by approximately 2,500 applicants in the coming year. While the HCV waitlist will remain open during the FY 2011 because of the current over utilization situation, few, if any families will be brought on the HCV program from the waiting list until the next fiscal year or until utilization decreases significantly. A snapshot of the number and characteristics of applicants on LMHA's waitlists is presented in Tables II-C.1, C.2 and C.3 within the FY 2011 Annual Plan.

Former Clarksdale residents continue to receive preference for Clarksdale off-site replacement units and Liberty Green units. Iroquois residents that will be relocated for the next phase of demolition will have preferences for both Housing Choice Vouchers and public housing units. If the Agency is funded for the Sheppard HOPE VI revitalization, residents that will be relocated for the demolition of the site will also have preferences for both Housing Choice Vouchers and public housing units.

Table II-C.1 Waitlist by Unit Size

PUBLIC HOUSING	0 Bed	1 Bed	2 Bed	3 Bed	4 Bed+	Total
Central Based Wait Lists						
Family	0	1,520	991	487	122	3,120
Elderly	0	52	6	2	4	64
Disabled	0	243	35	26	10	314
Subtotal Central Based	0	1,815	1,032	515	136	3,498
Site Based Wait Lists						
KY 1-027 Park DuValle I	N/A	N/A	N/A	N/A	N/A	1,632
KY 1-030,31 &32 Park DuValle II, III & IV**	N/A	N/A	N/A	N/A	N/A	N/A
KY 1-049, 50, 51 & 52 Liberty Green*	27	178	125	130	20	480
Subtotal Site Based						2,112
Total Public Housing Wait Lists						5,610
HCV PROGRAM						
Vouchers	0	6,501	4,510	2,009	366	13,386
Total HCV Program	0	6,501	4,510	2,009	366	13,386
Grand Total Wait Lists						18,996

*Characteristics by family type are not currently available. Wait list contains total number of applicants by desired unit size.

**The combined wait list for Park DuValle Phases II, III & VI has been closed since 2002.

Table II-C.2 Waitlist by Race and Ethnicity

PUBLIC HOUSING	African American	White	Other	Total
Central Based Wait Lists				
Family	2,641	416	63	3,120
Elderly	39	20	5	64
Disabled	237	71	6	314
Subtotal Central Based	2,917	507	74	3,498
Site Based Wait Lists				
KY 1-027 Park DuValle I*	N/A	N/A	N/A	1,632
KY 1-030,31 &32 Park DuValle II, III & IV**	N/A	N/A	N/A	N/A
KY 1-049, 50, 51 & 52 Liberty Green	N/A	N/A	N/A	480
Subtotal Site Based	N/A	N/A	N/A	2,112
Total Public Housing Wait Lists				5,610
HCV PROGRAM				
Vouchers	8,420	4,645	321	13,386
Total HCV Program	8,420	4,645	321	13,386
Grand Total Wait Lists				18,996

*Characteristics by race/ethnicity are not currently available. Wait list contains total number of applicants by desired unit size.

**The combined wait list for Park DuValle Phases II, III & VI has been closed since 2002.

Table II-C.3 Waitlist by Income Level

PUBLIC HOUSING	<30%	30-50%	50-80%	>80%	Totals
Central Based Wait Lists*					
Family	N/A	N/A	N/A	N/A	3,120
Elderly	N/A	N/A	N/A	N/A	64
Disabled	N/A	N/A	N/A	N/A	314
Total Central Based	N/A	N/A	N/A	N/A	3,498
Site Based Wait Lists					
KY 1-027 Park DuValle I*	N/A	N/A	N/A	N/A	1,632
KY 1-030,31 &32 Park DuValle II, III & IV**	N/A	N/A	N/A	N/A	N/A
KY 1-049, 50, 51 & 52 Liberty Green *	N/A	N/A	N/A	N/A	480
Total Site Based	N/A	N/A	N/A	N/A	2,112
Total Public Housing Wait Lists					5,610
HCV PROGRAM					
Vouchers	12007	1355	19	5	13386
Total HCV Program	12007	1355	19	5	13,386
Grand Total Wait Lists					18,996

*Characteristics by income level are not currently available. Wait list contains total number of applicants by desired unit size. Applicant's income is verified during occupancy interviews.

**The combined wait list for Park DuValle Phases II, III & VI has been closed since 2002.

III. Non-MTW Related Housing Authority Information

A. Sources and Uses of HUD or other Federal Funds

American Recovery and Reinvestments (ARRA) Funds

In recognition of the need to provide rapid relief to many who are affected by the current economic crisis facing the country, HUD is awarding an estimated total of \$2,985,000,000 in special Capital Funds to Public Housing Authorities throughout the nation. This funding is expected to result in employment for thousands of construction workers while substantially modernizing tens of thousands of public housing units. PHAs have been directed to give priority to projects that: are ready to begin construction rapidly or are “shovel read”; increase energy efficiency and lower the long-term costs of operating public housing; improve safety; and/or employ persons or preserve jobs. Projects that modernize or rehabilitate vacant rental units will also be given priority.

LMHA received \$14,151,218 in ARRA funds. Though many Capital Fund requirements apply to the use of ARRA funding, LMHA focused on capital projects that could be started by June 17, 2009 as ARRA funds must be 100% obligated by March 18, 2010, 60% expended by March 18, 2011 and 100% expended by March 18, 2012. Consequently, LMHA was able to meet the obligation deadline and exceed the 60% expenditure deadline. **LMHA was 100% obligated and had spent over 60% of the ARRA funding by March 2010, well before the final expenditure cut-off date.** Table III-A.1 summarizes LMHA’s projects being implemented with ARRA funding.

Neighborhood Stabilization Program

The Neighborhood Stabilization Program (NSP) was established under the Housing and Economic Recovery Act (HERA) of 2008 for the purpose of stabilizing communities across America hardest hit by foreclosures. The goal of the program is being realized through the purchase and redevelopment of foreclosed and abandoned homes and residential properties. NSP funds authorized under Division B, Title III of the Housing and Economic Recovery Act (HERA) of 2008 provide grants to all states and selected local governments to implement locally defined programs designed to help communities that have suffered from foreclosures and abandonment maintain their property values.

Because NSP is a component of the Community Development Block Grant (CDBG), NSP grantees develop their own programs and funding priorities. While all activities funded by NSP must benefit low- and moderate-income persons, NSP grantees must also use at least 25 percent of the funds awarded for the purchase and redevelopment of abandoned or foreclosed residential properties that will be used to house very low-income families. A very-low income household is a household whose gross annual income does not exceed 50 percent of area median income. A moderate income household is defined as one who's gross annual income does not exceed 120 percent of area median income.

Louisville Metro received \$6,973,721 from US HUD for the Neighborhood Stabilization Program, and, LMHA has been allocated approximately \$2 million or 25% of those funds to conduct NSP activities according to the requirements of the low income setaside. LMHA’s NSP program will focus on targeted acquisition and rehabilitation of approximately 15 foreclosed homes in and surrounding the Smoketown neighborhood. When development activities have been completed, the newly renovated homes will be added to the Agency’s Annual Contributions Contract thereby offsetting the number of public housing units slated for demolition at Iroquois. In addition to substantially augmenting the Agency’s replacement housing portfolio, LMHA’s NSP program will also accelerate local investment activities that have begun in anticipation of the Sheppard Square HOPE VI Revitalization, and it will assist the community’s ongoing efforts to preserve affordable housing in the neighborhood.

The new public housing ACC units will be occupied by income eligible families from LMHA's public housing waitlist. NSP requires that these units be reserved for families with incomes at 50% AMI or below. A regulatory MTW waiver may be needed in order to implement this policy as LMHA eligibility criteria for public housing imposes an 80% cap on income.

Table III-A.1 ARRA Funded Capital Improvements*

PROJECTS	% Complete	Budgeted	Actual Spent
Beecher Terrace			
Pipe replacement	100%	\$2,755,131	\$2,755,131
Parkway Place			
Drainage pipe replacement	100%	\$27,500	\$27,500
Gas line and electrical feeder replacement	Awarded 3/2/10	\$3,091,434	\$0
Iroquois Homes			
Demolition of 16 bdlgs.	70%	\$1,461,300	\$832,174
Dosker Manor			
Elevator upgrade	95%	\$1,606,028	\$1,226,718
Parapet replacement	100%	\$245,713	\$245,713
Interior painting	100%	\$138,165	\$138,165
Building systems integration	15%	\$413,000	\$0
Floor tile	Awarded 3/4/10	\$156,000	\$0
Avenue Plaza			
Energy assessment	100%	\$7,000	\$7,000
Lighting	99%	\$280,615	\$266,586
Elevators	Awarded 3/2/10	\$583,500	\$0
550 Apartments			
Roof replacement	100%	\$490,933	\$490,333
Stairs	5%	\$377,000	\$0
Scattered Sites			
Three (3) Lease-To-Purchase Single Family Homes	100%	\$451,686	\$419,702
Sites A roofs	0%	\$58,600	\$0
Sites B roofs	0%	\$39,515	\$0
Sites C roofs	0%	\$17,959	\$0
Sites C roofs	0%	\$49,600	\$0
537 E Breckinridge unit conversion	75%	\$157,500	113,743
Stairs at 4 units	5%	\$77,900	\$0
Lourdes Hall			
Intercom system	90%	\$213,000	\$104,969
Generator	45%	\$203,700	\$141,327
Generator – A/E	90%	\$18,500	\$15,170
Bishop Lane			
Generator	45%	\$177,000	\$133,342
Roof	5%	\$215,171	\$0
Generator – A/E	90%	\$19,750	\$16,195
A/E Mechanical – CMTA	63%	\$200,000	\$138,815
A/E Roofing – Joseph & Joseph	34%	\$100,000	\$32,320
A/E Construction – Sherman Carter Barnhardt	24%	\$300,000	\$0
Administrative Costs		\$263,018	N/A
Grand Total		\$14,151,218	\$6,787,844

*Amounts budgeted and expended as of March 7, 2010.

B. Activities Outside of Moving To Work

Every year LMHA's Moving to Work Plan includes initiatives and activities that help the Agency achieve its local goals and the MTW statutory objectives, but do not require a regulatory waiver. The progress of these activities is described in this section of the Report. In order to achieve greater cost effectiveness, expanded housing choices and enhanced social service delivery, LMHA staff coordinate the Agency's MTW and non-MTW activities to the greatest extent possible, therefore relevant MTW activities are briefly covered in this section. Detailed updates on specific MTW activities are provided in Sections V and VI of the Plan.

Community Revitalization

Together with our partner organizations and Metro Louisville, LMHA has undertaken two large-scale revitalization projects over the last two decades. Park DuValle/Cotter-Lang was one of the first LMHA public housing complexes redeveloped under the Federal HUD HOPE VI program. Both Park DuValle and Louisville's subsequent HOPE VI Revitalization which transformed Clarksdale Homes into Liberty Green are nationally recognized as model HOPE VI communities. In the fall of 2009, LMHA submitted an application for a third HOPE VI Revitalization grant to redevelop Sheppard Square. If awarded of the HOPE VI, redevelopment activities will commence immediately and continue through 2015.

Clarksdale Homes HOPE VI Revitalization

Efforts to redevelop the severely distressed Clarksdale development with assistance from HUD's HOPE VI program began when the Authority first submitted a grant application in June 2001. Although the initial grant was not awarded, we have since submitted two more successful HOPE VI applications to replace all 713 Clarksdale public housing units in a wide variety of building types and locations, both on-site and off-site.

LMHA has to date received a total of \$40 million in Federal HUD HOPE VI Revitalization grant funds, obtained over \$200 million in physical development leverage and partnered with several for-profit and non-profit developers committed to create 1900+ public housing, low-income tax credit, market rate rental and homeownership units. Both the on and off-site components of the Clarksdale HOPE VI project are moving forward steadily. On-site the first rental units were occupied in June 2006. As of June 2009, the build out of the remaining mixed income on-site rental units had been completed. The on-site units are a combination of public housing units, low-income housing tax credit apartments and market rate rental.

The Edge at Liberty Green

The Edge at Liberty Green, the on-site home-ownership component of the Liberty Green-Clarksdale HOPE VI project, will be comprised of at least 275 affordable and market rate homeownership units as well as space for offices and retailers. Housing types in the development will include garden apartments, flats, and brownstone-like townhomes featuring amenities such as roof-top gardens and tuck-under parking. The sharp economic downtown and mortgage foreclosure crisis slowed pre-development activities at The Edge in 2009; however the developer is gaining confidence that the market has now stabilized for units within their projected price points (approximately \$110,000 to \$300,000). Currently, construction of homes is anticipated to begin in fiscal year 2011 and to be completed in phases over the course of the next 8 to 10 years.

Liberty Green Community Building

The Liberty Green Community Center will be the first LMHA owned and managed LEED certified building. The community center will contain a community room, kitchen, classrooms, offices and eight (8) public housing units. Design features that will help the building achieve LEED status include geo-thermal heating and cooling; light colored, high-albedo roofing to

reduce solar heat gain; double glazed windows; Energy Star rated high efficiency appliances; and compact fluorescent lighting. In addition, a parking lot has been surfaced with pervious pavers to maximize storm water absorption on-site. Construction of the center is nearly complete and the anticipated occupancy date is sometime in July 2010.

801 East Broadway

LMHA utilized its funding flexibility granted by MTW to design and construct this mixed-use building which stands prominently at the corner of Broadway and Shelby Street in downtown Louisville. The building contains 22 public housing units and roughly 3,000 square feet of commercial/retail space at the street level. LMHA broke ground on the project in 2007, and hosted a press conference on March 17, 2009 with the Louisville Mayor celebrate its completion. While LMHA will operate the housing units, the Authority has contracted a separate property manager for the commercial space. LMHA is still pursuing a tenant to lease the commercial space.

Scattered Sites

By the end of fiscal year 2009, LMHA had fulfilled its Clarksdale one-for-one replacement commitment totaling 713 units. The replacement units are comprised of scattered site public housing units and on-site public housing units. The scattered site replacement units consist of mixed finance/private managed multi-family mixed-income units, single family home acquisitions, and LMHA developed and managed single family homes located throughout the Metro area. In 2011, LMHA will continue to aggressively acquire public housing sites in order to replace general occupancy units being demolished at Iroquois Homes.

Sheppard Square HOPE VI Revitalization

LMHA submitted an application for HOPE VI funding for the Sheppard Square public housing development on November 13, 2009. The 67-year old development built in 1942 suffers from inherent design deficiencies, as well as numerous operations failures and unhealthy environmental conditions, all of which contribute to the overall distress of the site. On-site, the new Sheppard Square will consist of public housing, low-income tax credit and market rate units in a variety of housing types including single-family homes, semi-detached and row townhouses and multi-family apartment buildings. Off-site, the public housing replacement units will include single-family homes, units enriched with supportive services, and apartments in mixed-income communities. Both the on- and off-site components of the revitalization plan will meet Energy Star standards for new construction and Enterprise Communities' Green Community criteria. As with all revitalization plans following Park DuValle that require demolition of existing public housing units, **LMHA has committed to one-for-one replacement of the 326 units at Sheppard Square.**

Greening Initiatives

While LMHA has maintained a long-standing commitment to energy efficiency, our efforts went to the next level when Louisville was chosen by the Environmental Protection Agency (EPA) in November 2007 as one of five US cities to become a model partner for its Energy Star program. Mayor Jerry Abramson accepted the EPA's Energy Star Challenge and rolled out his Go Green Louisville! Campaign. LMHA quickly jumped on board for this initiative to adopt sustainable practices, including the goal to reduce energy use in the city by 10% or more by the year 2010.

Rising energy costs have made utility expenses a growing concern in overall housing affordability, as well as a significant portion of LMHA's operating budget. The hundreds of thousands of dollars spent each month on utilities for LMHA's public housing inventory rose even higher this past winter due to the 24% increase in gas rates. The Authority also incurs utility costs for units that are privately managed

such as Park DuValle and Liberty Green and for those under lease in our Section 8 program. Once these factors are added in, our targeted 10% reduction in energy use could easily add up to over one million dollars in annual savings.

LMHA may also participate in the “Kilowatt Cup” competition sponsored by Metro government again during 2011. During 2009, LMHA competed with other local building owners in the “Kilowatt Crackdown”, a contest initiated by Mayor Abramson to show businesses how “going green can save green.” The Authority selected Avenue Plaza, a 17-floor high-rise that is home to 225 elderly and disabled households, as its first entry into the contest. Avenue Plaza also houses LMHA’s Central Office facilities, including 66 staff members and 57 computer stations.

Avenue Plaza underwent an extensive energy overhaul during 2009 based on recommendations from a recent energy audit. Over 500 light fixtures were replaced with energy efficient models. New chillers were installed, as well as weather-stripping and door sweeps on all exterior stairwell doors. HVAC units in all apartments were also cleaned and repaired, in addition to several other energy saving projects. These efforts resulted in an annual utility cost savings equivalent to \$16,606 (based on December 2009 gas and electric rates), as well as recognition of Avenue Plaza as one of five finalists among 102 buildings for the Kilowatt Cup award. It goes without saying that LMHA will continue to implement improvements that will further reduce gas and electric consumption in upcoming years.

Beyond the monetary impacts to LMHA’s budget are the environmental and health benefits to be reaped from our greening efforts, including cleaner air and water. To champion these benefits, LMHA has formed a Green Team that is comprised of board members, staff and advisors who will assist the Agency in becoming a leader in the nation among affordable housing providers. The Green Team’s goals are to:

- Develop, renovate and maintain housing stock and communities with green materials and energy efficient technologies;
- Conserve energy and other natural resources; and
- Increase the awareness of environmentally responsible business and development practices.

To achieve these goals, LMHA is tackling several large- and small-scale environmentally beneficial projects in 2011. LMHA plans to undertake activities from the Green Action Plan including, but not limited to:

- Installing Energy Star appliances and HVAC systems in all public housing units as existing appliances are replaced;
- Redeveloping Sheppard Square to be an Enterprise Green Communities certified site;
- Testing concentrated and environmentally friendly cleaners for use in LMHA’s offices and public housing developments;
- Continue a weatherization and energy efficiency pilot program with Section 8 homeowners;
- Testing a low-flow showerhead in a public housing unit; and
- Continue to expand green and Energy Star purchasing practices and policies.

Greening strategies that are planned or under consideration include:

- Requiring contractors to use Energy Star labeled equipment and other environmentally friendly products;
- Furthering contractors to use Energy Star guidelines and practices by allotting points in contract award process;
- Revising design specifications and pattern books to reflect sustainable principles; and

- Including Energy Star, energy conservation and greening information in public housing and Section 8 contracts/leases.
- Exploring programs that give incentive to Section 8 landlords to make energy efficiency improvements and/or weatherize units, including those occupied by low-income families.

Implementation of additional greening activities still under consideration may require HUD approval of a regulatory waiver as authorized in the Agency's MTW agreement. In 2011, LMHA will take a closer look at the potential for MTW authorizations to facilitate additional greening activities.

Local Leased Housing Program

Merger continues to offer LMHA a new opportunity to disperse programs and housing stock throughout the area. Prior to merger, limitations precluded HAL from offering viable housing options in areas outside the City, while regulatory and funding limitations prevented HJAC from large-scale scattered site development. Changes to administrative policies (rent and occupancy policies, inspections, payment standards and program participation and reporting requirements will be made, in accordance with the MTW Agreement, to meet the Agency's locally defined MTW goals. The Housing Choice Voucher program will continue to target specific areas of payment standards and utilization, reworking preference and other terms of assistance to make the program more successful and more appropriate to the local housing markets and local policy objectives. LMHA will continue to implement previously approved activities.

Special Referral HCV Programs

Under MTW, LMHA has established several Special Referral Housing Choice Voucher Programs with local social service organizations who provide housing to program participants. LMHA presently has special referral programs with the Center for Women and Families (CWF), Project Women and the YMCA.

LMHA's MTW special referral programs require participants to meet criteria established by both the partnering organization and LMHA's HCV program to receive a HCV voucher that is initially tied to the "project". However, once a participant completes the program, they can again utilize the portability of their voucher to move to a location of their choice, or to enter into the HCV Homeownership program. LMHA will also "replace" the partnering organization's voucher by issuing a new one to the next program participant. In addition to the requirement to reside at the partnering organization's facility while they are in the program, participants at CWF and Project Women must meet initial occupancy criteria (single parent with children, enrolled in school), establish and meet the program's goals and graduate from school before they can move their voucher to another location.

The Housing Assistance Payment (HAP) contract with the YMCA is for a 41-unit SRO program for single, adult men. The program has operated since 1989 but had lost revenue in the past due to occupancy issues. During FY 2008, LMHA authorized a specially trained YMCA-hired caseworker to determine eligibility for applicants and residents of the SRO units on-site. If the applicant was determined eligible, he was housed immediately upon completion of processing by the YMCA caseworker. The applicant packet was then sent to LMHA for additional processing and payment began for that participant. In addition, the caseworker is trained to conduct reexaminations of residents at the site.

Due to the success of this activity, as demonstrated by the 100% utilization rate, the protocol is also used by staff at the Center for Women and Families and Project Women's Scholar House I. Initial occupancy inspections of the special referral HCV program units are waived upon move-in, and all inspections are held once per year concurrently at the site.

Operating Procedures - Mail-In Recertifications

In the past, LMHA experienced a great deal of difficulty getting clients to attend recertification appointments. New operating procedures allow families who are remaining in the same residence to submit information for their annual recertification by mail. Since 2008 when the procedures were implemented, HCV staff have been able to reduce the amount of time spent on no shows and rescheduling appointments, and the time involved in conducting recertification appointments. In FY 2009, the activity obtained a \$78,000 cost savings from the reduction of missed appointments and the use of mail-in recertifications. Families who are requesting approval to move still come in for an appointment and attend a briefing upon conclusion of the re-certification process.

Prior to 2008, clients were only assigned to caseworkers for their annual recertification or when additional processing was required, as in cases where there were changes in income or household composition. Clients were randomly assigned to caseworkers based on availability of staff. Clients were often confused about who to call with follow up questions or issues during the ensuing year. The newly implemented procedure assigns a client to the same caseworker for a three year period, providing clients with a specific contact if they have any questions about their participation in the HCV program.

Evidence from staff suggests that clients appreciate the convenience of the mail-in packet, and are generally pleased with the new case management style services. No changes to the HCV program operating procedures are anticipated in 2011.

Affordable Homeownership

Given the local market, homeownership continues to be an appropriate vehicle for working families with low-incomes to exit the Housing Choice Voucher or public housing programs. LMHA offers two affordable homeownership opportunities:

Housing Choice Voucher Homeownership Program

LMHA has one of the strongest HCV Homeownership programs in the country and can boast that over 150 families have purchased homes using the program. HCV staff provide case management-related activities including post-purchase counseling. HCV homeowners also participate in a post-purchase Individual Development Account (IDA) program. In total, 135 HCV households and 21 public housing residents have received HCV Homeownership vouchers. LMHA anticipates the number of successful homebuyers will increase in 2011 despite the weakened economy.

HUD regulations allow housing authorities nationwide some degree of programmatic latitude; not surprisingly however, LMHA has found that local real-estate forces primarily dictate the areas where homebuyers can choose to live. MTW authority has enabled LMHA to further modify the traditional Section 8 homeownership program with locally defined policies that increase participant buying power and expand their housing choices into Exception Payment areas without increasing the risk of mortgage default. LMHA can also boast that HCV homebuyers live in 22 of the 26 council districts in Louisville and that only 2 participants have defaulted on their loan. With the MTW activities in place, staff anticipate that HCV homebuyers will live in all Metro council districts by 2014.

Public Housing Lease-To-Purchase Program

LMHA's Lease-To Purchase program began in 2007 as an initiative proposed in the Liberty Green HOPE VI application. The program is designed to offer Housing Choice Voucher clients and public housing residents an affordable and secure process by which to purchase a single family home. Program participants would have the opportunity to select a home from the affordable offerings in the Authority's Lease-To-Purchase housing stock and receive ongoing support from an LMHA case manager. Section 32 was attempted through 2008-2009, but due to lack of interest and eligible

candidates the program was eliminated. However, special considerations are in place to offer the program at a later date at LMHA's determination.

Resident Programs

The LMHA will continue to offer residents a wide array of educational and job-training services designed to prepare individuals for success in school and the workplace, and to help families along an incremental path to self-sufficiency. LMHA continues to collaborate and partner with other local service providers in the community in order to deliver high quality programs that touch as many residents as possible.

Family Self-Sufficiency (FSS) Program

Through the Family Self-Sufficiency program, LMHA public housing residents and HCV participants receive extensive supportive services through long-term case management to achieve program and personal goals. Program emphasis is on the importance of employment and building financial skills as a means to become self-reliant. As an added incentive, the rent increases that would occur as family earned income rises are diverted into an escrow account to be used at the participant's discretion upon completion of the Program. Increasing homeownership is a key goal of LMHA. FSS participants are encouraged to utilize the HCV Homeownership program as a safe and secure way to purchase a house of their own. As of June 30, 2009, 149 public housing residents and 466 HCV residents were participating in the Agency's FSS program. Together 62% of the participants were employed, and FSS families held escrow accounts totaling well over \$1.3 million.

Common Wealth Individual Development Accounts (IDA) Program

The Common Wealth program was designed to help LMHA clients save money to buy a house, attend a post-secondary education institution, save for their children's education, invest in their own small business, and/or repair or remodel their home. Each participant has a dedicated savings account (called an IDA) where their savings is matched \$2 by LMHA for every \$1 they save. Participants also complete Financial Skill Building workshops and regularly meet with an LMHA assigned Case Manager. At present, 36 LMHA residents participate in the Common Wealth IDA program including seven (7) that formerly lived at Clarksdale Homes or current HOPE VI families. These participants had saved a total of \$15,445 and had \$31,553 set aside as match. LMHA will continue to offer the IDA program in 2011 as an assist to a growing number of families working to achieve economic self-sufficiency.

Special Access Programs

The former Housing Authority of Jefferson County offered a variety of Housing Choice Voucher special access programs in partnership with community organizations targeting families with specific needs. LMHA has continued these programs which combine an LMHA housing choice voucher with case management services delivered by the partner agency or agencies. Because the combined voucher program was over-leased after merger in 2003 and major relocation activities were underway at Clarksdale, the special access programs were only able to serve a small number of participants for a portion of FY2004 and FY2005. However, the majority of these programs are now able to serve at capacity. The following briefly summarizes activities within these programs planned for FY2011.

Mainstream Program

The Mainstream Program combines an LMHA housing choice voucher and case management services delivered by the Center for Accessible Living to serve families or individuals whose head of household or spouse is disabled. The program is intended to help disabled individuals lead more independent lives. LMHA anticipates that Mainstream will remain at capacity during 2011.

Partnership for Families (PforF)

Even though LMHA's obligation to operate the Family Unification Program (FUP) had expired, both the Agency and the Kentucky Cabinet for Health and Family Services agreed that the need for services and housing opportunities previously offered through the FUP Program still existed. Hence, the Partnership for Families Program was developed. Like the former FUP, the PforF Program combines LMHA HCV voucher or public housing assistance and case management services delivered by Child Protective Services. This new program, built upon lessons learned from the former FUP Program, preserves the integrity of the original mission: to preserve and maintain the family unit. PforF serves families for whom housing is the only remaining issue with regard to reunification of children with parents or the prevention of children being removed from the household.

Homeless Families Assistance Program (HFAP)

This unique program assists families and individuals who are homeless by combining an LMHA HCV voucher and case management services delivered by day and overnight shelters, transitional housing facilities, the Neighborhood Place, Louisville Metro Human Services, and the Family and Children Counseling Center's Homeless Families Prevention Program. The program helped stabilize homeless families and individuals, so they could continue to make positive changes in their lives. Unfortunately, this program has remained dormant since the HCV over-leasing issue after merger. LMHA expects this program will remain dormant in 2011 due to current over-leasing issues.

Olmstead Program

The Olmstead Program is a partnership between LMHA and the State of Kentucky's Division of Mental Health. It combines an LMHA voucher and case management services delivered by authorized agencies, including the Center for Accessible Living, Wellspring, Seven Counties Services, and Central State Hospital, to serve families or individuals impacted by the Olmstead decision.

Single Room Occupancy (SRO) Program

Section 8 eligible single women and men who are homeless or at risk of becoming homeless can self-refer or be referred by other agencies and service providers directly to the SRO Program. Participants receive on site HCV rental assistance at the participating SRO and case management via SRO staff. Robert's Hall can serve up to 24 women and the YMCA can accommodate up to 41 men. LMHA expects that both sites will remain at program capacity during 2011.

ROSS-Funded Programs

Public housing residents have benefited from a variety of programs and services over the past years made available through ROSS funds. Staff have applied for and received a number of ROSS Resident Service Delivery and ROSS Neighborhood Network grants to continue a variety of activities and services including:

- CHOICE – teen intervention for middle school students at Meyzeek and Noe Middle Schools;
- Resource Centers and after school tutoring programs at Beecher Terrace, Sheppard Square, Parkway Place, and Iroquois Homes family developments;
- GED programs;
- A 16-station Neighborhood Network computer lab at the Mabel Wiggins Family Investment Center and a satellite lab at Sheppard Square;
- Other youth and resident programs including Metro Parks' day camps and field trips; and
- Special "HALO" officers with the Louisville Metro Police Department hired to provide security at LMHA's family and high-rise sites.

Computer Training and Neighborhood Networks

LMHA has partnered with Jefferson County Public Schools to continue its basic computer classes at the Neighborhood Networks centers at the Family Investment Center and at Sheppard Square. Two labs opened for use in FY2006 at Iroquois Homes and the Villages at Park DuValle. Under LMHA's original Neighborhood Networks grant, residents received a refurbished computer upon completion of the program supplied through New Life, a business that trains persons with visual impairments to rebuild computers. Initial HUD Neighborhood Networks funding ended FY 2006. LMHA resumed basic computer training without providing free, refurbished computers under its 2007 HUD Neighborhood Networks grant. No changes to this program are anticipated in FY 2011.

HOPE VI Grant Community Supportive Services

LMHA collaborated extensively with residents, community members, and service providers to develop a comprehensive community supportive service (CSS) component of its HOPE VI programs. The Authority and its partners have provided extensive CSS services through all of its HOPE VI programs (Clarksdale and Park DuValle), including case management, life skills training, employment and Section 3 opportunities, evaluation and tracking, and mobility counseling and assistance during relocation. Targeted CSS services will be offered to families impacted by the Sheppard Square Revitalization if LMHA receives HOPE VI funding in 2011.

Elderly/Disabled Supportive Services

A limited program of case management, counseling, home care, and recreational services is provided for Dosker Manor residents. In 2007, ElderServe received a \$250,000 three-year ROSS grant to continue supportive services to the non-elderly population at the site. LMHA staff will continue their discussions with Dosker Manor residents, management and maintenance, and potential supportive service providers regarding the possibility of expanded supportive services in the future.

Homeownership Supportive Services

The Authority applied for and was awarded a \$60,700 grant to expand the supportive services it provides through its Homeownership Program in December 2004, which was used to fund a new Homeownership Specialist position. Current administrative tasks in the HCV Homeownership Program were bifurcated into real estate and counseling-related duties. The Homeownership Specialist is responsible for all aspects of the latter including recruitment, working with counseling agencies, and providing case management-related activities including post-purchase counseling and related IDA accounts.

LMHA continues to provide additional homeownership and other resident services through a variety of funding sources. Agency staff:

- conduct orientations at public housing developments to promote financial skills training, credit counseling and homeownership;
- provide financial skills training and Homeownership IDA match money through partnership with the Center for Women and Families;
- partnerships with local homeownership counseling agencies to provide services to public housing residents who meet the income requirements of LMHA's HCV Homeownership program;
- provide life-skills and employment training to youth through the C.H.O.I.C.E. after-school program and Y.O.U., a program that provides educational and career counseling to youth who have dropped out of school; and
- provide adult employment services through three distinct tracks of work-readiness training:
 - o Basic job-seeking skills to help residents find employment and soft-skills training including communication, problem-solving, and interpersonal skills to help residents maintain employment;
 - o Industry-specific job training in the medical field.

Earned Income Tax Credit

In conjunction with the Metro Government's Beyond Merger blueprint, LMHA will continue its efforts to encourage and assist residents and program participants to take advantage of the Earned Income Tax Credit. LMHA will also continue to partner with the Louisville Asset Building Coalition to provide free tax preparation services, which not only provide an alternative to paid tax preparation services, but connect residents with other financial services as well.

IV. Long Term MTW Plan

The mission of the Louisville Metro Housing Authority is to provide quality, affordable housing for those in need, assist residents in their efforts to achieve financial independence, and work with the community to strengthen neighborhoods. In implementing these goals, LMHA will continue to focus on the following initiatives:

Reposition and redevelop the conventional Public Housing stock

The physical stock of the remaining original family developments owned and managed by LMHA needs to be completely redeveloped. These sites – large, dense, urban and often isolated – need major renovation or replacement. LMHA's goal is to transform these communities in the coming years, replacing the current public housing developments with mixed income communities, while at the same time providing replacement units so that the overall number of families served will not decrease. In the elderly developments, modernization efforts will proceed with an eye toward appropriate and expanded service provision.

Increase housing choice through stronger rental communities and options, and expanded homeownership opportunities.

Homeownership is an important housing choice option for many low-income families, and is an appropriate program given the local market. LMHA's nationally recognized Housing Choice Voucher Homeownership Program is an affordable and secure way for LMHA families to achieve housing self-sufficiency. The Agency can boast that together more than 150 public housing residents and HCV program participants have purchased homes through the program. For the many other families for whom homeownership isn't a viable option, LMHA will look at its public housing communities to see what policy and program changes might strengthen those communities and make them better places to live.

Develop programs and housing stock targeted to populations with special needs not adequately served elsewhere in the community.

MTW allows LMHA to break from HUD established "norms" and therefore maximize the potential of locally available resources to develop programs for people with specific needs. The goal is to meet needs not met by other agencies and to partner with local organizations that have social services programs that need a housing support element. Some of these needs will be transitional; others are for programs that provide more long-term support, particularly for single parents with children where the parent is working or preparing for work by participating in educational programs. Developing comprehensive initiatives in these areas will continue to require regulatory relief.

Encourage program participant self-sufficiency

The MTW agreement allows LMHA to reinvent the FSS program to make it appropriate to local program participant needs. The Demonstration also allows LMHA to rethink other policies – like the rent policy for Clarksdale HOPE VI replacement scattered sites – to encourage families to work towards housing self-sufficiency.

V. Proposed MTW Activities: HUD Approval Requested

LMHA continues to rethink HUD's existing policies and look for ways MTW regulatory relief can be utilized to create innovative new policies and programs designed to achieve the Agency's locally defined goals. The following are newly proposed Moving to Work Activities for 2011.

Acquisition of Mixed-Income Sites for Public Housing

Description

The proposed activity is to acquire units for public housing or vacant land for developing public housing without prior HUD authorization, if HUD does not respond to LMHA's request for authorization within 10 days of the submittal date. All acquired properties must meet HUD's site selection requirements. Approval from the local HUD office will be sought when a pending real estate acquisition deviates from the selection requirements and at the discretion of the Executive Director. Copies of all required forms and appraisals shall be maintained in the project file.

Relation to Statutory Objectives

The proposed activity would increase housing choices for low-income families.

Impact on Statutory Objective

One of the Agency's ongoing initiatives is to reposition and redevelop its conventional public housing stock. The physical stock of the family developments owned and managed by the Housing Authority of Louisville needs to be completely redeveloped. LMHA's goal is to transform these communities in the coming years, replacing the current public housing developments with mixed-income communities, while at the same time providing replacement units so that the overall number of families served will not decrease. Since the mid 1990s, LMHA has undertaken two HOPE VI revitalization projects and recently applied for a third HOPE VI Revitalization grant to redevelop Sheppard Square, one of the three remaining barracks-style general occupancy sites. Due to their obsolete function, LMHA is also in the process of demolishing Iroquois Homes.

Relief from HUD approvals prior to acquisition of a property will expedite Agency's hard work to replace these units, while furthering the MTW statutory objective of increased housing choices. Regulatory relief is critical as LMHA narrows its focus on non-impacted areas of low-minority concentration for future public housing development. Acquisition of properties without prior HUD approval will enhance the Agency's ability to respond quickly to unique market conditions, making LMHA more competitive with other investors and homebuyers. In short, LMHA will be a more competitive homebuyer in tight real estate markets typical of low poverty areas of the City.

Baseline and Benchmarks--Cost Efficiency

Baseline and Benchmarks--Increase Housing Choice

- The length of time from when the purchase agreement is signed to the closing before the activity was implemented. Currently, 4-8 weeks is required to acquire a property.
- The length of time from when the purchase agreement is signed to the closing after implementing the activity. LMHA anticipates staff will be able to acquire a property in 4 weeks by implementing this activity
- The number of sites acquired during the fiscal year. The benchmark number of acquisitions during 2011 is 40 units.

- The number of scattered sites in non-impacted census districts. The benchmark number of scattered sites in non-impacted areas is 20.
- The spatial distribution of LMHA's scattered sites will be used to monitor the concentrations of LMHA public housing.

Data Collection and Metrics

LMHA's development team frequently acquires scattered sites in mixed-income communities. The current acquisition process requires staff to prepare submittals to the local HUD field office containing a description of the site including address, bedroom size and accessibility features. The submittal also contains the appraisals, site comparables and certification that the site meets HUD site selection criteria. Under the activity, staff will continue to prepare these submittals and use the information contained therein to maintain a database of the Agency's scattered site properties. Also, staff will document the number of days from the initial purchase agreement to the closing. LMHA will use geographic information mapping to monitor the spatial distribution of the Agency's scattered-site units and the number of public housing units in non-impacted census tracts.

Authorizations Cited

This MTW activity is authorized under the Amended and Restated Moving to Work Agreement, Attachment C Statement of Authorizations, Section C.13.

The authorization is needed to waive 24 C.F.R. 941.401 which requires LMHA to obtain HUD approval of the site or property acquisition contract before taking title. The authorization is needed to waive this regulation so that LMHA can acquire sites without prior HUD approval and achieve the objective of increasing housing choice and greater cost effectiveness of Federal funds.

Information for Rent Reform

This MTW activity does not involve any change to the regulations found in 24 CFR 960 or 24 CFR 982 Subpart K. Accordingly, it is not a rent reform activity and the additional information requirement is not applicable.

Amend HCV Program Admissions Policy to Allow for Deduction of Child-Care Expenses in Determination of Eligibility

Description

LMHA will amend its Housing Choice Voucher Program and Public Housing Program admissions policy to allow for the deduction of verified ongoing child-care expenses from a working household's gross income when determining income eligibility. In order to qualify for the adjustment, the family must include a head of household and/or spouse with a demonstrated work history for a period of 12 months or longer.

Relation to Statutory Objectives

The proposed activity will increase housing choice for working families with children who may be struggling to make ends meet. In addition, Section 8 and Public Housing assistance will give incentive to families on the upper edge of eligibility to continue working and will help stabilize their household budgets. Also the admission of working families to the HCV and Public Housing programs will reduce housing assistance costs and achieve greater cost effectiveness in federal expenditures.

Impact on Statutory Objective

Family structure and the role of women within the family have changed significantly in the last two decades. Today, over one-half of the mothers who have preschool children are employed outside the home, and nearly an equal number are single parents. More and more parents are turning to non-family members to help care for their children while they are at work. However, child care (provided by a third party such as a commercial day care center) that is safe, reliable and convenient is also costly. Child care expenses can easily account for up to a third of a household's monthly budget and consequently put the family's housing status in jeopardy.

This activity will increase housing choice for these families who would not qualify for the HCV program under normal eligibility requirements. Current regulations require that eligibility for admission to the Housing Choice Voucher Program and Public Housing Program be based on a family's gross income. Simply stated, if the household gross income is above the income limits then the family is not eligible for housing assistance. Families with an employed parent or parents often have to rely on child care to enable them to work. Consequently, a family with a working parent or parents whose gross income is above the limit may have substantial child care expenses and financially the family may be in a far direr situation than a family whose gross income meets the eligibility requirement.

For example, the current income limit for a family of three is \$26,750. Therefore, a working mother of two with an income of \$28,000 per year and child care expenses of \$150 a week is not eligible for the HCV program using current admission requirements. However, the family's "adjusted gross" income is only \$20,200 after deduction of child care expenses, well below the income threshold to be considered eligible for admission to the HCV program.

Baseline and Benchmarks

Given that LMHA monthly program costs substantially exceed our funding at the present time, this initiative will have no impact until we reach the point that we are able to again move applicants from the waiting list to the program. This may not occur until the end of FY 2011.

- Baseline is zero as no families with gross incomes in excess of the income limits are admitted to the program.
- The metric will be the number of families admitted to the HCV Program through this activity. LMHA anticipates that that this initiative will apply to approximately one out of every 25 admissions (4%) so we could expect 15 per year; however, LMHA will not be in a position to admit from the waiting list for at least another year. For the Public Housing Program, LMHA anticipates that only a handful

of applicants will benefit from the initiative. This is because the income limit of eligibility of participation in the Public Housing Program is 80% of AMI. The benchmark for Public Housing is 3 families.

Data Collection and Metrics

LMHA staff will record the number of families admitted to the HCV Program and the Public Housing Program through this activity.

Authorization Cited

Attachment C, Section D.3.a. Eligibility of Participants – Sections 16(b) and 8(o)(4) of the 1937 Act and 24 C.F.R. 5.603, 5.609, 5.611, 5.628, and 982.201. Attachment C, Section C.5 Use of Public Housing as an Incentive for Economic Progress.

The authorization is needed to waive 24 CFR 982.201(b)(3) which states that the annual income (gross income) of a participant family is used to determine income eligibility for admission to the Housing Choice Voucher program. For the Public Housing Program the authorization is needed to waive 24 CFR 960.201(a) and (b)(2) The waiver allows LMHA to deduct verified ongoing child care expenses from the gross income for a family with a parent or parents with a demonstrated work history for a period of 12 months or longer and use the “adjusted gross” income to determine eligibility for the program and achieve the goal of increasing housing choice for working families with children.

Information for Rent Reform

This MTW activity does not involve any change to the regulations found in 24 CFR 960 or 24 CFR 982 Subpart K. Accordingly, it is not a rent reform activity and the additional information requirement is not applicable.

Develop Locally Defined Guidelines for Development, Maintenance and Modernization of Public Housing

Description

The activity is to explore using MTW authority to create locally defined guidelines for the re/development, maintenance and modernization of public housing. LMHA will establish reasonable and modest design guidelines, unit size guidelines and unit amenity guidelines for new and ongoing public housing development activities. All units subject to these guidelines will meet HUD housing quality standards.

In creating the new guidelines, LMHA will focus on strategies for developing sustainable and affordable housing. Strategies may include environmentally conscious rehabilitation techniques that reduce energy consumption, and architectural guidelines designed to minimize life-cycle costs of new public housing developments. LMHA will also explore maintenance protocol of interior environments that increases comfort and reduces health risks to residents. The guidelines will also incorporate local building code requirements, as well as mandatory design criteria for housing developed with low-income tax credits administered by the Kentucky Housing Corporation.

Finally, the guidelines will incorporate environmentally friendly best management practices (BMPs) for commissioning new building systems and the on-going maintenance of the Authority's entire housing portfolio. LMHA's locally defined guidelines will apply to new construction and existing public housing units scheduled for modernization.

Relation to Statutory Objectives

The proposed activity would achieve greater cost effectiveness in Federal expenditures and increase housing choices for low-income families.

Impact on Statutory Objective

One of the Agency's foremost goals is to transform the original family developments owned by the Housing Authority of Louisville (HAL). LMHA's conventional housing stock is aging and by contemporary design standards, including energy conservation, obsolete. Consequently, LMHA has undertaken the systematic redevelopment of HAL's public housing during the last 20 years, replacing existing developments with mixed-income communities, while at the same time increasing housing choices by providing replacement units in mixed-income areas. LMHA has incorporated a myriad of green and energy saving measures into the new housing. LMHA continues to improve and maintain over 4,000 units of low-income public housing in the portfolio. For example, LMHA is testing non-toxic maintenance products at several developments and indoor climate controls systems have been updated at Avenue Plaza, an high rise for senior and disabled families.

Agency-wide, for the fiscal year ending June 30, 2009, LMHA-paid utilities totaled \$7.8 million. By implementing environmentally conscious design protocol LMHA will significantly reduce energy consumption, minimizing the overall environmental impact of the project. Most importantly, green building will increase residents' comfort and health. LMHA will be able to demonstrate an aptitude for "green" design and construction. When green design and low-income tax credit design requirements are implemented together, LMHA will have a "green" advantage for competitive funds. The use of LMHA funds as financial leverage to develop additional low-income housing, will achieve greater effectiveness of Federal funds for low-income housing development. Finally, locally defined guidelines will ensure that unit sizes and amenities are culturally relevant. For example, units subject to the new guidelines may specify contemporary technologies such as broadband internet.

Baseline and Benchmarks

- Baselines and benchmarks will be established after the locally defined guidelines have been drafted, discussed at a public hearing and approved by HUD.

Data Collection and Metrics

The LMHA development team staff keep detailed records of every public housing unit acquired, developed or constructed, including location, square footage, bedroom size, construction type, amenities, accessibility features and the total development cost. For units developed by the Authority, architectural drawings showing building systems are also kept on file. The files are maintained and can easily be accessed at the Authority's central offices.

In addition, LMHA finance staff keep track of utility consumption levels by incremental unit (i.e., kilowatt hour of electricity, 1,000 gallons of water, and 100 cubic feet of gas) for each AMP. The savings before and after improvements are made can easily be monitored using the reports prepared monthly and annually. Cost efficiencies will be calculated using local average utility rates for the year adjusted for extraordinary conditions including unusually high/low temperatures, increased demand for resources such as coal, and/or severe drought.

Authorizations Cited

This MTW activity is authorized under the Amended and Restated Moving to Work Agreement, Attachment C Statement of Authorizations, Section C.12. This authorization waives certain provisions of Sections 4, 5, and 9 of the 937 Act and 24 C.F.R. 941.202, 941.401, and 941.403.

Information for Rent Reform

This MTW activity does not involve any change to the regulations found in 24 CFR 960 or 24 CFR 982 Subpart K. Accordingly, it is not a rent reform activity and the additional information requirement is not applicable.

VI. Ongoing MTW Activities: HUD Approval Previously Granted

This section provides information detailing LMHA's ongoing MTW activities, including evaluation criteria and specific waivers to be used. LMHA is not using, or have plans to use, a consultant to conduct evaluations of ongoing MTW activities in FY 2011.

LMHA has made an effort to include all previously approved MTW activities. Any exclusion is unintentional and should be considered continuously approved. If additional previously approved activities are discovered, LMHA will add them to subsequent plans and reports.

Activities that Reduce Costs and Achieve Greater Cost Effectiveness

Locally Defined Definition of Elderly

The activity, proposed and implemented in FY 2008, is to pilot the following local definition of elderly: any family whose HOH or spouse is age 55 or above. LMHA experienced decreasing occupancy rates at its elderly/disabled-only high-rises for many years prior to adopting a local definition of elderly for these communities. Opening up these sites to non-disabled households between ages 55 and 61 has raised occupancy rates and increased the pool of 1 bedroom units available to these applicants. Higher occupancy rates at these sites improve LMHA's operating revenues, achieving greater cost effectiveness. No changes are expected to be made in FY 2011.

Explore HUD's Streamlined Demolition and Disposition Application Process for MTW Agencies

Proposed and approved in the FY 2009 Plan, this activity has been tabled. HUD investigated the possibility of streamlined demolition/disposition activities for MTW agencies but found that it was not feasible under MTW. Out of concern for residents' rights and the public process, HUD decided that MTW agencies must follow the established procedures for demolition/disposition of property. This activity will remain dormant through FY 2011.

Standard Medical Deduction

Proposed and implemented in the FY2009 Plan, disabled and elderly families are eligible to receive a \$1,600 standard medical deduction. The cost reductions from this activity are administrative savings due to reduction in verifications and paperwork. The initiative covers families in both Public Housing and the HCV program. Taken together, Public Housing and HCV program reduced the cost to process applicants and conduct rent calculations by \$34,462 in FY 2009. The activity achieved greater cost effectiveness in federal expenditures. No changes are expected to be made in FY 2011.

Alternate Year Reexamination Schedule

LMHA proposed and implemented a biennial schedule for reexaminations with the FY 2008 Plan. For Public Housing, each year 50% of the elderly and disabled families age 55+ residing in Public Housing receive a full reexamination of eligibility on the anniversary of their lease-up date. For the HCV program, elderly and disabled families age 55+ in the Housing Choice Voucher program are required to appear for a full reexamination every other odd numbered calendar year (referred to as an "ON" year). In an even numbered year (referred to as an "OFF" year) families are required to complete a mini-recertification packet and mail it to the Authority.

In fiscal year 2009, the alternate year reexamination schedule reduced costs in the public housing program by about 50% or \$8,500. The Housing Choice Voucher program achieved no cost savings in 2009 because all clients were scheduled for a full reexamination; however in FY 2008 the activity

helped LMHA obtain a \$17,390 savings due to a substantial reduction in staff time spent conducting income verifications. No changes to this activity are anticipated.

Lease-Up Incentives for New Residents at Dosker Manor

LMHA proposed and implemented the activity in FY 2010. The activity is to give lease-up incentives to new residents at Dosker Manor, one of the Agency's elderly/disabled high-rises located in downtown Louisville. Because Dosker Manor's occupancy rate has remained below 90% for some time now, new residents receive a waiver of the initial deposit and the first month's rent free. LMHA anticipates the incentives will increase occupancy rates and defray fixed operating expenses at the site. No change to this activity is anticipated.

Simplification of the Public Housing Development Submittal

LMHA proposed and implemented the activity in FY 2009. The activity is a simplified proposal for each acquired or developed public housing property. Twice yearly, LMHA also submits a six month report summarizing the Agency's acquisition and development activities to the HUD Louisville Field Office. The activity has reduced the amount of time staff spend preparing development submittals and reduced the average length of time to close on a property. No changes to this activity are expected to be made in FY 2011.

Flexibility in Third-Party Verifications for HCV Homeownership

Proposed and implemented in the FY2009 Plan, applicants to the HCV Homeownership program are allowed to provide income verification including employment verification from employer, child support verification, statements for all bank accounts, proof of CDs at the bank, pension plan verification and proof of all medical costs including prescriptions. Also, income verification is valid for 8 months. Cost savings through the elimination of staff time spent obtaining verifications and conducting reviews has been achieved. No changes are expected to be made in FY 2011.

Activities that Increase Housing Choices for Low-Income Families

Special Referral MTW-HCV Programs (Project Women, Center for Women and Families)

Special referral programs are intended to address the needs of persons not otherwise met in the community and provide the voucher as incentive for families to move toward economic self-sufficiency. LMHA has established special referral programs with two housing and support services providers at three facilities. Families with specific needs often face multiple barriers to achieving their self-sufficiency goals. LMHA's special referral HCV programs are a strong incentive for participants to enroll and complete the program as the current waitlist for S8 vouchers includes over 12,100 applicants. It also increases housing choice for low-income families interested in these programs.

Residents can be referred through the program staff to LMHA directly for voucher assistance provided the resident meets S8 eligibility requirements. While voucher recipients are initially required to reside on site and meet the program requirements, their voucher resumes full portability after they successfully graduate from the program. As a participant moves from the site, LMHA issues a voucher to the next eligible applicant.

In addition, unit inspections are held once per year concurrently at the site. This inspection protocol was implemented in 2009 at all special referral program facilities.

- **The Villager - Center for Women and Families (CWF)**
Proposed and implemented in the FY 2005 Plan, LMHA allocates up to 17 vouchers to a special referral program with the Center for Women and Families for their long-term transitional housing

on their downtown campus. Programs at the Center focus on the elimination of domestic violence, sexual violence and economic hardship. Voucher utilization was 100% as of September 30, 2009. Also, 3 portable vouchers were issued to program graduates in 2009. This activity has increased housing choice and cost effectiveness therefore no change to the activity in FY 2011 is proposed.

- **Family Scholar House - Project Women**

Proposed and implemented in the FY 2008 Plan, LMHA allocates up to 56 vouchers to a special referral program with Project Women for their Scholar House facility. Single heads of households often face multiple barriers to furthering their education and obtaining employment that will provide their families with adequate income to become self-sufficient. Project Women participants (single parent, attending school). Voucher utilization was 95% as of June 30, 2009. No voucher holders have graduated from the program. Even so, no change to the activity is expected to be made in FY 2011.

- **Downtown Scholar House - Project Women/Spalding University**

This activity was proposed in the FY 2010 Plan but has not been implemented. The proposed activity is to allocate Housing Choice Vouchers annually to a special referral program with Project Women and Spalding University at the Downtown Scholar House. The number of vouchers set aside for program participants in FY 2011 has been changed from 56 to 43. No other changes to this activity are expected in FY 2011.

Local Housing Choice Voucher Homeownership Program – Amount and Distribution of Homeownership Assistance

This activity was proposed and implemented in the FY 2006 Plan. LMHA revised its HCV Administrative Plan to allow for the utilization of a two-bedroom payment standard for all one bedroom eligible HCV Homeownership households and maintains the 110% FMR local payment standard and the 120% FMR in exception rent areas for the Homeownership program. No changes will be made to this policy in 2011.

Exception Payment Standards for HCV Homeownership

Proposed in the FY 2009 Plan and implemented that year, this activity adjusts payment standards for HCV Homeownership to 120% of FMR in homeownership Exception Payment areas using Census 2000 Owner Occupied Median Value instead of Renter Occupied Median gross rent to calculate exception payment census tracts. In fiscal year 2009, program homebuyers' average increase in buying power was \$11,458; four homeowners bought in exception payment districts, and; LMHA homebuyers live in 22 of 26 Metro Council Districts. No changes in this activity are planned for 2011.

Public Housing Sub-lease Agreement with Catholic Charities for Emergency Temporary Housing

This activity was proposed and implemented in FY 2010. LMHA has agreed to sublease up to 30 public housing units to Catholic Charities as emergency temporary housing for victims of human trafficking. In many cases, victims either lack identification and other documentation or are unable to obtain it without great difficulty; therefore all verification requirements and age-related occupancy criteria are waived for the initial six-month occupancy period. Victims also receive preference for the public housing program at the expiration of the six-month period. In FY 2010, the initial grace period was extended to nine months. No change to this activity is expected to be made in FY 2011.

Activities that Give Incentives toward Economic Self-Sufficiency

Earned Income Disregard

This activity was proposed in the LMHA 2009 Plan and implemented that year. The activity is to give a \$7,500 earned income disregard to elderly families in the Housing Choice Voucher Program who's only other source of income is their Social Security entitlement. While the disregard currently only affects a small number of families, elderly families who go to work in the future will be able to retain all of the income that falls below the threshold. No changes are expected to be made in FY 2011.

Term Limits and Employment/Educational Work Requirements for Clarksdale Single Family Public Housing Replacement Units

This activity was proposed in the Agency's 2007 Annual Plan and implemented during 2007. The activity is a five-year limitation on residency in the new scattered site, single family public housing ACC rentals created off-site under the Clarksdale HOPE VI Revitalization program. In addition, heads of household must be employed and work at least 20* hours per week to be eligible for these units. In FY 2009, 2 families at the Clarksdale replacement units moved to market rate rental or homeownership.

*Due to the downturn in the national economy, the work requirement has been reduced from 30 hours to 20 hours. No additional changes are expected to be made in FY 2011.

Increased Flat Rents and Occupancy Criteria Changes for Scattered Sites

LMHA proposed this initiative in the 2010 Annual Plan and implemented that year. Flat rents for the Agency's scattered sites have been raised and adjusted based on the square footage, location, age and amenities at the property as rent comparables for the site are completed. All households residing in the units will ultimately be subject to the revised rent structure. Households have the choice of the new flat rent or a rent based on 30% of their income at their next recertification date after the rent increase becomes effective. No changes to this activity are planned for 2011.

VII. Sources and Uses of Funds

This section describes LMHA's projected revenues and expenditures for all of LMHA's programs and use of MTW fungibility. This statement is for the fiscal year ending June 30, 2011.

The LMHA's 2010 - 2011 operating plan provides for an overall, consolidated deficit of \$2,508,000. This deficit originates in the Section 8 Voucher Program, and is offset by an anticipated surplus in the COCC.

Housing Choice Voucher Program

The Housing Choice Voucher program will operate at a deficit due to a high utilization rate. The Agency has leased more total vouchers in the current fiscal year than current funding levels will support and anticipates that will continue through the coming fiscal year.

The number of applicants on the waitlist for the Housing Choice Voucher program had been growing at an alarming rate for several years. In an effort to provide relief to these low-income families, LMHA increased the number of housing choice vouchers under lease. This was possible because of the freedoms offered by the MTW program and because HUD funded the Section 8 Voucher Program at more than 100% of eligibility for two consecutive years.

LMHA expects the voucher utilization rate to reach more reasonable levels during the fiscal year 2011. This will be accomplished primarily through attrition. As voucher holders leave the program, new vouchers will not be issued until the annual costs of those vouchers is more commensurate with the annual income received by the Section 8 Program. Additionally, utility allowances to participants will be reduced, and rental increases to landlords will be limited. Also, new vouchers issued in 2011 will not be funded at more than 105% fair market rate.

Low Income Public Housing

The Public Housing Program (AMPs) is budgeted as a "break-even budget". However, the break-even budget includes transfers from the Capital Program (\$2,429,000), and transfers between AMPs (\$1,944,000). These transfers are necessary for the following reasons:

- a) Extremely low interest rates. Current interest rates are approximately 0.2% to 0.3%. LMHA can invest in only the most conservative investments, which reduces interest income. Before the current economic climate, LMHA received rates of 5% or greater.
- b) To operate and manage AMPs at a high level and maintain a balanced operating budget at each. The HUD approved project expense levels (PEL) for some AMPs do not adequately provide for management services at the level deemed necessary by LMHA.

LMHA's Board of Commissioners has approved the use of the funding fungibility authority within the MTW program to augment the Public Housing Program with Capital Fund dollars. Public housing operating reserves could also have been used to absorb expected shortfalls. However, the Board of Commissioners has established a policy of maintaining 4 months of operating expenses in reserves. These reserves provide adequate cash flow in case of delays in HUD funding (which has occurred over the years), fund emergencies or disasters that may occur, and keep the Authority on sound financial ground.

Uses of Single-Fund Flexibility

LMHA continues to use single-fund flexibility under Attachment C of the MTW Agreement. LMHA exercises its authority to move funds and project cash flow among projects and programs as the

Agency deems necessary to further its mission and cost objectives. In 2011, LMHA anticipates using MTW single budget flexibility to use Federal funding across traditional program lines as follows:

- The transfer of \$2,429,000 from the Capital Fund Program to the Public Housing Program.

In addition, LMHA has identified four MTW activities which may require fungibility to address local issues:

- **Multi-Cultural Family Assistance Program (Estimated cost is \$50,000-\$60,000.)**

This activity was proposed in the LMHA FY 2008 Plan and will be implemented this calendar year. Louisville continues to experience a growing demand from Somali and other African immigrant families living in the Metro area for housing and social and support services. Since these families have differing beliefs, customs and lifestyles that can pose a challenge to adapting to a very different country and culture, LMHA has proposed to hire a staff person who is knowledgeable about African-immigrant cultures and languages. The staff will be able to address both the needs of the families and the Agency while assisting in property management, operations and lease enforcement. LMHA plans to implement this activity in FY 2011.

- **Homeownership Program Maintenance Specialist (Estimated cost is \$50,000-\$60,000.)**

LMHA proposed this initiative in the 2009 Annual Plan, and it has not yet been fully implemented. LMHA plans to restructure the current homeownership inspection, training and consultation process (these three duties are currently split among different individuals) and instead steer all the tasks through a Home Maintenance Staff (HMS). LMHA is evaluating internal staff capacity to perform these duties and determining whether the position will require a part-time or full-time schedule. LMHA may hire an HMS in FY 2011. The position will be funded using Section 8 reserves.

- **Compact Fluorescent Lights Trade-in Pilot Program for Avenue Plaza Residents (Estimated cost is \$**

Proposed in the FY 2010 Annual Plan and will be implemented in FY 2010, the activity is a pilot program to exchange existing incandescent light bulbs with fluorescent lights (CFLs) in the light fixtures and lamps in residents' apartments at Avenue Plaza. The program waives the normal \$4 per bulb maintenance fee that was previously charged to replace incandescent bulbs within residents' apartments. The waved maintenance fee and low purchase price will be quickly offset by the average estimated energy cost savings of \$20-\$60 per bulb over the life of the CFL.

- **Weatherization and Energy Efficiency Pilot with HCV Homeownership Properties (E-Homes) (Estimated cost is \$25,000)**

This activity was proposed in the LMHA 2010 Plan and was implemented in January, 2010. E-HOMES grants 25 HCV homeowners up to \$2,000 for an energy audit, and weatherization services and energy efficiency upgrades to their home. LMHA expects that these improvements will help reduce homeowners' utility consumption and stabilize their household budgets. No changes to this activity are anticipated to be made in FY 2011.

LOUISVILLE METRO HOUSING AUTHORITY

CONSOLIDATED BUDGET FYE 6/30/11

(1000s)

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SOURCES OF FUNDING	2010 - 2011 PUBLIC HOUSING	2010 - 2011 CENTRAL OFFICE COST CENTER (COCC)	2010 - 2011 CAPITAL & REPLCT HOUSING FUNDS	2010 - 2011 HOUSING CHOICE VOUCHERS (SEC 8)	2010 - 2011 STATE & LOCAL FUNDS	2010 - 2011 CONSOLIDATED BUDGET
FEDERAL SUBSIDY	\$18,711		\$11,630	\$68,968		\$99,309
DWELLING RENTAL	5,882					\$5,882
EXCESS UTILITIES	133					\$133
NON-DWELLING RENTAL	117					\$117
FEE INCOME		10,520				\$10,520
INTEREST INCOME	46	22		\$20		\$88
OTHER INCOME	295	43				\$338
TRANSFERS (from other funds or AMP to AMP)	4,372					\$4,372
TOTAL SOURCES	\$29,556	\$10,585	\$11,630	\$68,988	\$0	\$120,759
USES OF FUNDING						
ADMINISTRATION	2,395	4,177		\$3,110		\$9,682
FEE EXPENSE	2,859		1,019	\$2,137		\$6,015
RESIDENT SERVICES	423	38	67	722		\$1,250
UTILITIES	6,535	6				\$6,541
MAINTENANCE	10,910	2,237		3		\$13,150
PROTECTIVE SERVICES	1,105	3		7		\$1,115
GENERAL	3,300	2,354		753		\$6,407
CAPITAL EXPENSE			8,115			\$8,115
RENTAL ASSISTANCE PAYMENTS	85			66,426		\$66,511
TRANSFERS (to other funds or AMP to AMP)	1,944	108	2,429			\$4,481
TOTAL USES	\$29,556	\$8,923	\$11,630	\$73,158	\$0	\$123,267
SURPLUS (DEFICIT)	\$0	\$1,662	\$0	(\$4,170)	\$0	(\$2,508)

**OPERATING
BUDGET
Detailed
2011**

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Line Item	Acct #	Beecher 002	PUM	Parkway 003	PUM	Sheppard 004	PUM	Iroquois 005	PUM	Dosker 012	PUM
Gross Rent Potential		\$984,960	\$108.00	\$835,560	\$110.00	\$430,320	#####	\$471,420	\$81.00	\$1,181,952	\$144.00
Vacancy Loss		(41,960)	(4.60)	(54,560)	(7.18)	(11,320)	(2.89)	(71,420)	(12.27)	(184,952)	(22.53)
Net Dwelling Rent	3110	\$943,000	103.40	\$781,000	102.82	\$419,000	107.11	\$400,000	68.73	\$997,000	121.47
Excess Utilities	3120	14,000	1.54	50,000	6.58	29,000	7.41	10,000	1.72		0.00
Non-Dwelling Rent	3190		0.00	6,000	0.79		0.00	6,000	1.03		0.00
Total Rental Income		957,000	104.93	837,000	110.19	448,000	114.52	416,000	71.48	997,000	121.47
Interest Income	3610	7,000	0.77	7,000	0.92	3,000	0.77	8,000	1.37	7,000	0.85
Other Income	3690	63,000	6.91	56,000	7.37	22,000	5.62	38,000	6.53	40,000	4.87
Total Other Income		70,000	7.68	63,000	8.29	25,000	6.39	46,000	7.90	47,000	5.73
Asset Mgmt Fee - Sites	3693		0.00		0.00		0.00		0.00		0.00
Management Fees	3694		0.00		0.00		0.00		0.00		0.00
Bookkeeping Fee	3695		0.00		0.00		0.00		0.00		0.00
Central Services Fees	3696		0.00		0.00		0.00		0.00		0.00
Adm Fee - Mixed Finance	3697		0.00		0.00		0.00		0.00		0.00
Other Fees	3698		0.00		0.00		0.00		0.00		0.00
Total Fee Revenue		0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
Operating Subsidy	3691	3,538,706	388.02	3,169,468	417.25	2,071,191	529.45	3,846,321	660.88	2,148,058	261.70
Interfund Transfers - Sec 8	3692		0.00		0.00		0.00		0.00		0.00
Interfund Transfers - CF	3692.50		0.00		0.00		0.00		0.00		0.00
Interfund Transfers - COCC or AMPS	3692.60		0.00	337,986	44.50		0.00		0.00	1,386,665	168.94
Total Income		\$4,565,706	500.63	\$4,407,454	580.23	\$2,544,191	650.36	\$4,308,321	740.26	\$4,578,723	557.84
Administrative Salaries	4110	\$286,000	31.36	\$241,000	31.73	\$190,000	48.57	\$250,000	42.96	\$221,000	26.92
Legal	4130	12,000	1.32	9,000	1.18	5,000	1.28	7,000	1.20	6,000	0.73
Training	4140	1,000	0.11	1,000	0.13	1,000	0.26	1,000	0.17	1,000	0.12
Travel	4150.10		0.00		0.00		0.00	100	0.02		0.00
Accounting / Auditing	4171	3,000	0.33	2,000	0.26	1,000	0.26	2,000	0.34	3,000	0.37
Sundry . . .	4190 . . .	83,600	9.17	77,100	10.15	45,800	11.71	63,900	10.98	73,700	8.98
Total Administrative Expense		385,600	42.28	330,100	43.46	242,800	62.07	324,000	55.67	304,700	37.12
Asset Mgmt Fee - Sites	4185	91,200	10.00	75,960	10.00	39,120	10.00	58,200	10.00	82,080	10.00
Management Fee	4186	398,634	43.71	342,808	45.13	175,993	44.99	155,934	26.79	343,376	41.83
Bookkeeping Fee	4187	63,195	6.93	54,345	7.15	27,900	7.13	24,720	4.25	54,435	6.63
Adm Fee - Mixed Finance	4189		0.00		0.00		0.00		0.00		0.00
Total Fee Expense		553,029	60.64	473,113	62.28	243,013	62.12	238,854	41.04	479,891	58.47
Tenant Services Salaries	4210	30,000	3.29	25,000	3.29	13,000	3.32	19,000	3.26	27,000	3.29
Recreation, Publications, etc.	4220	17,950	1.97	15,300	2.01	7,925	2.03	9,375	1.61	14,450	1.76
Tenant Serv-FSS Case Mgmt	4230.05	25,000	2.74	22,000	2.90	8,000	2.04	11,000	1.89	2,000	0.24
Tenant Serv-Eviction Prev Pgm	4230.17	21,000	2.30	14,000	1.84	8,000	2.04	12,000	2.06	10,000	1.22
Total Tenants Services Expense		93,950	10.30	76,300	10.04	36,925	9.44	51,375	8.83	53,450	6.51
Water	4310	219,018	24.02	198,005	26.07	125,852	32.17	119,363	20.51	128,168	15.62
Electricity	4320	413,244	45.31	338,695	44.59	205,460	52.52	128,055	22.00	443,705	54.06
Gas	4330	228,216	25.02	300,559	39.57	202,934	51.87	380,874	65.44	157,248	19.16
Sewer	439010	231,463	25.38	218,772	28.80	121,740	31.12	128,807	22.13	171,424	20.88
Total Utilities Expense		1,091,941	119.73	1,056,031	139.02	655,986	167.69	757,099	130.09	900,545	109.72
Maintenance Labor	4410	543,000	59.54	697,000	91.76	339,000	86.66	432,000	74.23	696,000	84.80
Maintenance Materials	4420	44,000	4.82	115,000	15.14	36,000	9.20	28,000	4.81	73,000	8.89
Maintenance Contracts . . .	4430 . . .	864,400	94.78	1,063,000	139.94	489,600	125.15	588,900	101.19	1,067,300	130.03
Total Maintenance Expense		1,451,400	159.14	1,875,000	246.84	864,600	221.01	1,048,900	180.22	1,836,300	223.72
Security Labor	4460	16,000	1.75	13,000	1.71	7,000	1.79	10,000	1.72	14,000	1.71
Security Materials	4470	600	0.07	500	0.07	300	0.08	400	0.07	500	0.06
Security Contracts	4480	53,000	5.81	57,000	7.50	55,000	14.06	52,000	8.93	485,000	59.09
Total Security Expense		69,600	7.63	70,500	9.28	62,300	15.93	62,400	10.72	499,500	60.86
Insurance	4510	104,500	11.46	93,000	12.24	65,000	16.62	114,500	19.67	69,500	8.47
Terminal Leave	4530	6,168	0.68	6,880	0.91	3,872	0.99	5,008	0.86	6,752	0.82
Employee Benefits	4540 . . .	360,940	39.58	402,600	53.00	226,464	57.89	293,287	50.39	395,175	48.15
Collection Losses	4570	28,290	3.10	23,430	3.08	12,570	3.21	12,000	2.06	29,910	3.64
Other General Expense	4590	5,000	0.55	500	0.07	2,500	0.64	500	0.09	3,000	0.37
Total General Expense		504,898	55.36	526,410	69.30	310,406	79.35	425,295	73.07	504,337	61.44
Rental Assistance Payments	4710		0.00		0.00		0.00		0.00		0.00
Transfers to Other Funds	4711		0.00		0.00		0.00		0.00		0.00
Transfers to AMPs or COCC	4711.15	415,288	45.54		0.00	128,161	32.76	1,400,398	240.62		0.00
			0.00		0.00		0.00		0.00		0.00
Total Expense		\$4,565,706	500.63	\$4,407,454	580.23	\$2,544,191	650.36	\$4,308,321	740.26	\$4,578,723	557.84
Surplus / (Deficit)		\$0	\$0.00	\$0	\$0.00	\$0	\$0.00	\$0	\$0.00	\$0	\$0.00

St Catherine 013	PUM	Ave & 550 Apts 14	PUM	SS I-V, Newburg 017	PUM	Lourdes & Bishop 018	PUM	HOPE VI Replcpts 034	PUM	Total Sites	PUM
\$360,612 (35,612) \$325,000	\$189.00 (18.66) 170.34 0.00 0.00	\$609,444 (78,444) \$531,000	\$171.00 (22.01) 148.99 0.00 0.00	\$579,852 (49,852) \$530,000 15,000 0.00	\$177.00 (15.22) 161.78 4.58 0.00	\$331,968 (7,968) \$324,000	\$182.00 (4.37) 177.63 0.00 0.00	\$1,003,104 (371,104) \$632,000 15,000 105,000	\$216.00 (79.91) 136.09 3.23 22.61	\$6,682,848 (800,848) \$5,882,000 \$133,000 \$117,000	\$134.00 (16.06) 117.94 2.67 2.35
325,000	170.34	531,000	148.99	545,000	166.36	324,000	177.63	752,000	161.93	6,132,000	122.95
2,000	1.05	3,000	0.84	3,000	0.92	2,000	1.10	4,000	0.86	\$46,000	0.92
2,000	1.05	8,000	2.24	24,000	7.33	10,000	5.48	32,000	6.89	\$295,000	5.92
4,000	2.10	11,000	3.09	27,000	8.24	12,000	6.58	36,000	7.75	341,000	6.84
	0.00		0.00		0.00		0.00		0.00	\$0	0.00
	0.00		0.00		0.00		0.00		0.00	\$0	0.00
	0.00		0.00		0.00		0.00		0.00	\$0	0.00
	0.00		0.00		0.00		0.00		0.00	\$0	0.00
	0.00		0.00		0.00		0.00		0.00	\$0	0.00
	0.00		0.00		0.00		0.00		0.00	\$0	0.00
0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
395,338	207.20	862,247	241.93	1,205,292	367.92	385,226	211.20	1,088,681	234.43	\$18,710,528	375.17
	0.00		0.00		0.00		0.00		0.00	\$0	0.00
	0.00	559,326	156.94	635,033	193.84	702,324	385.05	532,006	114.56	\$2,428,689	48.70
140,091	73.42	79,105	22.20		0.00		0.00		0.00	\$1,943,847	38.98
\$864,429	453.06	\$2,042,678	573.14	\$2,412,325	736.36	\$1,423,550	780.46	\$2,408,687	518.67	\$29,556,064	592.64
\$67,000	35.12	\$127,000	35.63	\$167,000	50.98	\$139,000	76.21	\$145,000	31.22	\$1,833,000	36.75
1,000	0.52	2,000	0.56	6,000	1.83	1,000	0.55	6,000	1.29	\$55,000	1.10
1,000	0.52	1,000	0.28	1,000	0.31	1,000	0.55	1,000	0.22	\$10,000	0.20
	0.00		0.00		0.00		0.00		0.00	\$100	0.00
1,000	0.52	1,000	0.28	1,000	0.31	1,000	0.55	1,000	0.22	\$16,000	0.32
12,800	6.71	22,100	6.20	28,300	8.64	47,400	25.99	26,200	5.64	\$480,900	9.64
82,800	43.40	153,100	42.96	203,300	62.06	189,400	103.84	179,200	38.59	2,395,000	48.02
19,080	10.00	35,640	10.00	32,760	10.00	18,240	10.00	46,440	10.00	\$498,720	10.00
85,631	44.88	147,418	41.36	138,334	42.23	84,496	46.32	164,450	35.41	\$2,037,074	40.85
13,575	7.11	23,370	6.56	21,930	6.69	13,395	7.34	26,070	5.61	\$322,935	6.48
	0.00		0.00		0.00		0.00		0.00	\$0	0.00
118,286	61.99	206,428	57.92	193,024	58.92	116,131	63.67	236,960	51.02	2,858,729	57.32
6,000	3.14	12,000	3.37	11,000	3.36	6,000	3.29	13,000	2.80	\$162,000	3.25
3,625	1.90	6,450	1.81	5,975	1.82	3,700	2.03	6,550	1.41	\$91,300	1.83
1,000	0.52	2,000	0.56	7,000	2.14		0.00	12,000	2.58	\$90,000	1.80
1,000	0.52	1,000	0.28	1,000	0.31	12,000	6.58		0.00	\$80,000	1.60
11,625	6.09	21,450	6.02	24,975	7.62	21,700	11.90	31,550	6.79	423,300	8.49
40,087	21.01	44,507	12.49	97,471	29.75	11,961	6.56	66,645	14.35	\$1,051,077	21.08
137,262	71.94	233,148	65.42	207,574	63.36	126,783	69.51	352,620	75.93	\$2,586,546	51.86
11,150	5.84	48,871	13.71	195,873	59.79	29,990	16.44	150,905	32.49	\$1,706,620	34.22
39,130	20.51	49,863	13.99	119,483	36.47	15,668	8.59	94,773	20.41	\$1,191,123	23.88
227,629	119.30	376,389	105.61	620,401	189.38	184,402	101.10	664,943	143.18	6,535,366	131.04
69,000	36.16	289,000	81.09	339,000	103.48	251,000	137.61	248,000	53.40	\$3,903,000	78.26
8,000	4.19	160,000	44.89	101,000	30.83	51,000	27.96	79,000	17.01	\$695,000	13.94
152,900	80.14	504,100	141.44	650,600	198.60	296,200	162.39	634,500	136.63	\$6,311,500	126.55
229,900	120.49	953,100	267.42	1,090,600	332.91	598,200	327.96	961,500	207.04	10,909,500	218.75
3,000	1.57	6,000	1.68	6,000	1.83	3,000	1.64	7,000	1.51	\$85,000	1.70
100	0.05	200	0.06	200	0.06	100	0.05	300	0.06	\$3,200	0.06
96,000	50.31	96,000	26.94	2,000	0.61	115,000	63.05	6,000	1.29	\$1,017,000	20.39
99,100	51.94	102,200	28.68	8,200	2.50	118,100	64.75	13,300	2.86	1,105,200	22.16
24,000	12.58	30,000	8.42	36,000	10.99	18,000	9.87	40,000	8.61	\$594,500	11.92
1,024	0.54	3,056	0.86	3,688	1.13	2,808	1.54	2,912	0.63	\$42,168	0.85
59,815	31.35	179,025	50.23	215,737	65.85	164,589	90.24	170,362	36.68	\$2,467,994	49.49
9,750	5.11	15,930	4.47	15,900	4.85	9,720	5.33	18,960	4.08	\$176,460	3.54
500	0.26	2,000	0.56	500	0.15	500	0.27	4,000	0.86	\$19,000	0.38
95,089	49.84	230,011	64.54	271,825	82.97	195,617	107.25	236,234	50.87	3,300,122	66.17
	0.00		0.00		0.00		0.00	85,000	18.30	\$85,000	1.70
	0.00		0.00		0.00		0.00		0.00	\$0	0.00
	0.00		0.00		0.00		0.00		0.00	\$1,943,847	38.98
	0.00		0.00		0.00		0.00		0.00	\$0	0.00
\$864,429	453.06	\$2,042,678	573.14	\$2,412,325	736.36	\$1,423,550	780.46	\$2,408,687	518.67	\$29,556,064	592.64
\$0	\$0.00	\$0	\$0.00	\$0	\$0.00	\$0	\$0.00	\$0	\$0.00	\$0	\$0.00

VII. Administrative

A. Board Resolution

The Board of Commissioners of the Louisville Metro Housing Authority passed resolution No. 23-2010 on March 16, 2010 approving the 2011 Moving to Work Annual Plan and adopting the Annual Moving to Work Plan Certifications of Compliance.

B. Agency-directed Evaluations of the MTW Demonstration

LMHA has not directed an evaluation of its MTW program beyond those to be conducted and reported on in the MTW Annual Report and the impact analysis and annual reevaluation of ongoing rent reform initiatives.

Appendix A. Board of Commissioners Resolution to Approve the FY 2011 MTW Annual Plan

RESOLUTION NO. 23-2010 (3/16/10)

RESOLUTION APPROVING LMHA'S MOVING TO WORK
PROGRAM FY2011 ANNUAL PLAN

Item No. 5g

WHEREAS, the Louisville Metro Housing Authority (LMHA), as the Housing Authority of Louisville (HAL), executed a Moving to Work (MTW) Agreement on August 2, 1999 and an Amended and Restated Agreement on April 15, 2008 which provides LMHA with the authority to investigate and adopt new policies and to flexibly use HUD funding; and

WHEREAS, as part of the Amended and Restated MTW agreement, an Annual Plan for Fiscal Year 2011 must be developed and submitted to HUD to formally enable the Authority to fully use the policy and budget flexibility provided to participants in the MTW Program; and

WHEREAS, a Board Resolution approving the proposed MTW Annual Plan and required Certification must be included in the submission provided to HUD; and

WHEREAS, LMHA staff will distribute the Annual Plan to the Board of Commissioners and will conduct a Public Hearing on April 12, 2010 to discuss the proposed FY 2011 MTW Annual Plan before its submission to HUD.

NOW, THEREFORE, BE IT RESOLVED BY THE LOUISVILLE METRO HOUSING AUTHORITY BOARD OF COMMISSIONERS that the FY 2011 MTW Annual Plan is approved pending the outcome of the public hearing, and that the Executive Director and Contracting Officer, Tim Barry, is hereby authorized to submit this Annual Plan to the U.S. Department of Housing and Urban Development.

cc: Tim Barry
Wavid Wray
Will Seay
Sarah Laster ✓

RESOLUTION BACKGROUND STATEMENT

RESOLUTION APPROVING LMHA'S MOVING TO WORK
PROGRAM FY2011 ANNUAL PLAN

Item No. 59

I. STATEMENT OF FACTS

Moving to Work (MTW) is a deregulation demonstration program legislated by Congress in 1996 that provides increased autonomy for selected Public Housing Authorities (PHAs) to meet specific local housing needs. The MTW Demonstration Program provides participating PHAs flexibility to test various housing approaches that achieve greater cost effectiveness, provide incentives to residents to obtain employment and become economically self-sufficient, and to increase housing choices for low-income families. MTW legislation allows participating housing authorities to combine federal resources from the Operating Budget, Capital Fund and the Housing Choice Voucher Tenant-Based Rental Assistance programs, and the flexibility to efficiently utilize these HUD funding sources.

In October 1997, the former Housing Authority of Louisville was chosen as one of 24 MTW demonstration awardees. HAL'S MTW agreement, which specified the regulatory relief afforded to the organization, was finalized and signed on August 2, 1999, and was originally for a five-year period. This agreement, which now covers the Louisville Metro Housing Authority, was to expire as of June 30, 2005. LMHA was fortunate to receive a one-year extension, followed by an additional three-year extension.

On December 20, 2007 HUD notified LMHA of its intent to amend and restate MTW agreements with participating PHAs. This new agreement was adopted by the Board in a resolution on April 15, 2008 and will extend participation in the MTW program until June 30, 2019.

MTW demonstration agencies are still required to prepare and submit MTW Annual Plans in lieu of the Public Housing Annual Plan as is currently required of other agencies. The FY2011 Annual Plan will be effective from July 1st, 2010 to June 30th, 2011.

Copies of the proposed FY 2011 MTW Annual Plan will be distributed to the Board of Commissioners and will be discussed at a Public Hearing on April 12, 2010 prior to submission of the FY 2011 Annual Plan to HUD.

As part of the FY 2011 Annual Plan submission, Executive Director Tim Barry will be required to certify a number of statements as required by HUD. A list of these certifications is attached.

RESOLUTION BACKGROUND STATEMENT

RESOLUTION APPROVING LMHA'S MOVING TO WORK
PROGRAM FY2011 ANNUAL PLAN

Item No. 59

II. ALTERNATIVES

- A. Approve the resolution authorizing the submission of the proposed FY 2011 Moving to Work Annual Plan pending the outcome of the public hearing.
- B. Do not approve the resolution authorizing submission of the proposed FY 2011 Moving to Work Plan.

III. RECOMMENDATION

Staff recommends Alternative "A".

IV. JUSTIFICATION

- LMHA, in consultation with residents, has developed the proposed FY 2011 Moving to Work Annual Plan.
- Approval of this resolution is a contractual requirement under the MTW program that will allow LMHA to move forward and take advantage of the flexibility afforded to Moving to Work sites.

Submitted by: Tim Barry
Executive Director
March 16, 2010

Appendix B. Documentation of Public Hearing

2011 MOVING TO WORK (MTW) ANNUAL PLAN PUBLIC HEARING

MONDAY, APRIL 12, 2010

6:00 PM

**DOSKER MANOR
413 EAST MUHAMMAD ALI BLVD.
BUILDING A, NUTRITION ROOM**

Louisville Metro Housing Authority Public Hearing to discuss the 2011 MTW Annual Plan

LMHA will conduct a public hearing to discuss the Agency's DRAFT 2011 Moving to Work (MTW) Demonstration Program Annual Plan, amendment of the 2009 Moving to Work (MTW) Annual Plan with the Definition of Substantial Deviation and Significant Amendment as an attachment, and modification of an ongoing rent reform activity. The Definition of Substantial Deviation/Significant Amendment attachment is part of the 2011 Annual Plan which will be available for review on March 11th to April 11th, 2010 on the LMHA website (www.lmha1.org) and at LMHA's Central Offices, located at 420 S. 8th Street, or by mail as requested.



Louisville Metro Housing Authority
420 South Eighth Street
Louisville, Kentucky 40203
Phone: 502-569-3420
Fax: 502-569-3459

**For Further Information
Or to Arrange Transportation
Call (502) 569-4471**

2011 MOVING TO WORK (MTW) ANNUAL PLAN

PUBLIC HEARING

APRIL 12, 2010

6:00 PM, DOSKER MANOR

AGENDA

- **New Moving To Work Activities**
 - Acquisition of sites for public housing without prior HUD approval.
 - Amend Housing Choice Voucher program admissions policy to allow for deduction of child-care expenses in determination of eligibility.
 - Local design guidelines for public housing development, modernization and maintenance.
- **Capital Fund Activities**
 - Capital Projects in 2011.
 - Federal stimulus funds - American Recovery and Reinvestment Act (ARRA).
- **Greening Initiatives**
- **Changes in the Housing Stock**
- **Leasing**
- **Revitalization Activities**
 - Liberty Green.
 - Neighborhood Stabilization Program.
 - Sheppard Square HOPE VI application.
 - Housing for veterans.
- **Substantial Deviation and Significant Amendment to the Annual Plan**

MOVING TO WORK PUBLIC HEARING

April 12, 2010

6:00p.m.

	NAME	ADDRESS	ZIP CODE	HOUSING DEVELOPMENT OR AGENCY REPRESENTING	PHONE NUMBER
Example:	John Doe	123 Marshall St. #42	40202	Clarksdale	555-5555
1	Colleen Kaleb	LMHA			
2	Danar Aban	Avenue Paza			
3	WALID WARSU	LMHA			
4	Lee Harper	LMHA			
5	Q.T. Williams	LMHA			
6	Shella Pennington	LMHA			
7	Cathy Hines	MITC	40206	MHC	584-6858
8	Bill Salengood	701 Hancock	40203	PC (MNR)	887-7343
9	Willie Ann	LMHA	40209		
10	Deborah Giber	415 E. Muhammad Ali	C-1504	DoSKer	297-7676
11	Donna Bell	413 E. M.	A810		
12	Emma Bush	413 E. M. v h	618A		690-4008
13	Doug Gardner	413 E. M. v h	1209A		712-9459
14	JAMES BLACK	413 E. M.	521A		712-2196
15	HABIBA HUIR				
16					
17					
18					
19					
20					
21					
22					
23					
24					
25					

MOVING TO WORK PUBLIC HEARING

April 12, 2010

6:00p.m.

Example:	NAME	ADDRESS	ZIP CODE	HOUSING DEVELOPMENT OR AGENCY REPRESENTING	PHONE NUMBER
	John Doe	123 Marshall St. #42	40202	Clarksdale	555-5555
1	Betty Thompson	415 E. Muhammad	40202	Dosker Manor	585-9741
2	LESLIE BELL	413 E. H.A.	40202	Dosker Manor	716-1983
3	David A. Jackson	413 E. Muhammad	40202	Dosker Manor	581-9563
4	Angel Morgan	413 E. Muhammad	40202	Dosker Manor	682-1301
5	Chachila Wade	413 E. Muhammad	40202	Dosker Manor	773-4222
6	Marvin Calvin	1219			
7	KAREN BROWN	1312 Muhammad	40202		
8	Rev. Bernard Stiles	413 E. Muhammad	40202	Dosker Manor	384-3033
9	Mary Ann H. Brown	303 So 10 St	40202	LMHA	775-5453
10					
11					
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FY 2011 MOVING TO WORK DEMONSTRATION PROGRAM ANNUAL PLAN
PUBLIC HEARING AT DOSKER MANOR
APRIL 12, 2010

Tim Barry: We are here to talk about...and we make this annual pilgrimage to Dosker Manor...to talk about the Housing Authority's annual plan. And as I say every year, we call it the Moving to Work Annual Plan but it really has nothing to do with moving or working necessarily. It is what we plan to do, hope to do, at the Authority over the next year. We like to have the opportunity to share our suggestions and ideas, first and foremost, with the people who reside in public housing because you're the ones most directly impacted by what we are proposing to do. I'm going to very quickly go through some of the initiatives that you should also have a copy of those, I think. You do. Things that we are proposing to do next year that's different from the stuff we've done in the past and a lot of what we have done in the past we are, of course, continuing. You will have ample opportunity to comment. My comments and summary discussion will be very brief so you will have ample opportunity to say what you want, either about Moving to Work, although I would prefer that you keep your comments confined to what's in the annual plan, but if you have other issues having to do with the Housing Authority or Dosker Manor or whatever, I would ask that you save those until after the meeting and we'll hang around and try to help you as best we can. I would like to recognize some LMHA, Louisville Housing Authority staff, but also, first and foremost the Chairman of our Board, Mr. Manfred Reid, who many of you know. He is here with us every year...very active in what the Housing Authority is doing. I'm going to ask Manfred to say a couple of words here in a minute. Mr. Will Seay, Deputy Executive Director of Operations. Wavid Wray, he has all the money, chief financial officer. Art Wasson, head of our Section 8 program. Lee Harper, head of our construction division. And I think we ought to say "thank you Lee" because he is the one who oversaw the new floor here and out there and some other work that is going on. Lee has been overseeing that. He has done a good job trying to marshal all the resources and get the contractors to stay out of people's way but get the job done. In fact, they worked Friday evenings and Saturday mornings so they could stay out of people's way as much as possible. I also want to recognize Nate Northington. He's our Regional Manager and Jeff Ralph who is the second person in charge of money. Between Wavid and Jeff they've got all dough.

Alright, let me go through the initiatives we are proposing for 2011. The first thing we are proposing to do is acquire sites for replacement public housing. We're always in the process of buying replacement housing, new scattered site units, because as you know, we have torn down and removed a lot of public housing in the last few years, not the least of which is the facility next door, Clarksdale and Park DuValle many years ago. We have not replaced all of those units, but we have at least at Clarksdale. But other facilities that we've been tearing down include Iroquois. We've been tearing that down little by little. But we are committed to doing one-for-one replacements. For every public housing unit that comes down, it will be replaced. We are in the hole right now because we have taken more down than we've bought or produced new but we are working against that and trying to buy scattered site properties as soon as we can so we have sufficient replacement housing because the need remains unchanged. It is still very, very great. And what we are asking in this plan so we can move more quickly when we buy this property that we be allowed to buy this property without local HUD's approval. HUD is the Department of Housing and Urban Development and they are the agency to whom we report in terms of our spending of federal monies and for normal acquisitions, not anything extraordinary or something that is an odd purchase, but normal acquisitions, we're asking that we be free from getting local HUD approval so we can move a lot faster. This is no bad reflection on HUD in terms of how quickly they can review our acquisitions but they have a process they have to go through and I think they'd probably be relieved if we didn't bring each and every acquisition or probable purchase to them. So, we are asking that we be free from that so we can buy properties faster.

We are also going to deduct the family's child care expenses when determining applicant eligibility for Housing Choice Vouchers. That is another word for Section 8. In order to qualify for the adjustment the family must include a head of household and/or spouse with a demonstrated work history for a period of 12 months or longer.

This activity will extend Housing Choice benefits to working families who may be struggling to maintain a job and make ends meet due to child care. So, we think that is a very positive thing.

We are also going to implement local design guidelines for the development, modernization and maintenance of public housing so that whatever we buy or build is an asset to a neighborhood, so that it looks like it belongs in that neighborhood because all neighborhoods in this community have certain consistent design standards and we want to maintain those whenever we build or buy or rehab a property. We are also going to encourage the use of anything that serves energy like Energy Star appliances, use of environmentally friendly cleaning products. The Housing Authority for the last year has been on a mission to make this whole agency much greener. We have begun to implement recycling at Avenue Plaza so expect to see that at Dosker within the next year or so to recycle goods. That will eventually end up at all the sites, so we'll do recycling. Avenue Plaza has been the guinea pig for a lot of stuff that we're doing that's "green" which will not only help reduce the amount of stuff we put in our landfill but also reduce our energy costs. We are replacing windows, which we're about to do at Avenue Plaza. Again, I use Avenue Plaza as an example because that's the one where we're trying all this stuff first to make sure it works. So you can expect some of that stuff to appear here at Dosker Manor and all the other facilities we have.

Continuous Capital improvements: we mentioned the windows at Avenue Plaza and blind replacement. We are doing a whole bunch of underground piping at Beecher Terrace because the underground piping that services the boilers and heat is beginning to fail and needs to be replaced. We are also going to do a modernization at The Friary which you know where that is, it's very close. So, we're doing that. I also need to mention that we have been on the receiving end of some stimulus money from the Obama Administration. I think a lot of you have read about what this President has done in terms of trying to stimulate the economy and bring us out of the downturn that we experienced the last eight years and I'll leave it at that. And I think he has been successful at that and it certainly has helped the Housing Authority. We received \$14 million and we have spent it all on Capital improvements for public housing units. We have spent it at a very rapid pace. We have spent 62% of the money we've been awarded already and it doesn't have to be spent until March, 2012. So we're, I think if not leading the pack, close to leading the pack, in this country in terms of spending the money the President gave us which is the point of the exercise. That's what he wanted us to do, put people to work. We've been able to create some jobs and keep people in jobs that may have been out of jobs by now. And hopefully that will put us in a position to get money that's going to be recaptured. When housing authorities haven't spent money like the President asked them to, he's going to take it back and they have been threatening to do that for a long time. And I think that finally we have a fellow that's going to do it. For those housing authorities, some of whom are my colleagues, haven't done right by the money they were given by all of us, from all of us. They are going to take it back and give it to somebody else who is going to spend it right and I hope that we are one of those recipients because that means we can end up doing more jobs like this and other stuff that we've got planned everywhere. I've talked about the green initiatives. They are all in here.

Changes in housing stock...you are obviously well aware of what has occurred in Clarksdale in terms of replacing that and also, what occurred quite a while ago at Park DuValle. We've also been gradually tearing down Iroquois and with all of our demolition of units, again let me emphasize that we are committed, completely and thoroughly committed to doing one-for-one replacements so eventually, it may take some time and will take some time, all those units will be replaced. We have also applied for a HOPE VI grant for Sheppard Square over in Smoketown to do a HOPE VI mixed-income site there like we have done at Liberty Green and Park DuValle and we are still awaiting word on that. We are hopeful we can get the grant but we haven't heard if we're successful or not. It should come any time. We were told that we would hear by February 1 but they got behind. They have a lot on their plates, so I expect any day we will know about Sheppard Square.

I've talked about Liberty Green. We are also participating in the program called Neighborhood Stabilization which is actually a program that the City is doing to take some of these properties that have been foreclosed upon, that have been abandoned, people have walked away, we're trying to help the City get those back into use, rehab

them which is a good program. The City is under a deadline just like all the rest of us in terms of getting this money spent so we are going to help them spend that money. The majority of what we do in terms of Neighborhood Stabilization activity will likely occur in Smoketown. That's a neighborhood...and it doesn't mean to say there aren't other neighborhoods in need...but that's a neighborhood that is in great need and will help us complement what we do at Sheppard Square if we are able to do some rehabbing in Smoketown.

I think that covers it. If you would allow me, I'm going to let Mr. Reid say a few words and then we'll open it up for comments and questions and we'll stay here as long as you like to try to address any issues you may have. Thank you.

Reid: Thank you Mr. Barry. First, I want to thank Mr. Barry for his tenure. This is his tenth year as Executive Director of the Louisville Metro Housing Authority. (applause)

(Tim – Yeah, and I'm only 35 years old!)

Reid: And during those ten years, we have made more progress as it relates to providing quality services to our residents than in any other period in history prior to that time.

(Somali interpreter makes a comment.)

Reid: I will repeat this so that you can interpret it, okay. (He repeats his previous comments so they can be interpreted.) All of our residents have the opportunity toward education and the opportunity to increase their employment and to reach self-sufficiency according to their needs and the size of their family. For those who are not aware we have an educational program established where our residents by participating in the Family Self-Sufficiency Program they can receive scholarships to pay for their education. And we want you to know that if you have children or grandchildren or if any of you would like to participate in our program of Family Self-Sufficiency as it relates to education you can contact our Special Programs Director, Ms. Diane Foster and Mr. Dan Farrell and they will assign you to the program and you'll get all the benefits as it relates to money. To date, we have invested \$1,053,000 in education and other opportunities for our residents. These opportunities also include homeownership. We have a plan by which we can provide you with training as it relates to personal financial banking, home management, parental care and we also have other programs to include care for the elderly. The Housing Authority in the year 2011, we have projected that we will be managing 58,168 units. When you multiply that into a family of four, we will be housing a large portion of our community population. We all welcome the opportunity to speak to you about these programs and we welcome your participation and the participation of your children and your grandchildren. And if you can, please spread the word to all of our residents. It is so important that they come to these meetings. I want to thank each one of you for being here. It means a lot because the effort that our staff puts forth every day is a continuous and ongoing toil and struggle to make sure that every resident has the full opportunity to participate. Also, I would like to say to our immigrant community, we are so thankful that you decided to join us and the Executive Director has proposed a multi-cultural program to extend our services to our immigrant community. And we need all the immigrant residents to participate in that program and help us in our understanding of your customs and your culture so we can properly disperse that information to the general population and help you in your adjustment in the United States of America. And in closing I want to offer a special thanks to our executive staff and to our overall staff because the quality of our services is based on the work and commitment they give to us every day. We also have a Board of Commissioners which governs and determines policy for the Housing Authority and their commitments are strong and each one of us as Board members are a part of you. We are you. I want to thank you so much for coming and I'll return the mike back to Mr. Barry.

Barry: Thank you Mr. Reid. Since I mentioned I'm only 35 years old, I need to recognize someone else who's only 35, Ms. Charlene. I will now turn it open for comments and questions. Cathy, you want to go first?

Cathy Hinko: My name is Cathy Hinko and I am with an advocacy organization for fair and affordable housing. The name of the organization is Metropolitan Housing Coalition. And I want to comment on several parts of the plan. The first thing I would like to say is I think the deduction for child care is fabulous. Thank you very much. It's a great way to use your power. But since we are talking about child care, I want to talk about the 15,000 Jefferson County Public School students who will be homeless this year. The fact that the plans that the Housing Authority have will only contribute to an increase in that number and that past plans have already been a factor in causing homeless children in our community. Mr. Reid talked about 58,000 units there. I think he missed a comma there. Maybe you meant 5,800, but I don't even see that. Fifteen years ago the Housing Authority had over 6,000 public housing units. Currently, they have far less than that and well under 5,000 public housing units. And, they only have an occupancy rate of 99.0% because they are deliberately not renting units. At the point of the worst economic crisis and worst unemployment rate in decades, the Housing Authority is going to tear down over 300 family units and to do that they must give every family currently living at Sheppard Square...they must give the family three choices which means they have to have unoccupied, unused units waiting for people who are forced to move from their neighborhood. The timing could not be worse. This is no time to have 1,000 units of needed, rent-assisted housing unavailable to our community and they are all family units. When you look at the use of Section 8 vouchers, you see that the predominate use is in two and three-bedroom vouchers. That is because that's what people need, family units. But over the years, the Housing Authority has torn down family units and when they do replacement, they replace with, partially with, one-bedroom units. To the point where, although these units are supposed to be for elderly people, they have to define elderly as, well almost Mr. Barry's age. So they are walking away from families in need. Further, when you look at the goal that they say they have is to have mixed-income, but what we have really seen is the goal is to get rid of poor families. Even replacement units that are family sized, a majority of them have higher income standards than regular public housing for families. And when they do mixed-income developments, less than 5% of the families that were living there get to come back. While some of that is the choice of families that have resettled, a track record of 5% over and over shows that what they really want is to get rid of the families that live there. Because they have to use private money to do a public service they have to turn their back on the families that are most in need because sometimes those families have other problems. So, doing Sheppard Square at this moment in time is not a good idea. Also, \$12.5 million from our other money from the federal government for housing...\$12.5 million that could be spent throughout Jefferson County to improve housing conditions for low-income people, the Housing Authority is grabbing that money away from other areas just to support mixed-income housing at Sheppard Square. This could not come at a worst moment in our economic history. Even in the capital expenditures, and by that I mean, you know how you have the big expenditures on a piece of property like a roof or a boiler, not the regular day-to-day repairs, about \$2.5 million is being taken out of that money which should keep up the other family public housing and it's being put in just day-to-day operations. Now, that is not a sustainable way to operate a business. That's robbing your kid's college education fund when they're 17 because you can't control your daily expenses. And even out of the remaining capital money, another \$617,000 is going to Sheppard Square. So, every low-income family who is homeless is paying through their children being homeless to do Sheppard Square. Now, we have to look at why the Housing Authority...well, as I said, the Housing Authority doesn't have a grudge against low-income families, it's the fact that they have to use private money from investors and investors are the ones who have a grudge against low-income families. The Housing Authority can wait for better programs that the Obama Administration will have to redo public housing.

Hinko: Another totally different area I want to comment on is about the rules on when the Housing Authority has to go back to the public if they're changing this plan. They define substantial deviation from the plan when they take a full 20% of the funds they have said they were going to spend one way and spend it another way. It should be 5% because 20%, one-fifth, they can move around without any chance for the public to comment. That's just plain wrong. That means they can take another 20% of their funds and dump it into this mixed-income instead of serving low-income people. And they've done things like this before, like taking Section 8 money to put into support Liberty Green which houses people of mixed-income. As we look at the human misery in our community we just need to put the brakes on Sheppard Square. The other thing is that the Housing Authority paid a bunch of money to a University of Louisville researcher to find out what happened to people who were at Clarksdale. Now,

intellectual integrity would say if you're going to do a study on this you would want the results of this study before you do a new program. But we don't have that study out yet new programs for Sheppard Square and displacing and blowing out a whole neighborhood are still going ahead. Also, when people who lived at Sheppard Square asked about work programs, there were no new or innovative ideas about how to get people involved in work although there is not a very good track record from Clarksdale or from a prior HOPE VI. Now, the Housing Authority does a very job on Section 8 and a very good job on administering day-to-day operations of public housing. My comments are about the plans and how we spend our money in the future. I noticed that Parkway Place only has \$82,000 set aside for capital investment. I saw that \$3 million was spent on Parkway Place through stimulus money. Parkway Place is one of our larger family complexes and we need to do everything to preserve it, for the short term at least. And, Beecher Terrace should be able to go on for a long time. Please do not shortchange Beecher Terrace because you don't have capital funds because they are all going to operations and to Sheppard Square. And I will submit comments in writing as well. Sorry it took so long.

Reid: I want us to give thanks and appreciation to Ms. Hinko. I tell you something, we don't operate in a vacuum. Our operations and our relationship with our community includes every member of this community. And it is by comments like Ms. Hinko brings to us that gives us a thorough universal look at housing and it provides an opportunity to educate our residents. As to the negative aspects of what we are doing and how we can make those improvements based on your recommendations due to the information she's given you. Ms. Hinko has a publication that gives specific details as to her perspective as to our management and operations. She will make that information available to you and as I have asked other residents, take that information, read it but lay it up on the shelf and go back and go over it from time to time. It will help you in your understanding of what we are doing positively. Ms. Hinko, thank you as a housing professional and as a friend to Louisville Metro Housing. Thank you very much.

Barry: Thank you Mr. Reid. Thank you, Cathy. You know we will respond to your comments. I want to mention a couple of things real quick. Beecher Terrace – no plans to do anything with that. We will leave it alone and keep maintaining it. Parkway – if something happens to Parkway, it's way down the list. Thank you. Any other comments? Yes, Ma'am.

Lady: What about Dosker Manor? We hear rumors about Dosker Manor.

Barry: No, Dosker Manor stays.

Bill Gatewood: I would like to make some public comments.

Barry: Yes, by all means.

Bill Gatewood: Thank you. My name is Bill Gatewood and I see some of my old classmates here and some of my old friends. I am the Executive Director of the Presbyterian Community Center. But more importantly, I spent the first 18 years of my life in Beecher Terrace. I'm a Beecher Terrace rat. And I work now in an organization that is joined at the hip with Sheppard Square. In that regard I want to thank the Housing Authority for its insightfulness on behalf of the residents of Sheppard Square which is one of the oldest and most in need of repair and historically significant development which has over approximately 70 Somali families now living there. And so, I really am pleased with the plans that respects the history and integrates the multiculturalism of the present community and your efforts there in this vision. And understand, PCC operates and has operated for over 30 years a quality, affordable child care center that understands the importance of making it affordable to provide child care for residents. I am especially excited that there is a planned revision to address the issue of veterans' housing even though we certainly recognize the critical need for housing for young people in this community. On behalf of the Presbyterian Community Center, we pledge all of our support to help you in this endeavor because we think it is important to the total community. We are delighted that senior housing as well as young people housing is interjected back into the neighborhood because it takes a village to raise a family and often the village

comprises of many of its seniors. And finally I want to personally congratulate Tim Barry and his staff for his ten years of service and their open door. They don't always agree but they always listen. And so we look forward to working with you. And let me close and also live by Manfred Reid. He's such a fixture; I almost forgot to say that. We do have some additional written comments about our child care and the ESL classes we are running and some of the other programs we are working on with the Somali community.

Barry: Thank you, Bill. I appreciate that. And for those who don't know Mr. Gatewood...everybody knows Mr. Gatewood cause he and I have been around for a long time. He's 35 too. We're all 35. Bill, if you're not familiar with the Presbyterian Community Center, but I suspect you probably are, that's been an institution in Smoketown for decades. And one of the reasons I believe Smoketown, despite the downturn in the economy continues to keep its head above water is largely due to the presence of PCC. So, I want to thank my friend and colleague, Mr. Gatewood, for running the show so admirably. Are there any other comments or questions that anyone might have? I want to thank you for coming. We're around. We are not going to hustle off so if something comes to mind, we'll stay around and answer any questions you have.

Will Seay: Tim, I just wanted to comment to everyone that we found out that Ms. Hinko has recently become engaged. (applause) There are a number of us at the Housing Authority who are going to find out who the groom is and get to him first.

Barry: I don't know how Will finds these things out but he does. He was in the CIA in another life. Cathy, Congratulations. I'm happy for you. I won't pry but I'll pry a little. A local person?

Hinko: Pardon.

Barry: A local person?

Hinko: Yes. As I said, retired from Special Education which was all practice for being involved with me.

Barry: I wouldn't say that. No.

Hinko: I can.

Barry: You can. If he says that then you have problems. Well, I want to thank you. We're going to hang around for awhile. I hope you like the improvements here at Dosker Manor. Have a good evening.

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(July 1, 2010 - June 30, 2013).

The Pennyrile Area Development District announces the availability of Request for Proposals for Competitive Negotiations for the procurement of aging services provided under Title III of the Older Americans Act of 1965, Home Care Program, Personal Care Attendant Program, and Adult Day Program. Proposal packets will be available at the Pennyrile Area Development District, 300 Hammond Drive, Hopkinsville, KY 42240 on March 10, 2010. Packets are available on the website at www.peadd.org. or applicants may pick up packets or request a packet be mailed to them.

The Pennyrile Area Development District reserves the right to reject any or all bids or to waive any formalities in the bidding and the right to award the contract(s) on any combination of proposals received and make such changes as may be necessary to benefit the aging program. All proposals shall be addressed to: Chris Sutton, Executive Director, and delivered to the office of the Pennyrile Area Development District on or before 10:00 a.m. CDT, Wednesday, March 31, 2010.

All qualified applicants will receive consideration without regard to race, religion, color, national origin, sex, disability or age. Pennyrile Area Development District is an equal opportunity employer.

Pursuant to KRS 359.230, Arrow Moving & Storage Inc. will hold a public sale Saturday, March 13, 2010 at 10 a.m. The contents of David Zickell will be sold at White's Auction, 6507 Memory Ln., Louisville, KY 40258.

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2002 Kawasaki, VIN#UK7YZE152 A000548, owner Victor Flowers/Tony Hughes

Louisville Metro Housing Authority (LMHA's) Public Hearing MTW Annual Plan Amendment

There will be a public hearing on April 12, 2010 at 6:00 PM at Dosker Manor, Building A, 413 E. Muhammad Ali Blvd, in the Nutrition Room. The subject of the meeting will be the Agency's FY 2011 Moving to Work (MTW) Annual Plan amendment of the FY 2009 Plan with the Definition of Substantial Deviation/Significant attachment and the revised Five-Year Capital Plan, and modification of a rent reform initiative. The Definition of Substantial Deviation/Significant attachment and the revised Five-Year Capital Plan are part of the FY 2011 Annual Plan which will be available for review on March 11, 2010 to April 11, 2010 on the LMHA website (www.LMHA.org) and at LMHA's Central Offices, located at 420 South 8th Street, or by mail as requested. For additional information, call (502) 569-3420.

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DiFabio's Casapela Louisville LLC, mailing address 395 Carroll Gentry Road, Madisonville, KY 42431 hereby declares its intention to apply for a restaurant wine by the drink, beer licenses, no later than March 6, 2010. The business to be licensed will be located at 2311 Frankfort Avenue, Louisville, KY 40206 doing business as DiFabio's Casapela. The members are as follows: Members, Peter B. DiFabio and Laura L. DiFabio, both of 395 Carroll Gentry Road, Madisonville, KY 42431. Any person, association, corporation, or body politic may protest the granting of the license(s) by writing the Department of Alcoholic Beverage Control, 1003 Twilight Tr. Ste. A-2 Frankfort, KY 40601, within 30 days of the date of this legal publication.

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REQUEST FOR PROPOSALS
"Workforce Analysis and Emerging Sector Strategic Analysis & Action Plan"
 Pennyrile Region of Kentucky

The Pennyrile Area Development District (PADD) and the West Kentucky Workforce Investment Board (WKWIB) are seeking a highly qualified firm(s) to assist in the preparation of a Regional Economic Growth Strategy for the Pennyrile Region of western Kentucky. There are two (2) components of the study:

- A Workforce Analysis of the Region
- An Analysis of Emerging Economic Sectors of the Region with Strategies and Action Plan for Economic Growth

This planning initiative hopes to develop a fresh approach to jobs creation and economic growth opportunities by combining workforce outreach projects in conjunction with innovative business development to build a sustainable economy for the future. This project will involve visioning, research, communication and collaboration skills. Experience with strategic assessment; planning; forecasting and development are required. Experience with U.S. Department of Labor and U.S. Department of Commerce program requirements is desirable. The Request for Proposal document can be obtained electronically at www.peadd.org/employ/rfp2.aspx or www.wkwib.org, or by contacting the PADD or WKWIB at:

300 Hammond Drive
 Hopkinsville, Kentucky 42240
 270.886.9484
 Email: rpf2@peadd.info

Proposals will be received through the close of business (4:30 p.m. CDT) on March 17, 2010. Funding for this project is 100% federally funded as provided, in part by a \$250,000 Regional Innovation Grant from the U.S. Department of Labor and \$150,000 (matching) grant from the U.S. Department of Commerce, Economic Development Administration.

lucky 40210 on or before April 2, 2010 at 3:00 p.m. EST. Bid proposals to be marked "Bids for Auditing Services". Bids will be opened at the next scheduled meeting on April 5, 2010 at 7:30 p.m. ENTERED THIS 1ST DAY OF MARCH, 2010. Introduced by: Ms. Renn, Council: All ayes.

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 259 Parker's Mill Road
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Any questions from the prospective Bidders will be accepted in writing until four (4) days prior to the bid opening date. After this date no questions will be answered. These questions must be faxed to Robert Slattery. His fax number is (502) 368-5895. All questions must be put on the Bid Question Form (See Attachment #7).

Robert Slattery.

Noise/Environmental Programs Coordinator

METRO LOUISVILLE BID OPPORTUNITIES
www.louisvilleky.gov

NOTICE TO BIDDERS

Requesting bids from qualified MBE, WBE and SBE suppliers & subcontractors for the Rehabilitation of Mixing and Clarifier Basins No. 1-4 at Crescent Hill WTP in Louisville, KY. Bids are due 4/14/10 @ 11:00 AM. Please respond to: Shook Construction, 4977 Norcutt Place, Dayton, OH 45414 - Phone: 937-276-6666 / Fax: 937-276-6675, Attn. Jeremy Hines. Plan information may be obtained by calling Shook's office. An Equal Opportunity Employer.

to waive any informality or technicality in the bidding. J. Barry Barker Executive Director/TARC

Advertisement for Bid

Sealed Requests for Proposals (RFP) will be accepted at the Louisville Metro Housing Purchasing Department, 3223 South Seventh Street Rd., Louisville, KY. 40216 on Wednesday, March 17, 2010 at 10:00 a.m. for Proposal Number 1216, Contract #FC-2113-CA Developer for Downtown Scholar House Development. For information on obtaining Proposal packets contact Bernard Pincus, (502) 569-3457

Louisville Metro Housing Authority (LMHA's) Public Hearing MTW Annual Plan

There will be a public hearing on April 12, 2010 at 6:00 PM at Dosker Manor, Building A, 413 E. Muhammad Ali Blvd, in the Nutrition Room. The subject of the meeting will be the Agency's FY 2011 Moving to Work (MTW) Annual Plan, amendment of the FY 2009 Plan with the Definition of Substantial Deviation/Significant attachment and the revised Five-Year Capital Plan, and modification of a rent reform initiative. The Definition of Substantial Deviation/Significant attachment and the revised Five-Year Capital Plan are part of the FY 2011 Annual Plan which will be available for review on March 11, 2010 to April 11, 2010 on the LMHA website (www.LMHA1.org) and at LMHA's Central Offices, located at 420 South 8th Street, or by mail as requested. For additional information, call (502) 569-3420.



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Photos by George

Cards have B



Metropolitan Housing Coalition
P.O. Box 4533
Louisville, Kentucky 40204
Phone: (502) 584-6858
Fax: (502) 452-6718

Louisville Metro Housing Authority
FY2010 Moving to Work Annual Plan
Comments by Cathy Hinko
15 April 2010

The Metropolitan Housing Coalition is submitting comments as a follow-up to our comments at the public meeting on April 12, 2010.

IFISCAL RESPONSIBILITY OF LMHA IS IN QUESTION

A. OBJECTION TO TAKING CAPITAL INVESTMENT MONIES MEANT TO KEEP PUBLIC HOUSING DECENT AND USING FOR OPERATIONS

The Housing Authority has capital investment money for public housing. This money is used for major improvements to public housing, beyond just responding to work orders of tenants. It is the indicator of what and who the Housing Authority values. LMHA is removing \$2.5 million from capital investment monies into daily operations. LMHA is not able to function on its operations. This is not sustainable. In the past, LMHA has used Section 8 monies for operations, robbing the low-income people of Louisville by taking money that could support rents for families and using it for operations.

This is not acceptable and LMHA needs to assess its management, which has remained unchanged even as the number of units under LMHA management has diminished. LMHA needs to exercise fiscal restraint instead of taking money from low-income people.

B. OBJECTION TO PROPOSAL THAT TWENTY PERCENT- ONE OUT OF EVERY FIVE DOLLARS CAN BE SPENT DIFFERENTLY FROM THE PLAN WITHOUT HAVING TO PRESENT THE PLAN FOR PUBLIC COMMENT

In a further attempt to obfuscate fiscal irresponsibility, instead of providing transparency in both the plan and the allocation of dollars, LMHA is attempting to hide how money will be spent and to avoid public comment on how money is to be allocated. LMHA is doing this by defining a “substantial deviation” from the plan that is presented for public comment as spending over 20% of funds out of category.

This is not acceptable. This must not be allowed to stand as written. The fiscal situation at LMHA must be remedied instead of obfuscated.

II.SUPPORT FOR CHILD CARE DEDUCTIONS IN ELIGIBILITY DETERMINATIONS

The LMHA has rarely used its Moving to Work powers to help residents. LMHA has almost exclusively used MTW to move money out of helping the lowest income households and into supporting operations or to support housing for higher income households. The proposal to deduct child care expenses for working households when considering eligibility is a good use of the MTW flexibility. This will allow more working families into the program. While this will have the effect of allowing higher income households into the program, the truth is that child care expenses for working families should be deducted when considering eligibility.

III.LMHA'S PLAN SHOWS THAT HOUSING WHICH IS THE SUBJECT OF A FAIR HOUSING COMPLAINT IS PART OF THEIR STOCK

LMHA has denied that public housing in their stock is housing that they are responsible for in the context of fair housing. This is unacceptable. LMHA lists the housing in the MTW report and must be involved with fair housing issues in public housing.

IV.SUGGESTION THAT LMHA INCLUDE AN EMPHASIS ON GETTING VOUCHER LANDLORDS TO DO ENERGY EFFICIENT REHABILITATION

While LMHA has limited monies, several state and local policies include rental housing as eligible for energy efficient rehabilitation programs. LMHA is supportive of having Voucher landlords participate and should list this as an area that they will work towards creatively. One reason that public housing residents are afraid of using Vouchers is the unpredictability of utility costs- LMHA has repeatedly talked about this. With a proposed HOPE VI project which will displace current public housing residents and replace public housing that includes utilities in rent with individually metered public housing, this should be a priority and given a place in the HOPE VI work, should this project move forward.

V.OBJECTION TO HOPE VI DESTRUCTION OF SHEPPARD SQUARE AT THIS TIME

A.LMHA IS DELBERTELY LEAVING PUBLIC HOUSING VACANT DURING THE WORST RECESSION AND HIGHEST RATE OF CHILDREN BEING HOMELESS: ONLY AT 90% OCCUPANCY NOW AND WILL DECREASE EVEN FURTHER

As of December 31, 2009 the Jefferson County Public Schools had over 9% of all students be homeless during this school year; we can predict that this percentage will increase. This means that over 11,000 children in school will be homeless. Yet LMHA is deliberately keeping public housing units empty because they want to tear down 323 family units during the worst economic crisis this country has seen in decades.

Exacerbating this cold hearted folly, LMHA must give each family being displaced from their home three choices of where they can go to, including other public housing sites and Vouchers. So LMHA must deliberately keep another 700 units unused during the worst homelessness our city has experienced.

This is not the only time a program to address Sheppard Square will be available. It is sheer folly and indifference to human suffering that propels this project forward.

B.HOPE VI HAS NOT BEEN SHOWN TO BE BENEFICIAL TO THE AFFECTED FAMILIES.

While LMHA talks about the conditions of Sheppard Square, the same conditions existed in Beecher Terrace 25 years ago and were so successfully remedied that Beecher is the best of the family public housing stock that still uses traditional economic and social entry criteria.

LMHA paid to have a study done about the households displaced by the Clarksdale HOPE VI and does not have any proof that HOPE VI causes an improvement in their lives. While the use of case management may help people, that case management is available through the many programs of LMHA. It is not HOPE VI displacement that helps people. This evaluation is supported by many studies.

C.LMHA HAS CONDUCTED A DECADES LONG CAMPAIGN TO DISINVEST IN LOWEST INCOME FAMILIES WHICH VIOLATES FAIR HOUSING LAWS

LMHA has blasted families out of their homes time and again. College Court, LaSalle Place, Cotter and Lang Homes, Clarksdale, Iroquois Homes and now targeting Sheppard Square. The number of units serving families has diminished substantially. The replacement family units most often have higher economic and social entry criteria. Two thirds of the replacement units for Sheppard Square will have these higher criteria.

This targeting affects households with female heads of household, households with children and African American households. The number of units for the elderly have increased so substantially, that LMHA has to use a waiver to lower the age in the definition of elderly. Families are the target and familial status protections are violated by this sustained campaign. LMHA's waiting lists reflect the need for family housing units, including a growing demand for larger units, including four bedroom units.

Only by changing the proposal for Sheppard Square work, can this violation of fair housing be addressed. The proposal must guarantee that the replacement units are all family units with the number of bedrooms and size of the units being equivalent or larger. All additional entry and occupancy criteria must be removed. Utilities must be included in the monthly rental amount instead of individually metered.



April 16, 2010

Ms. Cathy Hinko
Executive Director
Metropolitan Housing Coalition
P.O. Box 4533
Louisville, KY 40204

Dear Ms. Hinko,

RE: Comments on the FY 2011 Moving to Work (MTW) Annual Plan

Thank you for attending the recent public hearing on the Authority's Draft MTW Annual Plan. We appreciate your interest and input, and your strong commitment to affordable housing within this community. Your comments and this letter of response will be included within the MTW Annual Plan submission.

1. You noted that you believe that LMHA lacks fiscal responsibility and is not using funds appropriately because the Agency plans to augment the Public Housing Program with Capital Fund dollars. LMHA maintains the transfer of funds between programs is not an exercise of robbing one fund/program to the unjustified benefit of another; but rather an overall balancing of the funding appropriations as provided within the HUD funding mechanisms and regulations. The history of Section 8 and the Capital Fund program appropriations from Congress not only indicates bi-partisan support for appropriations, but also documents that traditionally operations is funded at below the full funding eligibility level. Therefore, even without the Moving to Work designation or the "definition of substantial deviation", HUD allows LMHA to transfer up to 10% of the Capital Fund appropriation to the operating budget to support ongoing operations. Also, with regard to the definition of substantial deviation, the ability to modify the Agency's budgets up to 20% of the total funding appropriations is consistent with other public housing authorities in the nation and locally appropriate given the age of the housing stock. Under the definition, LMHA will be able to add new substantial improvement projects, as well as large emergency items such as a failed boiler, to the Capital budget as needed to maintain safe and decent housing.
2. We appreciate your positive feedback on the proposed activity to revise the Housing Choice Voucher program admissions policy to allow for deduction of child care expenses in determination of eligibility. As you noted, this change to the admissions policy will increase housing choices for working families who may be paying a large portion of their income towards child care. LMHA recognizes that child care expenses can strain a family's household budget, and consequently put their housing status in jeopardy. The voucher will help stabilize their household budgets and give incentive to these families to continue working.
3. You mention that LMHA's Plan shows that housing which is the subject of a fair housing complaint is part of the Authority's housing stock. We respectfully request that you provide more detail including the specific site and a description of the fair housing complaint referenced, so we can address your concern.



420 South Eighth Street | Louisville, KY 40203 | 502.569.3400 | fax 502.569.3459





Cathy Hinko
April 6, 2010
Page 2

4. LMHA shares your concern about reducing the cost burden of utilities for Section 8 residents, especially those living in older apartments that may benefit from energy efficiency improvements. LMHA's Green Team and Section 8 staff have included several initiatives in the Agency's Green Action Plan that promote responsible housing choice and energy conservation by the client, and encouraging landlords to improve their housing stock. For example, LMHA is planning to include Energy Star, energy efficiency/weatherization and greening information in public housing and Section 8 contracts/leases. However, your point is well-taken and to this list LMHA has added:

- Explore programs that give incentive to Section 8 landlords to make energy efficiency improvements and/or weatherize their units.

Liberty Green, the Sheppard Square Revitalization Plan, and the 69 scattered site single family homes developed by HPI for LMHA attest to the Agency's commitment to create additional energy efficient homes for low-income families. Indeed, LMHA stands at the forefront of sustainable, affordable housing development in Louisville. LMHA will continue to lead responsible, energy efficient housing efforts as upcoming redevelopment and replacement unit acquisition activities move forward.

5. You also voice your objection to the Sheppard Square HOPE VI Revitalization Plan that is currently pending approval from HUD. LMHA as well as many other PHA's across the nation are firmly committed to eradicating our problem-plagued large family public housing sites. Although these sites served a much needed purpose for many years, policy changes and shifting demographics have resulted in a drastically different living environment for lower-income households than what was envisioned when these sites were originally constructed.

While the physical condition of Sheppard continues to deteriorate, Federal funds for capital improvements and operations are dwindling at an alarming rate. The absence of financial support from Washington - most notable in the early 2000s - is further contributing to the backlog of improvements that need to be made in order to maintain decent and safe housing for low income families in our community. Simply stated, it is not within LMHA's means to perform a comprehensive renovation of Sheppard Square on a scale similar to the modernization of Beecher Terrace conducted in the early 90's. LMHA feels obligated to our partners and residents to pursue every opportunity for additional revitalization funds that presents itself which included HOPE VI in 2009, so despite these tough economic conditions we affirm that applying for the Revitalization grant was an appropriate course of action.

Your concern regarding the outcomes of previous revitalization efforts, specifically Liberty Green, is dually noted. Researchers at the University of Louisville have assured LMHA that the report on the Liberty Green HOPE VI is forthcoming. Preliminary results show that of the relocation offerings, most families chose the HCV relocation voucher and are generally pleased with their new housing and many who took advantage of the case management services have successfully increased their income, if not become self-sufficient. We anticipate similar outcomes with Sheppard Square residents. Access to case management through the HOPE VI will also give incentive to Sheppard Square residents to enroll and complete the FSS program as staff and program capacity is limited.

Furthermore, the Revitalization Plan calls for Sheppard Square to be demolished in a series of phases in order to mitigate the loss of existing units during and after the revitalization. As you noted, LMHA is



420 South Eighth Street | Louisville, KY 40203 | 502.569.3400 | fax 502.569.3459





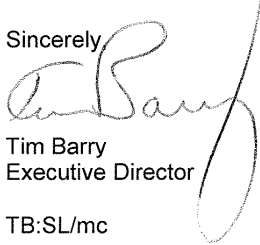
Cathy Hinko
April 6, 2010
Page 3

required by Federal statute to give each displaced family 3 housing choices which, entails reserving a number of units for these families who are entitled under the Uniform Relocation Act. And while this means that the overall number of public housing units will temporarily decrease, the Sheppard Square Revitalization Plan will ultimately sustain the number of housing choices for low-income families in Louisville. LMHA is firmly committed to one-for-one replacement of the 326 units at Sheppard Square.

Finally, LMHA serves over 4,000 families living in the Agency's owned and managed public housing stock. Of those 4,281 families, a large portion (approximately 3,205 families or 75%) are very-low income households, including 2,625 families who are extremely low-income households. In fact, LMHA falls within a narrow range of compliance with HUD income mixing requirements because we serve such a large percentage of very-low and extremely low-income families. And by family type, family households continue to constitute the vast majority of households served by the Agency. Only 744 families are elderly families, constituting less than 20% of the resident population. Because only 10 of the Sheppard Square replacement units located at the newly rehabilitated old PCC will be designated for disabled veterans and their families, it is highly likely that this will continue to be the case. LMHA makes every effort to provide housing choices for everyone.

LMHA values our partnership with the Metropolitan Housing Coalition and looks forward to working with you and other agencies to implement successful MTW initiatives during the upcoming year. It will take these and other innovative approaches during these difficult times to serve all those who need quality, affordable housing. Thank you again for your input on the FY 2011 MTW Annual Plan.

Sincerely,



Tim Barry
Executive Director

TB:SL/mc



420 South Eighth Street | Louisville, KY 40203 | 502 569.3400 | fax 502 569.3459



Appendix C. HUD Certifications

Certification for a Drug-Free Workplace

U.S. Department of Housing
and Urban Development

Applicant Name

Louisville Metro Housing Authority

Program/Activity Receiving Federal Grant Funding

Moving to Work Demonstration Program

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

All Louisville Metro Housing Authority sites.

Check here ☐ if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

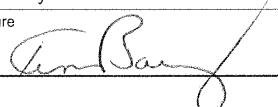
Name of Authorized Official

Tim Barry

Title

Executive Director

Signature

X 

Date

4/17/10

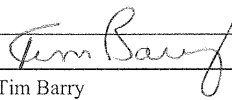
form HUD-50070 (3/98)

ref. Handbooks 7417.1, 7475.13, 7485.1 & .3

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
(See reverse for public burden disclosure.)

Approved by OMB
0348-0046

1. Type of Federal Action: ☒ a. contract ☐ b. grant ☐ c. cooperative agreement ☐ d. loan ☐ e. loan guarantee ☐ f. loan insurance		2. Status of Federal Action: ☐ a. bid/offer/application ☐ b. initial award ☐ c. post-award		3. Report Type: ☐ a. initial filing ☐ b. material change For Material Change Only: year _____ quarter _____ date of last report _____	
4. Name and Address of Reporting Entity: ☒ Prime ☐ Subawardee Tier _____, if known: Congressional District, if known: 4c			5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Louisville Metro Housing Authority 420 South Eighth Street Louisville, KY 40203 Congressional District, if known:		
6. Federal Department/Agency: US Department of Housing and Urban Development			7. Federal Program Name/Description: Moving to Work Demonstration Program CFDA Number, if applicable: _____		
8. Federal Action Number, if known:			9. Award Amount, if known: \$ N/A		
10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI): N/A			b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):		
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.			Signature:  Print Name: Tim Barry Title: Executive Director Telephone No.: (502) 569-3420 Date: 4/17/10		
Federal Use Only:					Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

Appendix D. Annual Statements FY 2010 and FY 2011

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		FFY of Grant:	
PHA Name:	Grant Type and Number	FFY of Grant Approval:	
Louisville Metro Housing Auth	Capital Fund Program Grant No: Replacement Housing Factor Grant No: Date of CFFP:	2007	
	KY 36 P 001 501 07		
Type of Grant	Original Annual Statement Performance and Evaluation Report for Period Ending:	Revised Annual Statement (revision no:) Final Performance and Evaluation Report	Total Actual Cost ¹
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost ¹
		Original	Obligated
1	Total non-CFF Funds		
2	1406 Operations (may not exceed 20% of line 21) ³	2,352,000	4,011,230
3	1408 Management Improvements	88,387	61,437
4	1410 Administration (may not exceed 10% of line 21)	1,131,013	1,056,573
5	1411 Audit		
6	1415 Liquidated Damages		
7	1430 Fees and Costs	705,731	472,255
8	1440 Site Acquisition		
9	1450 Site Improvement	187,135	150,355
10	1460 Dwelling Structures	4,124,616	3,337,113
11	1465.1 Dwelling Equipment-Nonexpendable	180,264	228,942
12	1470 Non-dwelling Structures	638,260	47,051
13	1475 Non-dwelling Equipment	110,806	92,686
14	1485 Demolition		
15	1492 Moving to Work Demonstration		
16	1495.1 Relocation Costs	58,940	126,271
17	1499 Development Activities ⁴		

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFF Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary							
PHA Name:		Grant Type and Number		FFY of Grant:		FFY of Grant Approval:	
Louisville Metro Housing Auth		Capital Fund Program Grant No: Replacement Housing Factor Grant No: Date of CFFP:		KY 36 P 001 501 07		2007 2007	
Type of Grant		Reserve for Disasters/Emergencies		Revised Annual Statement (revision no:)		Final Performance and Evaluation Report	
Original Annual Statement		Performance and Evaluation Report for Period Ending:		Total Estimated Cost		Total Actual Cost¹	
Line	Summary by Development Account	Original	Revised²	Obligated	Expended		
18a	1501 Collateralization or Debt Service paid by the PHA						
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment						
19	1502 Contingency (may not exceed 8% of line 20)	6,761	-				
20	Amount of Annual Grant: (sum of lines 2-19)	9,583,913	9,583,913	9,583,913	9,583,913		
21	Amount of line 20 Related to LBP Activities						
22	Amount of line 20 Related to Section 504 Activities						
23	Amount of line 20 Related to Security - Soft Costs						
24	Amount of line 20 Related to Security - Hard Costs	-	390	390	390		
25	Amount of line 20 Related to Energy Conservation Measures						
Signature of Executive Director		Date		Signature of Public Housing Director		Date	
		7/21/10					

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary									
PHA Name:				Grant Type and Number				Federal FFY of Grant:	
Louisville Metro Housing Authority				Capital Fund Program Grant No: KY 36 P 001 501 07				2007	
				CFFP (Yes/No): No					
				Replacement Housing Factor Grant No:					
Development Number Name/Pha Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
Clarksdale KY 1-1	Revitalization	1460		3,220,215	2,191,503	2,191,503	2,191,503		
Beecher Terrace KY 1-2	Annual A/E Contract	1430			32,978	32,978	32,978		
Beecher Terrace KY 1-2	Tree Trimming	1450			4,200	4,200	4,200		
Beecher Terrace KY 1-2	Dwelling Equipment	1465.1			54,506	54,506	54,506		
Beecher Terrace KY 1-2	Relocation	1495.1			22,419	22,419	22,419		
Beecher Terrace KY 1-2	Automotive Equipment	1475			27,962	27,962	27,962		
Beecher Terrace KY 1-2	Slipends	1408			825	825	825		
Beecher Terrace KY 1-2	Flood Damage repair	1460			2,850	2,850	2,850		
Parkway Place KY 1-3	Pickup Truck	1475			-	-	-		
Parkway Place KY 1-3	Annual A/E Contract	1430			34,386	34,386	34,386		
Parkway Place KY 1-3	Day Care Center Roof	1470			25,835	25,835	25,835		
Parkway Place KY 1-3	Gymnasium Roof	1470			669	669	669		
Parkway Place KY 1-3	Gymnasium Floor Repairs	1470			1,620	1,620	1,620		
Parkway Place KY 1-3	Electrical Gas Conversion Relocation	1460			26,532	26,532	26,532		
Parkway Place KY 1-3	Tree Trimming	1450			41,900	41,900	41,900		
Parkway Place KY 1-3	Dwelling Equipment	1465.1			31,408	31,408	31,408		
Parkway Place KY 1-3	Flood Damage Parkway	1460			56,714	56,714	56,714		

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary						
PHA Name:		Grant Type and Number			Federal FFY of Grant:	
Louisville Metro Housing Authority		Capital Fund Program Grant No. KY 36 P 001 501 07 CFFP (Yes/No): No Replacement Housing Factor Grant No:			2007	
Development Number Name/Pha Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Status of Work
				Original	Revised ¹	
					Funds Obligated ²	Funds Expended ²
Sheppard Square KY 1-4	Replace Roof's	1460		-	169,438	169,438
Sheppard Square KY 1-4	Annual A/E Contract	1430		52,412	52,412	52,412
Sheppard Square KY 1-4	Tree Trimming	1450		2,500	1,675	1,675
Sheppard Square KY 1-4	Miscellaneous Planning	1430		28,000	25,876	25,876
Sheppard Square KY 1-4	Move Shingles left from roof Replacement	1460		20,000	19,232	19,232
Sheppard Square KY 1-4	Automotive Equipment	1475		13,981	13,981	13,981
Sheppard Square KY 1-4	Stipends	1408		2,350	3,400	3,400
Sheppard Square KY 1-4	Dwelling Equipment	1465.1		15,000	15,846	15,846
Iroquois Homes KY 1-5	Tree Trimming	1450		81,800	-	
Iroquois Homes KY 1-5	Dwelling Equipment	1485.1		24,178	4,717	4,717
Iroquois Homes KY 1-5	Stipends	1408		1,350	2,415	2,415
Dosker Manor KY 1-12	Stairwells & Misc Painting	1460			362,111	362,111
Dosker Manor KY 1-12	Dosker Manor A/E Elevator	1430			13,213	13,213
Dosker Manor KY 1-12	Annual A/E Contract	1430		45,390	45,390	45,390
Dosker Manor KY 1-12	Resident Stipends	1408		30,400	26,102	26,102
Dosker Manor KY 1-12	Dwelling Equipment	1465.1		47,258	50,436	50,436
Dosker Manor KY 1-12	Security Systems Upgrade	1460			390	390
St. Catherine KY 1-13	Dwelling Equipment	1485.1		3,200	4,580	4,580
St. Catherine KY 1-13	Resident Stipends	1408		8,100	8,580	8,580

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² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary									
PHA Name:			Grant Type and Number				Federal FFY of Grant:		
Louisville Metro Housing Authority			Capital Fund Program Grant No. KY 36 P 001 501 07				2007		
			CFFP (Yes/No): No						
			Replacement Housing Factor Grant No:						
Development Number Name/Pha Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Funds Expended ²		Status of Work		
				Original	Revised ¹	Funds Obligated ²			
Avenue Plaza KY 1-14	Annual AVE Contract	1430		16,863	16,863	16,863			
Avenue Plaza KY 1-14	Install Chiller	1460		154,400	90,063	90,063			
Avenue Plaza KY 1-14	Additional Chiller Costs	1460			4,875	4,875			
Avenue Plaza KY 1-14	Community Room Flooring	1470			18,927	18,927			
Avenue Plaza KY 1-14	Resident Stipends	1408		11,300	8,415	8,415			
Avenue Plaza KY 1-14	Dwelling Equipment	1465.1		17,557	20,995	20,995			
Avenue Plaza KY 1-14	550 Bldg Concrete Stairs	1450			368	368			
Avenue Plaza KY 1-14	Tree Trimming	1450		2,000	1,175	1,175			
Lourdes Hall KY 1-18	HVAC Wall Units	1460		30,000	-	-			
Lourdes Hall KY 1-18	Dwelling Equipment	1465.1		12,000	18,970	18,970			
Lourdes Hall KY 1-18	Automotive Equipment	1475		50,743	50,743	50,743			
Lourdes Hall KY 1-18	Resident Stipends	1408		5,700	10,100	10,100			
Bishop Lane KY 1-18	Replace Carpet	1460		25,000	-	-			
Bishop Lane KY 1-18	Roof Replacement	1460			426	426			
Bishop Lane KY 1-18	Pave Parking Lot	1450		30,000	-	-			
Bishop Lane KY 1-18	Replace Sidewalk	1450		25,000	-	-			
550 Apartments KY 1-14	Annual Asbestos Lead Removal	1460		125,000	64,337	64,337			
550 Apartments KY 1-14	Environmental Consultant	1430		150,000	-	-			

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Annual Statement/Performance and Evaluation Report
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 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
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 Expires 4/30/2011

Part I: Summary						
PHA Name:		Grant Type and Number			Federal FY of Grant:	
Louisville Metro Housing Authority		Capital Fund Program Grant No: KY 36 P 001 501 07			2007	
		CFFP (Yes/No): No				
		Replacement Housing Factor Grant No:				
Development Number Name/Pha Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work
				Original	Revised ¹	Funds Expended ²
Scattered Sites KY 1-17	Tree Trimming	1450		4,370	6,270	6,270
Scattered Sites KY 1-17	Dwelling Equipment	1465.1		10,097	12,291	12,291
Scattered Sites KY 1-17	Stipends	1408		1,225	1,600	1,600
Scattered Sites KY 1-17	Exterior Step Replacement	1450		38	70,567	70,567
Scattered Sites KY 1-17	Relocation	1495.1		1,018	1,018	1,018
Scattered Sites KY 1-17	Kitchen Renovations	1460		-	709	709
Scattered Sites KY 1-34	Ice Storm Damage	1450			17,051	17,051
Scattered Sites KY 1-34	Dwelling Equipment	1465.1		10,973	15,193	15,193
Scattered Sites KY 1-34	Relocation	1495.1		11,922	11,922	11,922
Scattered Sites KY 1-34	Tree Trimming	1450		5,449	7,149	7,149
Scattered Sites KY 1-34	St. Martin's Windows	1460		282,364	282,364	282,364
Scattered Sites KY 1-34	Fegenbush Burn Unit	1460		64,277	64,277	64,277
Scattered Sites KY 1-34	Lease to Own Unit A/E	1430			375	375
Scattered Sites KY 1-34	Flood Damage	1460		1,292	1,292	1,292
The Oaks KY 1-27	Flood Damage	1480		100,000		
The Oaks KY 1-27	Relocation	1495.1			90,912	90,912
Park DuValle 1-30	Phase II Capital Contribution	1410		78,740	-	
Park DuValle 1-31	Phase III Capital Contribution	1410		35,822	35,822	35,822
Park DuValle 1-32	Phase IV Capital Contribution	1410		58,060	58,065	58,065

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[illegible]

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
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Part I: Summary		FFY of Grant:		2008	
PHA Name:		FFY of Grant Approval:		2008	
Louisville Metro Housing Auth		KY 36 P 001 501 08			
Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: Date of CFFP:					
Type of Grant		Revised Annual Statement (revision no:) Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report			
Line	Summary by Development Account	Original	Total Estimated Cost	Obligated	Total Actual Cost¹
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	3,952,000	3,952,000	3,952,000	162,868
3	1408 Mangagment Improvements	38,000	38,000	209	209
4	1410 Administration (may not exceed 10% of line 21)	1,196,760	1,115,170	1,115,170	1,115,170
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	1,373,984	287,830	135,830	135,830
8	1440 Site Acquisition				
9	1450 Site Improvement	626,000	682,139	58,411	3,411
10	1460 Dwelling Structures	2,715,913	3,542,721	3,542,722	1,099,042
11	1465.1 Dwelling Equipment-Nonexpendable	151,000	156,103	46,273	46,272
12	1470 Non-dwelling Structures	15,000	207,461	207,461	386
13	1475 Non-dwelling Equipment		2,745	2,745	2,745
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs	-	144,591	144,591	3,521
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

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³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary				FFY of Grant: 2008	
PHA Name:		Grant Type and Number		FFY of Grant Approval: 2008	
Louisville Metro Housing Auth		Capital Fund Program Grant No: KY 36 P 001 501 08			
		Replacement Housing Factor Grant No:			
		Date of CFFP:			
Type of Grant		Reserve for Disasters/Emergencies		Revised Annual Statement (revision no:)	
Original Annual Statement		Performance and Evaluation Report for Period Ending:		Final Performance and Evaluation Report	
Summary by Development Account		Total Estimated Cost		Total Actual Cost	
Line		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	113,087	52,984	-	-
20	Amount of Annual Grant: (sum of lines 2-19)	10,181,744	10,181,744	9,205,412	2,569,453
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date		Signature of Public Housing Director	
		7/21/16			

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U.S. Department of Housing and Urban Development
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Expires 4/30/2011

Part I: Summary							Federal FFY of Grant:	
PHA Name: Louisville Metro Housing Authority							2008	
Grant Type and Number Capital Fund Program Grant No: KY 36 P 001 501 08 CFFP (Yes/No): No Replacement Housing Factor Grant No:								
Development Number Name/Pha Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost	Status of Work	
				Original	Revised ¹			
						Funds Obligated ²		Expended ²
Clarksdale 1-1	Comprehensive Modernization	1460			398,018	398,018		398,018
Beecher 1-2	Annual A/E Contract	1430		20,000				
Beecher 1-2	Relocation for Heat Piping Replacement	1495.1			3,521	3,521		3,521
Beecher 1-2	Tree Trimming	1450		6,000				
Beecher 1-2	Heat Pipe Replacement	1460			700,000	700,000		675,495
Beecher 1-2	Dwelling Equipment	1465.1		34,000		8,030		8,030
Parkway 1-3	Drainage Lines	1450		600,000		2,272		2,272
Parkway 1-3	Electrical / Gas Conversion	1460		923,913	1,323,913	1,323,913		
Parkway 1-3	Gym Roof	1470		15,000	124,561	124,561		
Parkway 1-3	Gym Floor	1470			57,900	57,900		386
Parkway 1-3	Annual A/E Contract	1430		34,000				
Parkway 1-3	Boiler Replacement	1460			1,037,020	1,037,020		3,020
Parkway 1-3	Boiler Replacement AE	1430			109,611	109,611		109,611
Parkway 1-3	Boiler Replacement AE (ARRA-FC-2924-0	1430			10,160	10,160		10,160
Parkway 1-3	Electrical Gas Conversion Relocation	1460			10,695	10,695		10,695
Parkway 1-3	Tree Trimming	1450		10,000				
Parkway 1-3	Dwelling Equipment	1465.1		35,000		18,415		18,415

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U.S. Department of Housing and Urban Development
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Part I: Summary							Federal FY of Grant:		2008
PHA Name:							Capital Fund Program Grant No: KY 36 P 001 501 08		
Louisville Metro Housing Authority							CFFP (Yes/No): No		
							Replacement Housing Factor Grant No:		
Development Number Name/Pha Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Funds Obligated Funds 2 Expended ²		Total Actual Cost	Status of Work	
				Original	Revised ¹				
Sheppard Square 1-4	Roof Replacement	1460			9,293	9,293	9,293	9,293	
Sheppard Square 1-4	HOPE VI	1430		1,221,984	-				
Sheppard Square 1-4	Annual A/E Contract	1430		52,000	52,000				
Sheppard Square 1-4	Tree Trimming	1450		10,000	10,000				
Sheppard Square 1-4	Dwelling Equipment	1465.1		25,000	25,000	2,954	2,954	2,954	
Iroquois Homes 1-5	Dwelling Equipment	1465.1			885	885	885	885	
Iroquois Homes 1-5	Relocation	1495.1			141,070	141,070	141,070		
Dosker Manor 1-12	Annual A/E Contract	1430		30,000	30,000				
Dosker Manor 1-12	Resident Stipends	1408		20,000	20,000	209	209	209	
Dosker Manor 1-12	Stairwells & Misc Painting	1450			1,139	1,139	1,139	1,139	
Dosker Manor 1-12	Dwelling Equipment	1465.1		35,000	35,000	5,255	5,255	5,255	
St. Catherine Ct 1-13	Dwelling Equipment	1465.1			685	685	685	685	
St. Catherine Ct 1-13	Resident Stipends	1408		5,000	5,000				
Avenue Plaza 1-14	Window and Blind Replacement	1460		1,452,000	-				
Avenue Plaza 1-14	Install Chiller	1460			61,261	61,261	61,261		
Avenue Plaza 1-14	Annual A/E Contract	1430		16,000	16,000				
Avenue Plaza 1-14	Resident Stipends	1408		8,000	8,000				
Avenue Plaza 1-14	Dwelling Equipment	1465.1		13,000	13,000	2,500	2,500	2,500	
Avenue Plaza 1-14	Lobby, Corridor, Women's Restroom, & P	1470							

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 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary			Grant Type and Number		Federal FY of Grant:	
PHA Name:			Capital Fund Program Grant No. KY 36 P 001 501 08		2008	
Louisville Metro Housing Authority			CFFP (Yes/No): No			
			Replacement Housing Factor Grant No:			
Development Number Name/Pha Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work
				Original	Funds Obligated 2	Funds Expended 2
Lourdes Hall 1-18	Resident Stipends	1408		5,000		
Lourdes Hall 1-18	Copier	1475		2,745	2,745	2,745
Lourdes Hall 1-18	Dwelling Equipment	1465.1		9,000	4,016	4,016
Bishop Lane 1-18	Pave Parking Lot	1450		30,000	30,000	
Bishop Lane 1-18	Replace Carpet	1470			25,000	
Bishop Lane 1-18	Replace Sidewalk	1450		25,000	25,000	
Bishop Lane 1-18	Environmental Consultant - Remediation	1430				
Bishop Lane 1-18	Annual Asbestos/Lead Removal	1460				
Scattered Sites 17/34	Kitchen Renovations	1460		340,000	2,521	2,521
Scattered Sites 17/34	Exterior Step Replacement	1450				
Scattered Sites 17/34	Dwelling Equipment	1465.1			1,397	1,397
Scattered Sites 17/34	Staircase St. Catherine	1460				
Scattered Sites 17/34	Dwelling Equipment	1465.1			2,136	2,136
Scattered Sites 17/34	St. Martin's Windows	1460				
Park DuValle	Phase II Capital Contribution	1410		31,890		
Park DuValle	Phase III Capital Contribution	1410		36,896	36,896	36,896
Park DuValle	Phase IV Capital Contribution	1410		59,800	59,800	59,800

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[illegible]

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Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
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Part I: Summary		FFY of Grant: 2009	
PHA Name:		FFY of Grant Approval: 2009	
Louisville Metro Housing Auth	Grant Type and Number Capital Fund Program Grant No. Replacement Housing Factor Grant No: Date of CFFP:	KY 36 P 001 501 09	
Type of Grant	Original Annual Statement	Revised Annual Statement (revision no:)	
	Performance and Evaluation Report for Period Ending:	Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost ¹
	Original	Revised ²	Obligated
1	Total non-CFP Funds		Expended
2	1406 Operations (may not exceed 20% of line 21) ³	2,446,000	-
3	1408 Mangagment Improvements	49,800	-
4	1410 Administration (may not exceed 10% of line 21)	1,810,798	1,143,315
5	1411 Audit		1,143,315
6	1415 Liquidated Damages		
7	1430 Fees and Costs	898,545	-
8	1440 Site Acquisition		
9	1450 Site Improvement	435,000	-
10	1460 Dwelling Structures	4,226,000	-
11	1465.1 Dwelling Equipment-Nonexpendable	214,901	-
12	1470 Non-dwelling Structures		
13	1475 Non-dwelling Equipment	28,500	-
14	1485 Demolition		
15	1492 Moving to Work Demonstration		
16	1495.1 Relocation Costs	26,280	-
17	1499 Development Activities ⁴		

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
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U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
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Part I: Summary				FFY of Grant: 2009	
PHA Name:		Grant Type and Number		FFY of Grant Approval: 2009	
Louisville Metro Housing Auth		Capital Fund Program Grant No: KY 36 P 001 501 09			
		Replacement Housing Factor Grant No:			
		Date of CFFP:			
Type of Grant		Original Annual Statement		Revised Annual Statement (revision no:)	
		Performance and Evaluation Report for Period Ending:		Final Performance and Evaluation Report	
Line	Summary by Development Account	Original	Total Estimated Cost	Obligated	Total Actual Cost¹
			Revised²	Expended	
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	100,000	395,000	-	-
20	Amount of Annual Grant: (sum of lines 2-19)	10,235,824	10,235,824	1,143,315	1,143,315
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Signature of Public Housing Director		Date	
				7/21/10	

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Capital Fund Program; Capital Fund Program Replacement Housing Factor and
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U.S. Department of Housing and Urban Development
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Expires 4/30/2011

Part I: Summary				Federal FFY of Grant:		2009	
PHA Name:		Grant Type and Number		Capital Fund Program Grant No: KY 36 P 001 501 09			
Louisville Metro Housing Authority		CFFP (Yes/No): No		Replacement Housing Factor Grant No:			
Development Number Name/Pha Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work	
				Original	Revised ¹	Funds Obligated ²	Expended ²
Beecher Terrace 1-2	Gator Carts (2)	1475		15,000	15,000		
Beecher Terrace 1-2	Replace Underground piping (Ph II & III)	1460		1,710,000	1,000,000		
Beecher Terrace 1-2	Annual A/E Contract	1430		35,692	35,692		
Beecher Terrace 1-2	Tree Trimming	1450		60,000	60,000		
Beecher Terrace 1-2	Dwelling Equipment	1465.1		37,185	37,185		
Parkway Place 1-3	Replace Sidewalks, correct drainlines	1450		50,000	50,000		
Parkway Place 1-3	Gator Cart	1475		7,500	7,500		
Parkway Place 1-3	Copier	1475		6,000	6,000		
Parkway Place 1-3	Boiler Replacement	1460		1,600,000	563,000		
Parkway Place 1-3	Annual AE Contract	1430		37,216	37,216		
Parkway Place 1-3	Tree Trimming	1450		60,000	60,000		
Parkway Place 1-3	Dwelling Equipment	1465.1		38,773	38,773		
Shepard Square 1-4	Comp Mod Planning	1430		408,196	408,196		
Shepard Square 1-4	Tree Trimming	1450		50,000	50,000		
Iroquois Homes 1-5	Relocation	1495.1		26,280	26,280		
Iroquois Homes 1-5	Annual AE Contract	1430		23,610	23,610		
Iroquois Homes 1-5	Tree Trimming	1450		50,000	50,000		
Iroquois Homes 1-5	Dwelling Equipment	1465.1		24,598	24,598		

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Part I: Summary

Part I: Summary							Federal FFY of Grant:	Status of Work
PHA Name:		Grant Type and Number					Total Actual Cost	Status of Work
Louisville Metro Housing Authority		Capital Fund Program Grant No: KY 36 P 001 501 09 CFFP (Yes/No): No Replacement Housing Factor Grant No:						
Development Number Name/Pha Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Funds Obligated ²	Expended ²	
				Original	Revised ¹			
Dosker Manor 1-12	Repave Parking Lots	1450		25,000	25,000			
Dosker Manor 1-12	Trash Compactor	1460		300,000	300,000			
Dosker Manor 1-12	Annual A/E Contract	1430		48,126	48,126			
Dosker Manor 1-12	Resident Stipends	1408		13,100	13,100			
Dosker Manor 1-12	Dwelling Equipment	1465.1		51,180	51,180			
St. Catherine Ct 1-13	Resident Stipends	1408		8,100	8,100			
Avenue Plaza 1-14	Repave Parking Lots - Avenue Plaza	1450		50,000	50,000			
Avenue Plaza 1-14	Window and Blind Replacement	1460		59,000	1,511,000			
Avenue Plaza 1-14	Annual A/E Contract	1430		18,251	18,251			
Avenue Plaza 1-14	Repave Parking Lots - 550	1450		40,000	40,000			
Avenue Plaza 1-14	Resident Stipends	1408		11,300	11,300			
Avenue Plaza 1-14	Dwelling Equipment	1465.1		19,015	19,015			
Lourdes Hall 1-18	Resident Stipends	1408		17,300	17,300			
Lourdes Hall 1-18	Dwelling Equipment	1465.1		16,590	16,590			
Bishop Lane 1-40	Environmental Consultant - Remediation	1430		300,000	300,000			
Bishop Lane 1-40	Annual Asbestos/Lead Removal	1460		500,000	500,000			

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[illegible]

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Annual Statement/Performance and Evaluation Report
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Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		FFY of Grant: 2010	
PHA Name: Louisville Metro Housing Auth		FFY of Grant Approval: 2010	
Grant Type and Number Capital Fund Program Grant No: KY 36 P 001 501 10			
Replacement Housing Factor Grant No:			
Date of CFFP:			
Type of Grant	Original Annual Statement Performance and Evaluation Report for Period Ending:	Revised Annual Statement (revision no:) Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost ¹
		Original	Revised ²
1	Total non-CFP Funds		Expended
2	1406 Operations (may not exceed 20% of line 21) ³	2,428,689	0
3	1408 Management Improvements	67,100	0
4	1410 Administration (may not exceed 10% of line 21)	1,024,934	0
5	1411 Audit		
6	1415 Liquidated Damages		
7	1430 Fees and Costs	429,642	0
8	1440 Site Acquisition		
9	1450 Site Improvement	227,900	0
10	1460 Dwelling Structures	5,146,909	0
11	1465.1 Dwelling Equipment-Nonexpendable	223,530	0
12	1470 Non-dwelling Structures		
13	1475 Non-dwelling Equipment		
14	1485 Demolition		
15	1492 Moving to Work Demonstration		
16	1495.1 Relocation Costs		
17	1499 Development Activities ⁴		

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⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name:		Grant Type and Number		FFY of Grant:	
Louisville Metro Housing Auth		Capital Fund Program Grant No: Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant Approval: 2010 2010	
		KY 36 P 001 501 10			
Type of Grant		Original Annual Statement Performance and Evaluation Report for Period Ending:		Revised Annual Statement (revision no:) Final Performance and Evaluation Report	
Line	Summary by Development Account	Original	Total Estimated Cost	Total Actual Cost¹	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	700,631	700,631	0	0
20	Amount of Annual Grant: (sum of lines 2-19)	10,249,335	10,249,335	-	-
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Meas	589,000	589,000	589,000	589,000
Signature of Executive Director		Date		Signature of Public Housing Director	
		7-21-10			

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary						
PHA Name:		Grant Type and Number			Federal FFY of Grant:	
Louisville Metro Housing Authority		Capital Fund Program Grant No: KY 36 P 001 501 10			2010	
		CFFP (Yes/No): N				
		Replacement Housing Factor Grant No:				
Development Number Name/Pha Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work
				Original	Revised ¹	Funds Obligated ² Expended ²
Clarksdale KY 1-1	Phase II including Community Ctr	1460		84,313	84,313	
Beecher Terr KY 1-2	Underground piping to boilers	1460		990,000	990,000	
Beecher Terr KY 1-2	Annual AE Contract	1460		20,357	20,357	
Beecher Terr KY 1-2	Tree Trimming	1450		30,000	30,000	
Beecher Terr KY 1-2	Dwelling Equipment	1465		30,336	30,336	
Parkway Pl KY 1-3	Annual AE Contract	1430		21,226	21,226	
Parkway Pl KY 1-3	Tree Trimming	1450		30,000	30,000	
Parkway Pl KY 1-3	Dwelling Equipment	1465		31,631	31,631	
Sheppard Square KY 1-4	Comprehensive Modernization	1460		507,400	507,400	
Sheppard Square KY 1-4	Annual AE Contract	1430		32,353	32,353	
Sheppard Square KY 1-4	Tree Trimming	1450		30,000	30,000	
Sheppard Square KY 1-4	Dwelling Equipment	1465		48,213	48,213	
Iroquois Homes KY 1-5	Annual AE Contract	1430		13,466	13,466	
Iroquois Homes KY 1-5	Tree Trimming	1450		30,000	30,000	
Iroquois Homes KY 1-5	Dwelling Equipment	1465		20,067	20,067	
Dosker Manor Ky 1-12	Annual AE Contract	1430		28,018	28,018	
Dosker Manor Ky 1-12	Dwelling Equipment	1465		41,753	41,753	
Dosker Manor Ky 1-12	Resident Stipends	1408		21,750	21,750	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary						
PHA Name:		Grant Type and Number Capital Fund Program Grant No: CFFP (Yes/No): Replacement Housing Factor Grant No:			Federal FY of Grant:	
Development Number Name/Pha Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work
				Original	Funds Obligated 2	Expenditures 2
St. Catherine KY 1-13	Resident Stipends	1408		8,100	8,100	
Avenue Plaza KY 1-14	Window and Blind Replacement	1460		589,000	589,000	
Avenue Plaza KY 1-14	Riser Replacement	1460		734,494	734,494	
Avenue Plaza KY 1-14	Annual AE Contract	1430		10,409	10,409	
Avenue Plaza KY 1-14	Dwelling Equipment	1465.1		15,512	15,512	
Avenue Plaza KY 1-14	Resident Stipends	1408		19,950	19,950	
Scattered Sites KY 1-34	Friary Comprehensive Modernization	1460		1,000,000	1,000,000	
Scattered Sites KY 1-17	Staircases - 25th, Bonair, St Cath	1450		77,900	77,900	
Scattered Sites KY 1-17	Annual AE Contract	1430		5,541	5,541	
Scattered Sites KY 1-34	Annual AE Contract	1430		9,547	9,547	
Scattered Sites KY 1-17	Tree Trimming	1450		30,000	30,000	
Scattered Sites KY 1-17	Dwelling Equipment	1465.1		8,258	8,258	
Scattered Sites KY 1-34	Dwelling Equipment	1465.1		14,226	14,226	
Louder Hall KY 1-18	Dwelling Equipment	1465.1		13,534	13,534	
Louder Hall KY 1-18	Resident Stipends	1408		17,300	17,300	
Bishop Lane KY 1-40	Roof Replacement	1460		190,000	190,000	
Bishop Lane KY 1-40	Annual AE Contract	1430		9,082	9,082	
Bishop Lane KY 1-40	Environmental Contract	1430		300,000	300,000	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part I: Summary

[illegible]

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Appendix E. Five-Year Capital Plan

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/20011

Part I: Summary						
PHA Name/Number	Louisville Metro Housing	Locality (City/County & State)	Louisville, Jefferson, Kentucky	☒ Original 5-Year Plan	☐ Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work Statement for Year 3 FFY 2013	Work Statement for Year 4 FFY 2014	
B.	Physical Improvements Subtotal	Annual Statement	2241806	4188665	1623730	7320613
C.	Management Improvements		58450	58450	58450	58450
D.	PHA-Wide Non-dwelling Structures and Equipment		0	25000	0	502000
E.	Administration		1123776	1159774	1196952	1235311
F.	Other		181652	254103	69028	2543
G.	Operations		2446000	2446000	2446000	2446000
H.	Demolition		0	0	0	0
I.	Development		2196078	295748	3215357	3239719
J.	Capital Fund Financing -- Debt Service		0	0	0	0
K.	Total CFP Funds		8247762	8427740	8609517	14804636
L.	Total Non-CFP Funds		0	0	0	0
M.	Grand Total		8247762	8427740	8609517	14804636

Part I: Summary (Continuation)

[illegible]

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/20011

Part II: Supporting Pages – Physical Needs Work Statement(s)					
Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012		Work Statement for Year 3 FFY 2013		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity Estimated Cost
See Annual Statement	Amp #002 Beecher Terrace			Amp #002 Beecher Terrace	
	Annual A/E Contract			Annual A/E Contract	
	Tree Trimming		20357	Tree Trimming	20357
	Dwelling Equipment		30000	Dwelling Equipment	30000
			32707	Clean Siding	34064
					50000
	Amp #003 Parkway Place			Amp #003 Parkway Place	
	Annual A/E Contract			Replace Soffit, Gutters, Downspouts	
	Tree Trimming		21226	Annual A/E Contract	50000
	Dwelling Equipment		30000	Tree Trimming	21226
	Boiler Replacement		34103	Dwelling Equipment	30000
			800000		35518
	Amp #004 Sheppard Square			Amp#004 Sheppard Square	
	Comprehensive Modernization			Comprehensive Modernization	
	Annual A/E Contract		2196078	Annual A/E Contract	295748
	Tree Trimming		32353	Tree Trimming	32353
	Dwelling Equipment		30000	Dwelling Equipment	30000
			51981		54138
	Subtotal of Estimated Cost		\$ 3278805	Subtotal of Estimated Cost	\$ 683404

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/20011

Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012			Work Statement for Year: 3 FFY 2013		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	Amp #005 Iroquois Homes			Amp #005 Iroquois Homes		
	Annual A/E Contract		13466	Annual A/E Contract		13466
	Tree Trimming		30000	Tree Trimming		30000
	Dwelling Equipment		21635	Dwelling Equipment		22533
				Ramp to Maintenance Shop		25000
	Amp #012 Dosker Manor			Amp #012 Dosker Manor		
	Annual A/E Contract		28018	Annual A/E Contract		28018
	Dwelling Equipment		45016	Dwelling Equipment		46884
	Resident Stipends		21750	Resident Stipends		21750
				Security System Upgrade		100000
				Improve Building Lobby B&C		150000
			Auto Doors elevator Vestibules Bldg A		144000	
	Amp #013 St Catherine Court					
	Resident Stipends		8100			
				Amp #013 St Catherine Court		
				Resident Stipends		8100
	Subtotal of Estimated Cost		\$ 167985	Subtotal of Estimated Cost		\$ 589751

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
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Expires 4/30/20011

Part II: Supporting Pages – Physical Needs Work Statement(s)					
Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012			Work Statement for Year 3 FFY 2013	
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity Estimated Cost
See Annual Statement	Amp #014 Avenue Plaza Annual A/E Contract		10409	Amp #014 Avenue Plaza Annual A/E Contract	10409
	Dwelling Equipment		16724	Dwelling Equipment	17418
	Resident Stipends		11300	Resident Stipends	11300
	Amp #017 Scattered Sites Annual A/E Contract		5541	Amp #017 Scattered Sites Annual A/E Contract	5541
	Tree Trimming		30000	Tree Trimming	30000
	Dwelling Equipment		8903	Dwelling Equipment	9272
	Amp #034 Scattered Sites Annual A/E Contract		9547	Amp #034 Scattered Sites Window Replacement - H6 Scatt Site	25000
	Dwelling Equipment		15338	Staircases at Chickasaw H6 Scatt Windows - Fegenbush/Whipps	30000
				Parking Lot Repairs - H6 Scatt Sites	20000
				Foundation Reps-Fegenbush/Whipps Paint Interior - H6 Scatt Sites	30000
				Kitchen Cabinets - H6 Scatt Sites	50000
					50000
	Subtotal of Estimated Cost		\$ 107762	Subtotal of Estimated Cost	\$ 308940

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
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Expires 4/30/20011

Part II: Supporting Pages – Physical Needs Work Statement(s)									
Work Statement for Year I FFY 2011	Work Statement for Year 2 FFY 2012			Work Statement for Year: 3 FFY 2013					
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost			
See Annual Statement	Amp #018 Lourdes Hall/Bishop Lane Annual A/E Contract		9082	Amp #034 Scattered Sites Continued Dwelling Equipment		15975			
	Resident Stipends		17300	Annual A/E Contract		9547			
	Dwelling Equipment		14592	Acquisitions		2000000			
	Environ Consultant-Remediation Monitoring		300000						
	Annual Asbestos & Lead Removal Contract		300000						
				Amp #18 Lourdes Hall/Bishop Lane Dwelling Equipment		15198			
	Amp #030 Park DuValle II		191977	Resident Stipends		17300			
	Amp #031 Park DuValle III		41527	Annual A/E Contract		9082			
	Amp #032 Park DuValle IV		67304	Environ Consultant-Remediation Monitoring		300000			
				Annual Asbestos & Lead Removal Contract		300000			
				Amp #030 Park DuValle II		206567			
				Amp #031 Park DuValle III		42773			
				Amp #032 Park DuValle IV		69326			
	Subtotal of Estimated Cost		\$ 941782	Subtotal of Estimated Cost		\$ 2985768			

Part III: Supporting Pages – Management Needs Work Statement(s)				
Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012		Work Statement for Year 3 FFY 2013	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See Annual Statement	Construction Administration	299000	Construction Administration	317000
	Construction Administration 10% Transfer	824776	Construction Administration 10% Transfer	842774
	General Fund For Operations	2446000	General Fund for Operations	2446000
	Contingency	181652	Contingency	254103
	Subtotal of Estimated Cost	\$ 3751428	Subtotal of Estimated Cost	\$ 3859877

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
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Part III: Supporting Pages – Management Needs Work Statement(s)				
Work Statement for Year 1 FFY	Work Statement for Year _____ FFY		Estimated Cost	
	Development Number/Name General Description of Major Work Categories	Development Number/Name General Description of Major Work Categories		
See Annual Statement				
		Subtotal of Estimated Cost		\$
		Subtotal of Estimated Cost		\$

Capital Fund Program—Five-Year Action Plan

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Office of Public and Indian Housing
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Work Statement for Year 1 FFY 2011	Work Statement for Year 4 FFY 2014			Work Statement for Year 5 FFY 2015*		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	Amp #002 Beecher Terrace			Amp #002 Beecher Terrace		
	Annual A/E Contract		20357	Paint Apartments		300000
	Tree Trimming		30000	Playground Equipment		200000
	Dwelling Equipment		35421	Parking Lot - street pavement		50000
				Sidewalk Replacement		50000
				Sump Pumps - Boiler Rooms		50000
				Exterior Lighting		150000
	Amp #003 Parkway Place			Roof Repairs - Baxter Court		100000
	Annual A/E Contract		21226	Roof Replacement		150000
	Tree Trimming		30000	DHW Piping-Boiler Rooms Repl.		35000
	Dwelling Equipment		36933	Underground DDC wiring/conduit		30000
				Annual A/E Contract		20357
	Amp #004 Sheppard Square			Tree Trimming		30000
	Replace Sidewalks		50000	Dwelling Equipment		36778
	Parking Lot Repavement		25000	Water Main Replacement		500000
	Comprehensive Modernization		3215357			
	Annual A/E Contract		32353	Amp #003 Parkway Place		
	Tree Trimming		30000	Exterior Lighting		290000
	Dwelling Equipment		56295	Parking Lot paving/speed bumps		105000
	Replace Soffits, Gutters, Downspouts		50000	Foundation Crack Sealing		20000
	Subtotal of Estimated Cost		\$ 3632942	Subtotal of Estimated Cost		\$ 2117135

Capital Fund Program—Five-Year Action Plan

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Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY 2011	Work Statement for Year 4 FFY 2014			Work Statement for Year: 5 FFY 2015*		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	Amp #014 Avenue Plaza Annual A/E Contract		10409	Amp #012 Dosker Manor Replace Stairwell Doors (A)		25000
	Dwelling Equipment		18112	Replace Ceiling Tiles - Lobbies		20000
	Resident Stipends		11300	Transfer Switches		110000
				Replace Booster Pumps in all Bldgs		60000
				Repair Sidewalks		25000
	Amp #017 Scattered Sites Annual A/E Contract		5541	Retube 18 Boilers		180000
	Tree Trimming		30000	Annual A/E Contract		28018
	Dwelling Equipment		9642	Dwelling Equipment		50620
				Resident Stipends		21750
	Amp #034 Scattered Sites Annual A/E Contract		9547	Amp #013 St Catherine Ct Resident Stipends		8100
	Dwelling Equipment		16611			
				Amp #014 Avenue Plaza/550 Apt Clean Windows		25000
				Annual A/E Contract		10409
				Paint Units - 550 Apt		154400
	Subtotal of Estimated Cost		\$ 111162	Subtotal of Estimated Cost		\$ 718297

Capital Fund Program—Five-Year Action Plan

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Part II: Supporting Pages – Physical Needs Work Statement(s)					
Work Statement for Year 1 FFY 2011	Work Statement for Year 4 FFY 2014		Work Statement for Year 5 FFY 2015*		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity Estimated Cost
See Annual Statement				Amp #014 Avenue Plaza/550 continued Replace Counter Tops - Kitchens 550 Apt.	120000
				Parking Lot Repavement - 550 Apts.	40000
				Tubs, Sink, Bathtub Replacement	144000
				Trash Compactor Replacement	50000
				Dwelling Equipment	18806
	Amp #018 Lourdes Hall/Bishop Lane			Resident Stipends	11300
	Dwelling Equipment		15803		
	Resident Stipends		17300		
	Annual A/E Contract		9082		
	Environ Consultant - Remediation Monitoring		300000	Amp #017 Scattered Sites Annual A/E Contract	5541
	Annual Asbestos and Lead Removal Contract		300000	Tree Trimming	30000
				Dwelling Equipment	10011
	Amp #030 Park DuValle II		222266		
				Amp #034 Scattered Sites Painting	100000
	Amp #031 Park DuValle III		44056	Drainage - Erosion H6 Sites	50000
				Drainage - Erosion - Fegenbush/Whipps	50000
	Amp #032 Park DuValle IV		71409	Roof Replacement - H6 Scatt Sites (50)	200000
	Subtotal of Estimated Cost		\$ 979916	Subtotal of Estimated Cost	\$ 829658

Capital Fund Program—Five-Year Action Plan

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Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY 2011	Work Statement for Year 4 FFY 2014			Work Statement for Year: 5 FFY 2015		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement				Amp #034 Scattered Sites continued		20000
				Water Heaters - Fegenbush/Whipps		25000
				Water Heaters - H6 Scatt Sites		50000
				Sidewalks - Fegenbush/Whipps		50000
				HVAC Replacement H6 Scatt sites		50000
				Parking Lot Rep - Fegenbush/Whipps		25000
				Paint Exterior - H6 Scatt Sites		50000
				Roof Replace - Fegenbush/Whipps		50000
				Sidewalks - H6 Scatt Sites		50000
				Replace HVAC Fegenbush/Whipps		50000
				Basement Waterproof - H6 Scatt Sites		20000
				Paint Exterior - Fegenbush/Whipps		60000
				Siding - H6 Scatt Sites		50000
				Siding - Fegenbush/Whipps		40000
				Brick-Mortar Repair 1512 Pawtucket		50000
				Chickasaw Staircase (2724-2730)		20000
				Carpet (27 19-24-34-45)		70000
				Gutter grd (ky 19-22-24-34-35-38-45)		58000
				Tuckpointing (ky-22) 2400 Chestnut		25000
				Annual A/E Contract		9547
	Subtotal of Estimated Cost			\$	Subtotal of Estimated Cost	
					\$	822547

Capital Fund Program—Five-Year Action Plan

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Part II: Supporting Pages – Physical Needs Work Statement(s)					
Work Statement for Year 1 FFY 2011	Work Statement for Year 4 FFY 2014		Work Statement for Year 5 FFY 2015*		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity Estimated Cost
See Annual Statement				Amp #034 Scattered Sites continued	
				Dwelling Equipment	17248
				Amp #018 Lourdes Hall/Bishop Lane	
				HVAC wall units	30000
				Paint Apartments	50000
				Fire Escape Handrail Repair	15000
				Replace Roof	20000
				Carpet	100000
				Lental Replacement	15000
				Dwelling Equipment	16409
				Resident Stipends	17300
				Paint Halls/Common Areas Bishop	25000
				Replace Carpet Bishop	25000
				Tile Floors Bishop	90000
				Kitchen Cabinets - 54 Units	200000
				Seal A/C Panels	75000
				Window Replacement Bishop	275000
				Kitchen Rehab Bishop	90000
	Subtotal of Estimated Cost		\$	Subtotal of Estimated Cost	\$ 1060957

Capital Fund Program—Five-Year Action Plan

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Part II: Supporting Pages – Physical Needs Work Statement(s)					
Work Statement for Year 1 FFY 2011	Work Statement for Year 4 FFY 2014		Work Statement for Year 5 FFY 2015*		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity Estimated Cost
See Annual Statement				Amp #018 Lourdes Hall/Bishop Lane	
				Replace Siding/ Gutters Bishop	198000
				EDH Kitchen Renovations Bishop	142914
				Repave Parking Lots Bishop	25000
				Annual A/E Contract	9082
				Environ Consult- Remed Monitoring	300000
				Asbestos and Lead Removal contract	300000
				Amp #004 Sheppard Square	
				Replace Basement Doors	72000
				Garage - Three Car	85000
				Pave Streets - Parking Lots	125000
				Drainage Repairs	50000
				Comprehensive Modernization	3239719
				Annual A/E Contract	32353
				Tree Trimming	30000
				Dwelling Equipment	58452
	Subtotal of Estimated Cost		\$	Subtotal of Estimated Cost	\$ 4667520

Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY 2011	Work Statement for Year 4 FFY 2014		Work Statement for Year: 5 FFY 2015*			
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement				Amp #030 Park DuValle II		239158
				Amp #031 Park DuValle III		45378
				Amp #032 Park DuValle IV		75764
Subtotal of Estimated Cost			\$	Subtotal of Estimated Cost		\$ 360300

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2001

[illegible]

[illegible]

Appendix F. Definition of Substantial Deviation and Significant Amendment to the Annual Plan

Louisville Metro Housing Authority's definition of substantial deviation and significant amendment/modification.

Substantial deviations or significant amendment/modifications are defined as discretionary changes in the plans or policies of the Louisville Metro Housing Authority that fundamentally change the mission, goals, objectives or plans of the Agency's MTW (Moving to Work) Annual Plan and which require formal approval of the Board of Directors. LMHA will consider the following to be substantial deviations and significant amendments/modifications:

- Significant changes to an MTW Activity outside the scope of the Agency's HUD-Approved MTW Plan;
- Changes to rent or admissions policies or organization of the waiting list;
- Changes to the Public Housing Admissions and Continued Occupancy Policy;
- Changes to the Section 8 Housing Choice Voucher Program Administrative Plan;
- Any single or cumulative annual change in the planned or actual use of federal funds as identified in the Plan of funds under the Capital Fund (including replacement housing factor funds) that exceeds 20% of the Agency's annual capital budget.
- Any single or cumulative annual change in the planned or actual use of federal funds as identified in the Plan that exceeds 20% of the Agency's annual program budgets for Section 8 or public housing activities.
- Any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

A substantial deviation does not include any changes in HUD rules and regulations, which require or prohibit changes to activities listed herein.